

1 **Review**

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3 **Ear, nose and throat in ANCA-associated vasculitis: a comprehensive review**

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24 **ABSTRACT**

25 Ear, nose and throat (ENT) involvement is a common feature in ANCA-associated vasculitis
26 (AAV), particularly in granulomatosis with polyangiitis (GPA) and eosinophilic
27 granulomatosis with polyangiitis (EGPA). Over the last decade, substantial advance has been
28 made in understanding AAV pathogenesis, classification, and treatment. Typical ENT
29 symptoms may include sinonasal, otologic, pharyngeal, and laryngeal manifestations. The
30 otolaryngologic symptoms of AAV sometimes might be misdiagnosed in aetiology as
31 infectious or allergic. Thus, rapid recognition and early diagnosis of AAV as the cause of the
32 symptoms prevent the risk of irreversible organ damage. The high impact of ENT symptoms
33 on quality of life of AAV patients confirms the importance of their early treatment through
34 specific local and systemic approach. Appropriate interdisciplinary management to early

35 recognition of AAV and initiation of treatment may reduce morbidity in these patients. The
36 purpose of this comprehensive review is to describe the clinical, histologic and radiological
37 findings of ENT involvement in AAV, and to update their surgical and therapeutic
38 management, with a focus also on the role of a multidisciplinary team, involving the
39 otorhinolaryngologist.

40

41 **Keywords:** Antineutrophil cytoplasmic antibody, ANCA associated vasculitis,
42 granulomatosis with polyangiitis, microscopic polyangiitis, eosinophilic granulomatosis with
43 polyangiitis, ear nose and throat

44

45

46 **INTRODUCTION**

47 Anti-neutrophil cytoplasmic antibody associated (ANCA) vasculitis (AAV) comprises a
48 group of multi-system autoimmune disorders, characterized by inflammation of small to
49 medium sized vessels, endothelial injury and tissue damage. According to the 2012 revised
50 Chapel Hill Consensus Conference (CHCC) nomenclature of vasculitides and the American
51 College of Rheumatology (ACR 1990) classification criteria^[1,2], AAV are classified in three
52 distinct disease phenotypes: granulomatosis with polyangiitis (GPA, formerly known as
53 Wegener’s granulomatosis), microscopic polyangiitis (MPA) and eosinophilic
54 granulomatosis with polyangiitis (EGPA, Churg-Strauss syndrome).

55

56 Autoimmunity is documented by serum antibodies targeting cytoplasmic component of
57 neutrophils, specifically proteinase 3 (PR3-ANCA) and myeloperoxidase (MPO-ANCA) due
58 to loss of tolerance to neutrophil primary granule proteins^[3]. ANCAs appear more closely
59 associated with a vasculitic inflammation^[4,5], while granulomatous phenotype is
60 predominantly linked to ANCA-negative serology and localized disease^[6,7].

61

62 Any organ or tissue may be involved in AAV, with clinical presentation ranging from severe
63 organ-threatening or life-threatening disease to less severe presentation or organ-limited
64 manifestations^[8]. GPA and MPA commonly affect the upper respiratory tract, lungs and
65 kidneys, often at the same time, while EGPA is characterized by asthma, hyper-eosinophilia,
66 heart and peripheral nervous system involvement^[9]. However, EGPA is characterized by two
67 different subsets, reflecting distinct underlying pathogenesis: a predominant “vasculitic

68 phenotype” closely associated to ANCA and an “eosinophilic phenotype”, interleukin-5 (IL-5)
69 driven^[10].

70

71 Ear, nose and throat (ENT) represents one of the most common site of AAV manifestations,
72 more often in GPA and EGPA, and generally precedes pulmonary or renal involvement.

73 Although patients with ENT symptoms have better survival^[7,11] and less renal
74 involvement^[7,12], they typically present a relapsing disease^[13].

75

76 Typical ENT symptoms may include sinonasal, otologic, pharyngeal, and laryngeal
77 manifestations [**Figure 1**]. Up to 95% of GPA patient show evidence of head and neck
78 features and 85% have evidence of nasal or sinus problems^[7,14]. In EGPA head and neck
79 manifestations could occur in 48-96% of the cases at diagnosis^[15,16]. Finally, involvement of
80 head and neck organs in MPA is less common, being reported in 20-30% of the patients^[17].
81 The otolaryngologic symptoms of GPA sometimes might be misdiagnosed in aetiology as
82 infectious or allergic. Thus, rapid recognition and early diagnosis of AAV as the cause of the
83 symptoms prevent the risk of irreversible organ damage. Appropriate interdisciplinary
84 management to early recognition of AAV and initiation of treatment may reduce morbidity in
85 these patients.

86

87 The purpose of this comprehensive review is to describe the clinical, histologic and
88 radiological features of ENT involvement in AAV, and to update their surgical and
89 therapeutic management, with a focus also on the role of a multidisciplinary team, involving
90 the otorhinolaryngologist.

91

92 **SINONASAL MANIFESTATIONS**

93 The most frequently observed ENT manifestation of GPA is sinonasal involvement, being
94 present in 60%-85% of the patients^[7,18]. One of the first manifestation is nasal blockage, in
95 association with hyposmia or anosmia when mucosal swelling occurs^[19]. Purulent nasal
96 drainage associated with the growth of *Staphylococcus aureus* or *Pseudomonas aeruginosa*
97 can cause cacosmia. Epiphora may presents as a sign of nasolacrimal duct and lacrimal sac
98 involvement, as a result of granulomatous involvement, infection, or compression caused by
99 mucosal inflammation^[20].

100

101 The nasal mucosa exhibits diffuse crusting, haemorrhage and purulent discharge during
102 active disease, resulting in nasal blockage, with symptoms reported by patients ranging from
103 mild to very severe^[19] **[Figure 2]**. The most common site of disease activity is the anterior
104 portion of the nasal septum, where all the vessels of the septal cartilage converge and where
105 septal perforation usually starts and extends over the entire cartilage^[14]. Other structures of
106 the nose, e.g., turbinates, might also be involved. At endoscopic examination, the nasal
107 mucosa could appear granulating, with bloody submucosal patches or with ulcerations,
108 vulnerable and covered with crusts^[21]. In advanced stages synechiae may be also observed. In
109 the long term, inflammation and destruction of the nasal cartilage can lead to the typical
110 saddle nose deformity^[19] **[Figure 2]**.

111
112 The involvement of paranasal sinuses is also very common and could be detected by
113 computed tomography (CT) or magnetic resonance imaging (MRI)^[22] **[Figure 3]**. However,
114 during active disease, imaging is not capable of differentiate granulomatous inflammation
115 from non-specific inflammation or infection^[23]. The sinuses are likely to fill with scar tissue
116 in the chronic stages of the disease, particularly after many relapses, and the maxillary
117 sinuses also become narrower, with gradual ossification of the maxillary bone.

118
119 In MPA patients, involvement of head and neck region is less common, but when present
120 resembles that of GPA. In a study conducted by Wojciechowska *et al.*^[24], comparing GPA
121 and MPA patients, the most frequently reported manifestation in the ENT area in both groups
122 was chronic rhinosinusitis followed by epistaxis and purulent nasal discharge.

123
124 A significant proportion of EGPA patients suffer of ENT symptoms, usually manifesting as
125 allergic rhinitis and chronic rhinosinusitis with or without polyps^[25]. Olsen *et al.*^[26] in a series
126 of 32 patients with EGPA, reported nasal disease in 69% of the cases, nasal polyps in 50%,
127 while nasal crusting was observed in 36.3% of them. They also found pansinusitis in 80% of
128 the patients. Another study on 28 EGPA patients^[25] demonstrated that ENT involvement was
129 present in 75% of the cases, with allergic rhinitis and nasal polyposis as the most frequently
130 observed manifestations at disease diagnosis, being observed in 42.8% and 76.1% of the
131 patients, respectively. A history of chronic rhinosinusitis was present in 14.2% of the subjects.
132 Chronic rhinosinusitis with diffuse and bilateral nasal polyps in EGPA is characterized by
133 intense eosinophil tissue infiltration and a chronic-relapsing course in almost one-third of
134 cases, despite surgery and medical treatment^[27]. However, in EGPA nasal polyps, in addition

135 to tissue eosinophil aggregates, a diffuse neutrophilic infiltration can be observed, supporting
136 the hypothesis of neutrophils' entanglement in the inflammatory process, even in the absence
137 of histological signs of vasculitis^[28-30]. The role of neutrophil infiltrate in the nasal mucosa
138 might be to amplify eosinophil tissue recruitment, in addition to contributing to
139 inflammation^[28], and thus leading to a more refractory manifestation.

140

141 **OTOLOGIC MANIFESTATIONS**

142 Otologic manifestations in systemic GPA are common, occurring in 20%-70% of the cases
143 and representing the second most frequent symptoms of head and neck involvement^[7,24,31].
144 The mostly involved site is the middle ear (23%-70%), leading frequently to hearing loss^[21,32],
145 which could be the presenting symptom of GPA. The vast majority of patients has relapsing
146 or refractory otitis media, which does not respond to regular treatment, such as antibiotics and
147 insertion of tympanic vent tubes **[Figure 3]**. Other common symptoms are tinnitus, otalgia,
148 aural fullness or discharge and dizziness^[21,33]. Hearing loss may be conductive, sensorineural
149 or mixed. Fluid or granulation in the middle ear induces conductive hearing loss, while the
150 exact mechanisms of sensorineural hearing loss are not clear. It has been hypothesized that a
151 vasculitic inflammation of the inner ear may be responsible of sensorineural deafness,
152 although deposition of immune complexes in the cochlea or toxic effects of inflammatory by-
153 products passing through the membrane into the inner ear cannot be excluded^[34]. The
154 majority of patients are PR3-ANCA positive, although recently it was reported a high rate of
155 ENT symptoms in MPO-ANCA positive GPA patients, which differ from the classic
156 microscopic polyangiitis phenotype for presenting with a limited or milder disease and lower
157 rate of renal involvement^[35,36].

158

159 Otoscopy examination can detect fluid in the middle ear and drum perforation. Audiometric
160 pattern has been described as typically flat, although sometimes additional high frequency
161 losses may coexist^[37]. CT images of the temporal bone show soft tissue shadows,
162 opacification in the middle ear and mastoid, while bone destruction may be observed in the
163 tympanum and mastoid sinuses^[21].

164

165 Hearing loss and middle ear effusions are also the most common presenting otologic
166 manifestations of EGPA. The typical manifestation is represented by a granulomatous otitis
167 with chronic, thick discharge, which lead to a conductive hearing loss, in patient with chronic
168 paranasal abnormalities, eosinophilia and asthma^[38,39].

169

170 Less commonly, localized AAV may presents only with otologic symptoms, without
171 evidence of other AAV-related organ lesions. In these patients, not fulfilling the classification
172 criteria for systemic vasculitis, the terms OMAVV (otitis media with AAV) was
173 proposed^[40,41]. Clinical criteria for OMAVV are reported in **Table 1**. Hearing loss represents
174 the most common initial symptoms, often associated with otorrhea, tinnitus and vertigo or
175 dizziness. Pachymeningitis or facial palsy may complicate the clinical course of OMAVV.
176 An irreversible complete deafness despite treatment may develop in 3.5%-7.2% of patients^[40].

177

178 **TRACHEOBRONCHIAL MANIFESTATIONS**

179 Large airways involvement, in the form of tracheobronchial disease, is a less common GPA
180 manifestation^[42]. Subglottic stenosis (SGS) is the most frequent tracheobronchial stenosis
181 type, with an estimated frequency of 16%-23% in adult patients with GPA, while can be
182 considered uncommon or even exceptional in EGPA^[42-44] **[Figure 2]**. It is one of the most
183 common manifestation of ENT involvement in paediatric subjects^[45]. SGS is defined as
184 narrowing of the subglottic area within the cricoid cartilage^[46] that can lead to upper airway
185 obstruction and potentially life-threatening consequences^[47]. Involvement of the glottic and
186 supraglottic larynx may less frequently occur, resulting in multilevel airway stenosis^[48].
187 Stenosis may also extend into the distal trachea and bronchi^[48] including ulcerating
188 tracheobronchitis with or without inflammatory pseudo-tumours^[49].

189

190 SGS patients are commonly female and younger (26-40 years) than patients with
191 tracheobronchial stenosis^[45,47].

192

193 The pathogenesis of subglottic stenosis in GPA remains unclear^[50,51] but the association of a
194 vasculitic process in the setting of active inflammation due to laryngopharyngeal reflux^[44,52]
195 and mechanical forces related to turbulent subglottic airflow^[53] may synergistically produce a
196 hyperactive healing response that leads to cartilaginous fibrotic scarring and stenosis^[50].

197

198 Subglottic stenosis likely increases gradually, allowing the patient to adjust his breathing
199 pattern until a critical stenosis is reached. Typically, patients remain mild symptomatic or
200 asymptomatic until about 75% of airway stenosis (60% in children) is reached^[50]. Some
201 patients report “asthmatic-like” symptoms for many years before diagnosis^[43]. Patients with
202 SGS may develop symptoms gradually, from non-specific cough, hoarseness, shortness of

203 breath, pharyngodinia, haemoptysis or vocal changes, finally to stridor or dyspnoea on
204 exertion when a critical point of stenosis is reached^[18,54,55]. As the airway calibre narrows,
205 obstruction may result from crusts, mucous plug, and thick secretions caused by
206 inflammation of the mucosa or infections, as well as the subglottic lesion itself^[50,56].
207 Unilateral or bilateral vocal cord fixation can be a consequence of cricoarytenoid joint
208 involvement^[57]. Occasionally patients presenting with acute obstruction require emergency
209 tracheostomy^[18]. Recognition of active tracheobronchial or SGS in GPA can be challenging
210 because SGS seems to progress irrespective of systemic GPA disease activity^[43,53]. It may
211 presents as the first symptom or as a late manifestation of the disease^[48]. Patients with
212 subglottic inflammation are more likely to be ANCA negative^[47] and to have endobronchial
213 disease, ENT manifestations (destructive sinonasal disease also), pulmonary manifestations
214 and constitutional symptoms^[47] and less frequently nervous system and renal involvement^[49].
215 The presence of SGS must be urgently investigated in patients with GPA who develop
216 respiratory symptoms, even in the absence of other disease flare^[47,50].

217

218 Despite SGS in GPA is a serious and potentially life-threatening complication, no
219 standardized diagnostic and therapeutic approach exists. It is still debated which diagnostic
220 methods should be recommended (serial bronchoscopies, lung function tests, virtual
221 endoscopy) for diagnosis and follow-up^[54].

222

223 Laryngeal endoscopy, using a flexible laryngoscope, should be performed as part of routinely
224 initial evaluation. Endoscopy typically reveals a circumferential narrowing associated with
225 friable, erythematous mucosa or a inelastic fibrotic thickening, depending on the
226 inflammatory state of the stenosis^[18], but without specific findings for vasculitis^[53]. Biopsy of
227 the SGS should be performed cautiously, because it can lead to exacerbation of the stenosis
228 secondary to oedema and progression of the scarring^[58]. Among imaging techniques, MRI
229 has a sensitivity of 87.5% and a specificity of 60% in recognizing inflammatory activity in
230 SGS. It can detect circumscribed intramural granulomatous lesions or discriminate between
231 regional and circumferential wall thickening^[43]. Because 15%-55% of GPA patients have
232 additional bronchial stenotic segments, Spiral CT with 3-dimensional reconstruction of the
233 laryngotracheal lumen is useful to allow the assessment of the entire tracheobronchial
234 pathway^[50].

235

236 Dynamic expiratory CT has been proposed as a screening method to assess tracheal stenosis,
237 being able to evaluate dynamic pathological changes during respiration in addition to
238 detecting fixed stenoses^[47].

239

240 Most of non-vasculitic subglottic stenosis are secondary to post-intubation scarring or
241 laryngotracheal trauma^[43]. Differential diagnosis should also include neoplastic and
242 infectious factors^[51]. It is important to distinguish these patients from congenital or idiopathic
243 SGS^[48]. Subglottic laryngotracheal stenosis has been reported in other forms of vasculitis
244 such as relapsing polychondritis^[46].

245

246 **ORAL MANIFESTATIONS**

247 Oral lesions in GPA could be observed at disease onset in around 2% of cases and could
248 appear in about 5%-10% of patients during disease course^[14]. These manifestations can be
249 characterized by rapidly evolving ulceration, necrosis with neutrophil-rich infiltrates or
250 chronic granulomatous localized process slowly leading to mucosal and bone destruction^[59].
251 Oral lesions include mucosal palatal and lingual ulcerations, aphthae and non-healing
252 extraction sockets^[59,60]. Finally, specific gingival lesions, known as “strawberry gingivitis”,
253 can be observed and they are characterized by exophytic gingival swelling of reddish purple
254 colour with petechial haemorrhages that resemble strawberries^[61-64]. The differential
255 diagnosis of mucosal ulcers should include sarcoidosis, Crohn's disease, infections
256 (mycobacterial, leishmaniasis and paracoccidioidomycosis) and drug abuse^[14]. Finally, in
257 GPA and in other granulomatous infectious diseases, palatal perforation is exceedingly
258 unusual^[65].

259

260 **TUMOUR-LIKE MANIFESTATIONS**

261 Atypical lesions are often the presenting feature in GPA, including mass lesion. This
262 manifestation may presents as parapharyngeal mass, parotid mass, sinonasal and maxillary
263 sinus lesions and subglottic paratracheal mass^[66-69]. Typically, masses are associated with
264 PR3-ANCA and occur at early stage of the disease, usually part of a systemic disease (lung
265 and kidney). Pseudotumor in ENT district may present with secondary cranial neuropathies.
266 Nerve palsy may occur as single or multiple cranial nerve involvement. Evolution to
267 osteomyelitis by invasive mass is possible^[70]. Parapharyngeal involvement is reported by
268 description of a parapharyngeal mass or secondary to local extension from contiguous parotid
269 mass.

270

271 Tumour-like lesions in the ENT region are associated with higher rate of partial response or
272 refractory disease. Furthermore, surgical procedures can be difficult in this district.

273

274 **HISTOLOGIC FINDINGS**

275 As the nose and paranasal sinuses are frequently involved in AAV and easy accessible, an
276 intranasal biopsy is believed to be the one of the best way to achieve histological
277 confirmation. Thus diagnostic biopsies of the nasal mucosa can be performed under local
278 anaesthesia, being relatively minimally invasive, however, the maxillary and ethmoid sinuses
279 are also alternative region for representative biopsies^[14].

280

281 However, biopsy specimens from the ENT region are often small, making it therefore
282 difficult to achieve a conclusive histologic diagnosis of AAV. It is recommended to take
283 multiple large biopsies (> 5 mm) from the edge of the inflamed area, in order to maximise
284 the chance of obtaining a diagnostic biopsy^[71]. It is rare to see at the same time all the typical
285 features, including necrotising granulomata with giant cells and neutrophil-predominant
286 vasculitis^[72]. Indeed, non-specific features, like acute or chronic inflammation, are usually
287 found in most of head and neck biopsy specimens, which does not help in confirming the
288 diagnosis of AAV^[73]. Only in up to 16% of GPA cases, the classic triad of vasculitis, necrosis,
289 and granulomatous inflammation can be seen, while vasculitis and granulomas in 21% of
290 cases and vasculitic and necrosis are found in 23% of the specimens^[74]. However, when the
291 clinical picture fits the diagnosis of AAV despite a negative histopathological result, a high
292 index of suspicion must be preserved.

293

294 Although intranasal biopsies are the most common way to validate a diagnosis in GPA and
295 EGPA, intranasal biopsies from MPA patients seldom reveal the existence of vasculitis and
296 therefore are of limited value^[73].

297

298 It is not recommended to perform biopsy of middle ear or mastoid region, given the technical
299 difficulty of obtaining an appropriate biopsy specimen and the high rate of inconclusive
300 histologic findings^[74].

301

302 In EGPA patients, during the prodromal phase, it is extremely difficult to clearly distinguish
303 chronic rhinosinusitis from inflammation due to vasculitis. Histologically, nasal specimens

304 show usually diffuse eosinophilic tissue infiltration^[75], as in eosinophilic-type nasal polyposis,
305 while only less than 10% of specimens reveal necrotizing vasculitis or eosinophilic
306 granuloma. The diagnostic yield can be increased up to 50% if histologic examination is
307 performed on deep biopsy or surgical specimens of sinus tissue obtained under general
308 anaesthesia^[76]. Recent data demonstrated that alongside the well-known eosinophil-rich
309 inflammation, there may be other cells contributing to the inflammatory process, such as
310 neutrophils^[28], but specific markers are still lacking.

311

312 **RADIOLOGIC FINDINGS**

313 Performing CT or MRI scans may be used and recommended on individual basis, according
314 to the location of the involvement and to the clinical manifestations.

315

316 In a systematic review^[77] carried out on sinus imaging findings in GPA, 92.6% of the patients
317 had abnormalities on sinus CT: mucosal thickening of the paranasal sinuses and nasal fossae
318 (87.7%), bony destruction (59.9%) and osteoneogenesis with foci of sclerosing osteitis and
319 bone thickening (46%-59%) [**Figure 3**]. Bony obliteration of sinuses is relatively rare. Septal
320 erosion was observed in 59.4% of the patients and 27.1% had orbital involvement. MRI
321 imaging showed similar rates of mucosal thickening (89.9%) and granulomas in 14.5% of the
322 patients, while conversely bony erosion was reported only in 10.1% of the cases. In EGPA
323 patients, mucosal thickening, nasal polyps and pansinusitis are commonly reported, while
324 bony destruction is absent^[78]. An alternative diagnosis, rather than AAV, should be suspected
325 in the presence of bone erosion of the hard palate or of the maxillary wall and alveolus.
326 However, despite CT or MRI features are often non-specific, imaging might improve
327 management of AAV patients, for example quantifying extent of sinus involvement.

328

329 Finally, CT and MRI scans can be used also to better define lesions in subglottic/tracheal
330 stenosis. These tests are also suggested for patients with ear involvement who are refractory
331 to treatment or in cases of cranial nerve palsy^[79].

332

333 **DIFFERENTIAL DIAGNOSIS**

334 Many of the clinical features of AAV are non-specific, and thus, the potential differential
335 diagnoses are several. In the presence of ulcerative lesions of the ENT region, AAV should
336 be included in the differential diagnosis, alongside with infections, inflammatory autoimmune
337 diseases, malignancies, and substance abuse conditions can also cause granulomatous

338 inflammation, which may lead to extensive damage [Table 2]. Complete and careful
339 examination is warranted, and looking for evidence of different organ involvement other than
340 the head and neck region. Moreover, all patients presenting with ENT symptoms resembling
341 GPA, should be evaluated with flexible endoscopy and imaging (CT and/or MRI) in order to
342 quantify disease extent and to identify the best area to biopsy. Additionally, serologic
343 assessment should be performed, first and foremost ANCA testing, can lead to the proper
344 diagnosis. An increasing presence of clinical, serological and histological factors should
345 enforce clinical suspicion of AAV, if there are no signs or symptoms that lead to a different
346 diagnosis.

347

348 **TREATMENT OPTIONS**

349 **Local treatment**

350 Tissue damage caused by inflammation represents one of the major sources of morbidity for
351 patients with AAV and ENT involvement. Some of these symptoms need adequate treatments,
352 administered alone or in combination with systemic medical treatment, such as surgical or
353 endoscopic repair, or the delivery of topical or injectable medications directly into the site of
354 disease^[80].

355

356 Sinonasal symptoms can be relieved with vigorous nasal irrigation and topical medications
357 applied directly to the nasal mucosa. This can be achieved in combination with
358 glucocorticoids. Nasal irrigation with saline on a regular basis can help to dissolve crusts that
359 can become a pabulum for bacterial proliferation and block nasal passages. Nasal lubricants
360 applied directly to the mucosa or emollients added to nasal saline washes can help to reduce
361 dried nasal mucus and soften crusts, making them easily removable^[81]. Topical application of
362 antibiotics may be useful to eradicate *Staphylococcus Aureus* from the nose.

363

364 **Systemic treatment**

365 Combination of corticosteroids and immunosuppressants is the mainstay of AAV treatment.
366 Among immunosuppressive agents, cyclophosphamide is the conventional induction-
367 treatment for systemic or diffuse GPA^[82], while methotrexate is an alternative in forms of
368 GPA that are not life-threatening^[83]. Induction treatment allows remission to be achieved in
369 more than 80% of cases. For maintenance treatment, azathioprine is the most widely used^[84].
370 In localized disease, in addition to methotrexate, trimetopim sulfamethoxazole also appears

371 to be effective, by a reduction in the relapse rate, mainly ENT, possibly for an action against
372 *Staphylococcus Aureus*^[85].

373

374 Rituximab (RTX), a chimeric human-mouse monoclonal antibody against CD20, is nowadays
375 approved and widely used in the treatment of AAV^[82], with a good safety profile^[86]. RTX can
376 be used in combination with corticosteroids as a first-line treatment for severe AAVs,
377 particularly when cyclophosphamide is not recommended^[87].

378

379 About the ENT manifestations, RTX is not usually recommended in patients without life or
380 organ threatening manifestations^[82]. However, RTX as rescue therapy has been administered
381 in GPA with refractory ENT manifestations. A recent case series reported a good response of
382 refractory OMAAV after RTX treatment^[88].

383

384 In a large retrospective cohort of 59 AAV patients with orbital masses, RTX resulted highly
385 effective (remission rate: RTX 91% vs cyclophosphamide 52%). However, in this study all
386 patients received glucocorticoids without a standardized protocol, RTX was administered
387 only in 19% of patients and there is no subgroup analysis between patients treated with RTX
388 and patients treated other immunosuppressive agents to assess if other features/treatment could
389 have influenced the outcome^[89]. In a previous case series of refractory GPA treated with RTX,
390 indeed, Holle et al reported a much lower remission/improvement rate in patients with orbital
391 masses (44.4%)^[90]. Nevertheless, it is important to stress that the retro orbital disease is not
392 recommended to be treated with a mild immunosuppressive regimen (e.g. methotrexate or
393 mycophenolate)^[82].

394

395 Rituximab (RTX) was reported to be effective in the management of tracheobronchial
396 stenosis (SGS and bronchial stenosis). Girard et al. reported a remission rate in 80% of GPA
397 patients with tracheobronchial stenosis, when treated with RTX. However, the remission rate
398 was lower with SGS (67%) and in patients requiring local treatment (67%)^[42].

399

400 Other SGS case reports treated with RTX have been published, other than the French case
401 series. The most reported a good outcome even in paediatric cases, but there are reports of no
402 response. Moreover, most patients received glucocorticoids and were also locally or
403 surgically treated, so the real effect of RTX alone is difficult to assess^[91-93].

404

405 Despite aggressive immunosuppressant treatment, SGS seems to respond less than other GPA
406 manifestations and to be burdened with a high risk of relapse. In this cases, the patients could
407 require a surgical treatment, so an expert laryngologist is crucial in the patient's monitoring
408 and management^[49].

409

410 About EGPA management, treatment is usually borrowed from the GPA/MPA experience,
411 because EPGA is frequently excluded from AAV randomized clinical trials and, when
412 included, EGPA patients are only a minority. Moreover, very few clinical trials are
413 specifically designed for EGPA and only one considered a monoclonal antibody (mAb)^[94-96].

414

415 Upper and lower airway involvement management in EGPA is challenging, because ENT and
416 asthma exacerbations are expression of non-severe disease but very common and
417 glucocorticoids dependent (up to 84% of patients)^[97].

418

419 In the last years, new treatments have been proposed for these manifestations, however, the
420 most evidence focused on refractory and glucocorticoid-dependent asthma than ENT
421 manifestation as chronic rhinitis and nasal polyposis.

422

423 The pathogenesis of EGPA is still not fully clarified, however, a direct pathogenic effect of
424 eosinophil infiltration into different tissues has been demonstrated. Eosinophils are strongly
425 activated and regulated by IL-5, which is primary produced by Th2 cells^[98].

426

427 Recently a phase 3 randomized controlled trial including relapsing or refractory or
428 glucocorticoid- dependent EGPA, the MIRRA trial, was published. This trial demonstrates
429 that mepolizumab, an anti-IL5 mAb, significantly reduced the frequency of disease relapses,
430 including the asthma and sinonasal relapses and allowed the glucocorticoids tapering or
431 reduction^[94].

432

433 About mepolizumab, a large observational respective study confirmed the efficacy of this
434 drug on EGPA patients with severe glucocorticoid-dependent asthma. The study included
435 patients treated with mepolizumab 100 mg monthly other than 300 mg monthly (dosage
436 administered in MIRRA trial). The Authors reported some benefit even with the low dosage
437 that could be acceptable as first line therapy, but they highlighted that it has not been
438 compared to the validated dosage of 300 mg monthly^[99].

439

440 After the results of MIRRA trial, other anti-IL5 medications have been considered promising
441 in EGPA. Reslizumab, for example, has been investigated in an open label pilot study in
442 EGPA with apparent favourable outcome on disease exacerbations^[100]. Similarly, it has been
443 recently published a prospective open label pilot study on benralizumab, an anti-IL5 receptor
444 mAb, in few EGPA patients reporting a good glucocorticoid sparing effect and improvement
445 of EGPA exacerbations, including airways symptoms^[101]. Interestingly, a recent case series
446 reported a good steroid sparing effect of benralizumab on EGPA asthma, even in patients
447 with lack or poor response to mepolizumab. In this study, moreover, the Authors reported a
448 significant improvement of patients' reported outcomes (PROs) on ENT symptoms^[102].

449

450 Up to now, it has been widely demonstrated that the systemic increase of IL-5 is crucial for
451 promoting eosinophilia, however, IL-5 increase is not always sufficient to cause an
452 eosinophil-mediated tissue damage or pathological condition^[98]. In specific tissues, local
453 inflammatory environment and tissue-specific IL-5 concurrently contribute to full eosinophils
454 activation^[103]. *In situ* activation and differentiation of eosinophils might be associated with
455 eosinophil heterogeneity and thus inconsistent response to treatment, according to specific
456 organs. Although significant differences in efficacy of anti-IL5 treatments have not been
457 demonstrated, benralizumab extensively depleted eosinophils via antibody-dependent cell-
458 mediated cytotoxicity (ADCC), compared with that of mepolizumab^[104]. However, despite
459 deep depletion of eosinophils, a post-hoc analysis of the randomized controlled trial did not
460 show significant differences between mepolizumab and benralizumab^[105]. The reason for the
461 discrepancy between the eosinophils' depletion and clinical improvement is still unclear.

462 Another interesting drug is omalizumab, an anti-human immunoglobulin E (IgE) murin mAb,
463 that demonstrated to be effective against allergic asthma, refractory chronic rhinosinusitis
464 with nasal polyps and refractory chronic spontaneous urticaria^[106-108]. Some author reported a
465 favourable experience with omalizumab as steroid sparing agent in EGPA with persistent
466 asthma and ENT manifestations, but half of patients suffered asthma exacerbations^[109-112].

467

468 However, there is also evidence of new onset or worsening of EPGA during omalizumab
469 treatment^[113,114]. It should be noted that the majority of the studies on omalizumab in EGPA
470 patients are case reports and case series with a low level of evidence and focuses on asthma
471 and ENT disease, while no data are available on the benefit of the drug in vasculitis
472 manifestation and severe EGPA that is considered to be limited^[115].

473

474 However, the real efficacy on vasculitis manifestations of the anti IL5 medications is also
475 debated, because MIRRA trial included the asthma and sinonasal exacerbations as EGPA
476 relapses, that is usually not recommended^[116].

477

478 About vasculitis manifestations in EGPA patients, RTX seems to be highly effective
479 especially on ANCA positive patients^[117], but data on asthma or ENT manifestations are still
480 limited. One study reported a beneficiary effect of RTX on EGPA asthma, however the small
481 size and the lack of a control group does not allow to draw final conclusions^[118].

482

483 **Surgical treatment**

484 The mainstay of treatment in AAV is medical, being surgical interventions procrastinated if
485 possible, give the potential risk of complications^[119]. However, considering the ENT
486 involvement, surgery may play a major role in at least four different settings: i) diagnosis, ii)
487 symptoms relief, iii) management of complications, and iv) reconstruction. Each one of these
488 surgical purposes find its different weight and importance in relation to the underlying
489 disease (GPA, EGPA, and MPA), and to the different anatomical districts involved.

490 A recent systematic review on the role of surgery in AAV affecting the nose and sinuses
491 demonstrated that most reports dealt with GPA in comparison with EGPA and MPA^[73].

492 Although far from being considered surgical procedures, endoscopic nasal biopsies represent
493 mini-invasive interventions frequently performed in the outpatient clinic, and probably the
494 easiest way to histologically confirm diagnosis in GPA and EGPA. Endoscopic sinus surgery
495 should be indicated in GPA patients presenting with complications (e.g. mucoceles, fungal
496 infections, orbital/lacrimal pathways involvement). In cases unresponsive to medical
497 treatments, endoscopic sinus surgery should be cautiously weighted in GPA patients, since
498 recent evidence suggests that sinus surgery is associated with osteitis progression, with an
499 increase in nasal space and crust formation^[120].

500

501 Reconstructive surgery in GPA (e.g. septal perforation or saddle nose repair) is controversial
502 and needs careful planning. Although no consensus exists on the best timing to perform it, it
503 should be indicated when the disease is in complete remission, with some authors suggesting
504 waiting for further 6 to 12 months after disease stabilization^[121].

505

506 Surgical measures for symptoms relief are reserved for refractory otologic manifestations in
507 GPA. Patients with recurrent otitis media with persistent symptomatic middle ear effusions,
508 or eustachian tube dysfunction may benefit from myringotomy tube placement^[122]. In case of
509 recurrent mastoiditis, mastoidectomy is advisable. An external approach and/or endonasal
510 procedures may be used to perform dacryocystorhinostomy for epiphora and/or chronic
511 infection in the lacrimal sac.

512

513 Although laryngo-tracheal manifestations of GPA are extremely rare (around 10% to 15%),
514 the subglottic stenosis is the most frequently observed. Failure of glucocorticoids and
515 immunosuppressive treatment in symptoms relief is the main indication for surgical treatment
516 of subglottic stenosis. Endoscopic intervention or dilation are preferred. Treatment failures
517 are relatively high, ranging from 49% after 1 year, to 80% at 5 years after the first procedure,
518 according to a multicentre study on 47 patients^[49].

519

520 Considering EGPA patients, the role of endoscopic sinus surgery is still a matter of debate,
521 with controversial opinion reported in literature^[73]. In the future, surgery in EGPA will
522 probably collide with the introduction of new monoclonal antibodies in the treatment
523 regimens.

524

525 Surgical indications and proper timing of procedures is critical in AAV patients and should
526 always be planned in a multidisciplinary setting in conjunction with all the medical figures
527 involved, to avoid poor outcomes and potential surgical complications.

528

529 **CONCLUSIONS**

530 To conclude, ENT involvement in AAV, especially in GPA and EGPA, represent one of the
531 most frequent symptoms. Although patients with ENT symptoms have better survival and
532 less renal involvement, they typically experience persistent or relapsing disease together with
533 long-term exposure to therapies leading to irreversible damage.

534

535 The burden of sinonasal morbidity on quality of life is significant and comparable to other
536 common chronic diseases, with an impairment especially of social functioning and well-being
537 perception, perhaps as a result of the stigma of constant purulent rhinorrhoea, embarrassing
538 epistaxis, or nasal deformity from cartilage destruction^[123,124]. The high impact of ENT

539 symptoms on quality of life of AAV patients confirms the importance of their early treatment
540 through specific local and systemic approach^[124].

541

542 The otorhinolaryngologist is often one of the first physicians to see patients with GPA first.
543 The most frequent clinical manifestation of GPA is related to ENT involvement, in all of its
544 forms, which may be the first or the only symptom. Thus a close collaboration between
545 otorhinolaryngologist and rheumatologist is crucial for readily arrive at the proper diagnosis
546 that allows the timely initiation of appropriate therapy. Therefore, AAV patients must be
547 followed-up regularly and frequently, in order to detect early relapses and reduce damage
548 accrual of the affected areas.

549

550 **DECLARATIONS**

551 **Authors' contributions**

552 Conceptualization: Padoan R, Campaniello D, Felicetti M, Cazzador D, Schiavon F

553 Investigation: Padoan R, Schiavon F

554 Writing review: Padoan R, Campaniello D, Felicetti M, Cazzador D, Schiavon F

555 Editing: Padoan R, Cazzador D, Schiavon F

556 Drafting and revising figures: Padoan R, Cazzador D

557 supervision of ENT surgery topics: Cazzador D, Schiavon F

558

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561

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564

565 **Conflicts of interest**

566 All authors declared that there are no conflicts of interest.

567

568 **Ethical approval and consent to participate**

569 Not applicable.

570

571 **Consent for publication**

572 Not applicable.

573

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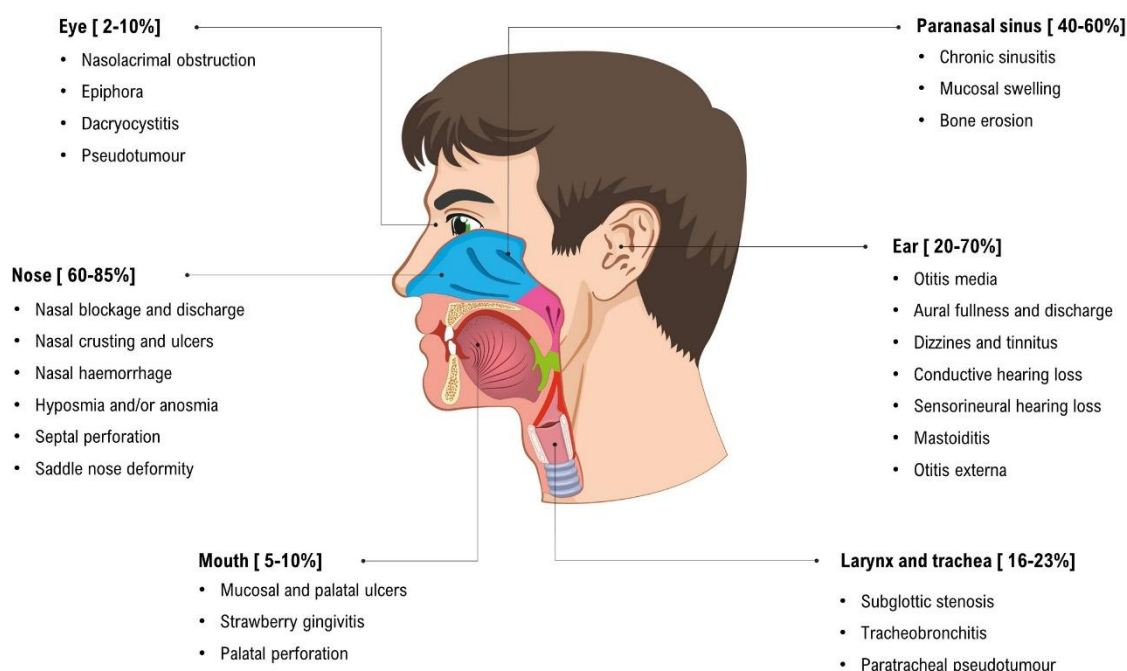
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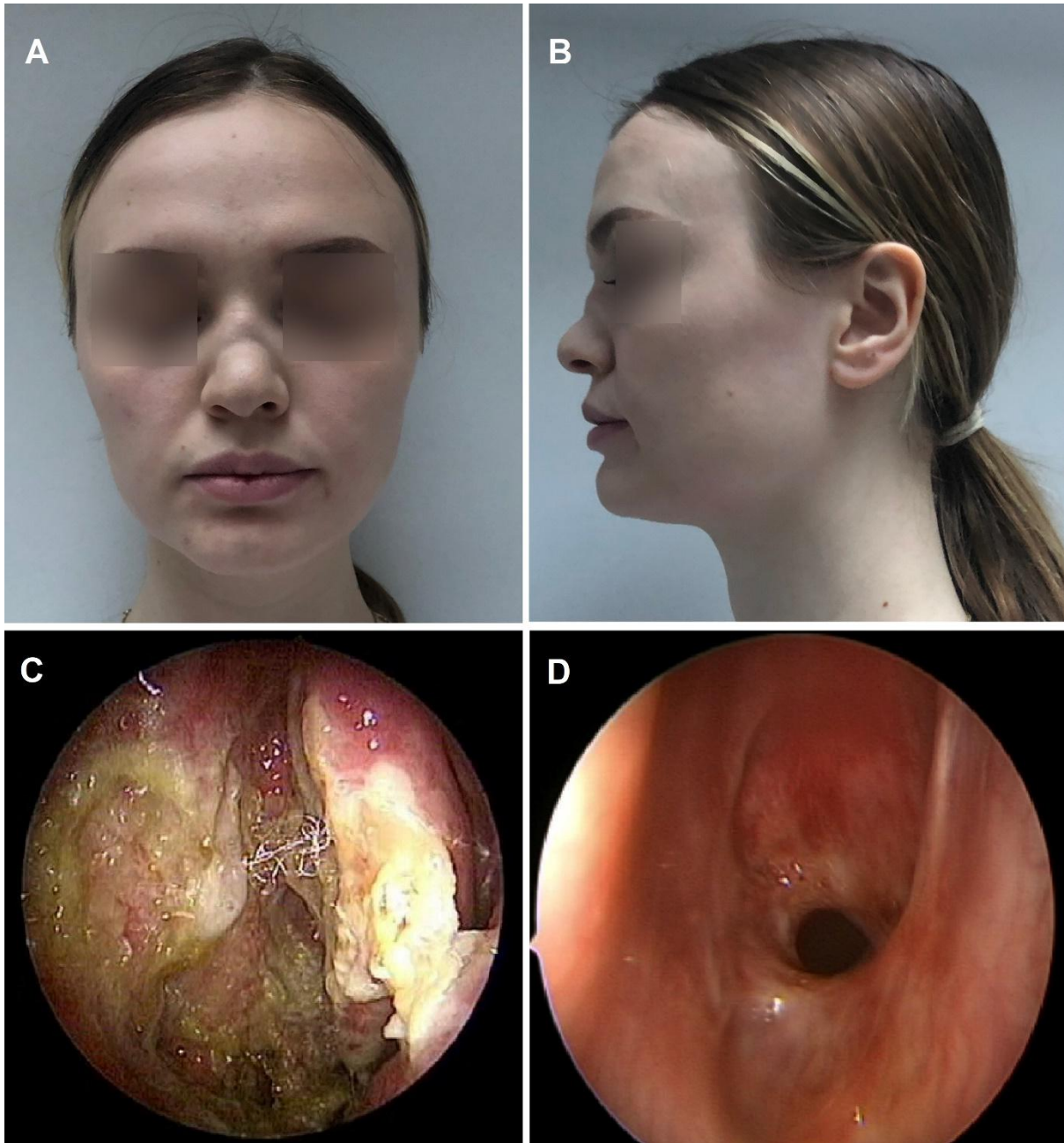
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928 **Figure 1.** Ear, nose and throat features in granulomatosis with polyangiitis according to
 929 anatomical region and frequency of involvement.



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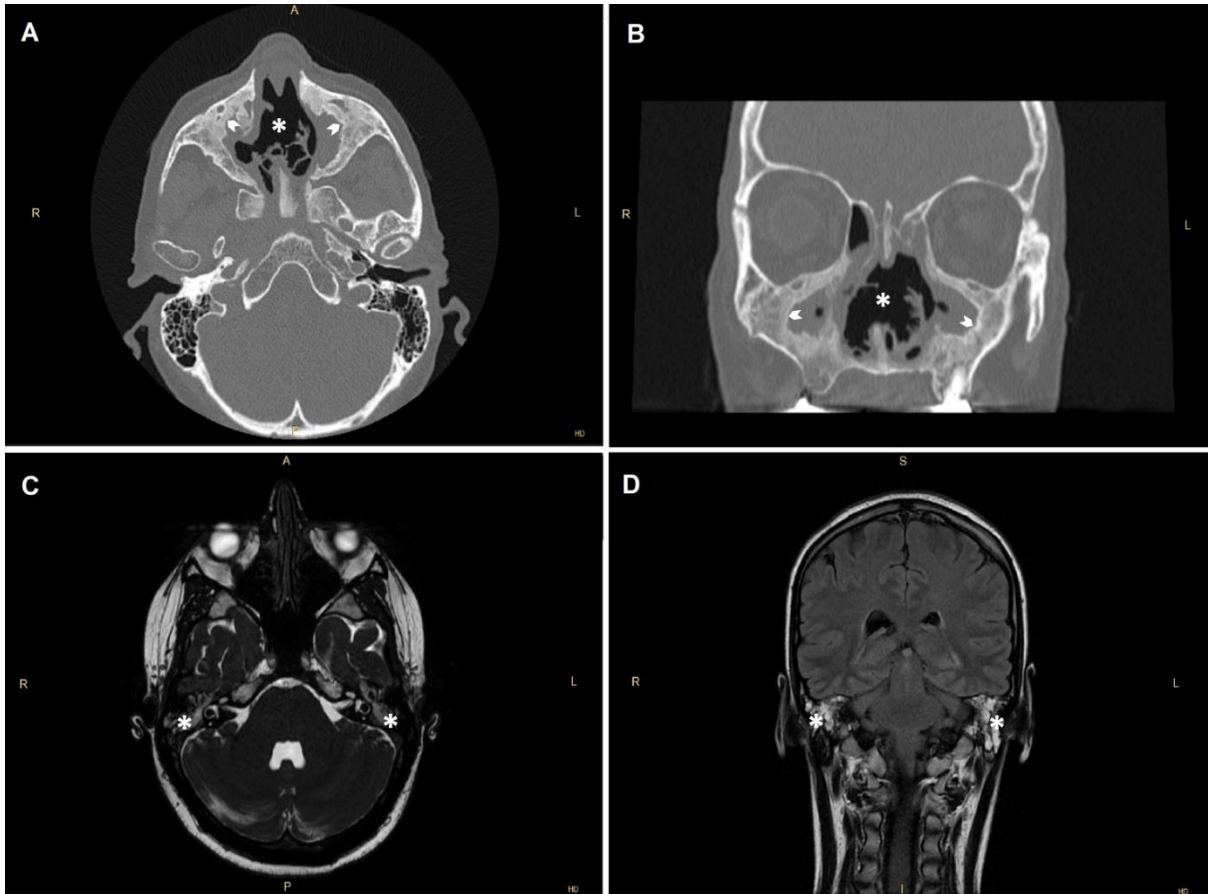
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Figure 2. Clinical features of granulomatosis with polyangiitis manifestations in the ENT region. Frontal and sagittal view of saddle-nose deformity (A, B). Nasal endoscopy (C) showing subtotal septal perforation, bone erosion of the right middle and inferior turbinates, and diffuse crusting covering the nasal mucosa. Endoscopic view of a concentric subglottic stenosis (D).



937
 938 **Figure 3.** Radiological aspect of chronic rhinosinusitis, anterior septal perforation (asterisks)
 939 and maxillary sinus osteitis (arrowhead) in a patient diagnosed with granulomatosis with
 940 polyangiitis (CT scan, axial -A- and frontal -B- views). T2-weighted MRI axial scan (C) and
 941 Fluid Attenuated Inversion Recovery (FLAIR) coronal scan (D) showing diffuse hyperintense
 942 opacification of the middle ear and mastoid cells in bilateral mastoiditis (asterisks).
 943