Review

Cancer Drug Resistance

Open Access

Check for updates

Proteasomal adaptations to FDA-approved proteasome inhibitors: a potential mechanism for drug resistance?

Kyung Bo Kim

Department of Pharmaceutics, College of Pharmacy, University of Kentucky, Lexington, KY 40536-0596, USA.

Correspondence to: Prof./Dr. Kyung Bo Kim, Department of Pharmaceutics, College of Pharmacy, University of Kentucky, 789 South Limestone, #327 Lexington, KY 40536-0596, USA. E-mail: kbkim2@uky.edu

How to cite this article: Kim KB. Proteasomal adaptations to FDA-approved proteasome inhibitors: a potential mechanism for drug resistance? *Cancer Drug Resist* 2021;4:634-645. https://dx.doi.org/10.20517/cdr.2021.27

Received: 1 Apr 2021 First Decision: 21 Apr 2021 Revised: 4 May 2021 Accepted: 17 May 2021 Available online: 30 May 2021

Academic Editors: Q. Ping Dou, Godefridus J. Peters Copy Editor: Xi-Jun Chen Production Editor: Xi-Jun Chen

Abstract

With proteasome inhibitors (PIs) becoming clinically available since 2003, outcomes for patients with multiple myeloma (MM) have dramatically changed, improving quality of life and survival. Despite the impressive treatment success, however, almost all MM patients who initially respond to these PIs eventually develop resistance. Furthermore, a portion of MM patients is inherently unresponsive to the PIs. Extensive mechanistic investigations identified several non-proteasomal signaling pathways suspected to be linked to the PI resistance, for which several excellent reviews are currently available. On the other hand, it is still unclear how cancer cells under high PI environments adapt to spare proteasome activity essential for survival and proliferation regardless of cancer evolution stages. This review outlines current progress towards understanding the proteasomal adaptations of cells in response to PI treatment to maintain necessary proteasome activity. A better understanding of cellular proteasomal changes in response to the PIs could provide a rationale to develop new therapeutics that could be used to overcome resistance to existing PI drugs.

Keywords: Constitutive proteasome, immunoproteasome, carfilzomib, bortezomib, drug resistance

INTRODUCTION

One of the most fundamental processes in mammalian cells is the ubiquitin-proteasome system (UPS)-



© The Author(s) 2021. **Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License (https://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, sharing, adaptation, distribution and reproduction in any medium or format, for any purpose, even commercially, as

long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made.



mediated protein degradation. In addition to disposing of misfolded or damaged proteins, the UPS is also responsible for the degradation of many signaling molecules in a highly controlled manner, regulating critical biological processes, such as cell cycle, inflammation, and DNA repair^[1]. As such, the UPS is firmly believed to be a crucial component of several traditional cancer hallmarks, including angiogenesis, unlimited replication, and sustained proliferation^[2]. The UPS's fundamental importance to cancer cells is further endorsed by suggesting to include proteotoxic stress, which is delicately controlled by the UPS, as an additional cancer hallmark by Luo *et al.*^[3]. More importantly, the UPS is also thought to be vital for cancer cells during their evolutionary processes to select clones conferring resistance to anticancer therapies, including proteasome inhibitor (PI)-based therapies^[4].

The multi-protease complex 26S proteasome is a final executioner in the UPS, recognizing and deubiquitinating polyubiquitinated proteins and breaking them down into smaller peptide fragments [Figure 1A]. The 26S proteasome's 20S core consists of 4-stacked rings comprising two identical outer α -rings and two identical inner β -rings, each containing 7 subunits [Figure 1B]. There are two main types of proteasomes in mammalian cells: the constitutive proteasome (cP) and immunoproteasome (iP). The cP has three catalytic subunits (β 1, β 2, β 5) on each β -ring displaying three distinct substrate preferences: referred to as caspase-like (C-L), trypsin-like (T-L), and chymotrypsin-like (CT-L) activities, respectively. In immune cells, the constitutive subunits β 1, β 2, and β 5, which are also commonly referred to as Y, Z, and X, are replaced by three immunosubunits β 1i, β 2i, and β 5i, respectively, to form the iP. The three immunosubunits β 1i, β 2i, and β 5i are also conveniently referred to as LMP2, MECL1, and LMP7. In non-immune cells, the iP can also be induced by pro-inflammatory cytokines such as interferon (IFN)- γ [Figure 1B]. Although the iP is shown to play a significant role in antigen presentation, its exact function in non-immune cells remains to be investigated.

Due to increased proteotoxic stress and high metabolism in rapidly proliferating cancer cells, the demand for the UPS (and proteasome) activity is much higher than in resting normal cells, ultimately leading to the development of FDA-approved anticancer proteasome inhibitors (PIs)^[1]. With several PIs becoming clinically available since 2003 [Figure 2], PI drugs, together with immunomodulatory agents, have become the mainstays of treatment regimens for multiple myeloma (MM) patients. The dipeptides bortezomib and its oral counterpart ixazomib share a boronic acid pharmacophore and bind to β5 via a tight non-covalent interaction between the boron atom and the nucleophilic oxygen lone pair of Thro1 within the β5 active site. On the other hand, the covalent inhibitor carfilzomib, a tetrapeptide with C-terminal α',β' -epoxyketone, forms a seven-membered, 1,4-oxazepano adduct between the epoxyketone pharmacophore and the catalytic Thr01 within the β 5 active site^[5,6]. As a result, the covalent interaction of carfilzomib leads to less recovery of CT-L activity in cells than bortezomib^[7]. Regardless, the prognosis of patients with MM has substantially improved over the years, increasing the 5-year survival rate from ~35% to ~54%^[8-10]. Despite the treatment success, however, those who respond to PIs inevitably relapse^[11], and a portion of the MM patient population is also inherently unresponsive to the PIs^[12-14]. Once relapsed on a PI drug, most patients are likely resistant to another PI drug, posing a major clinical hurdle. Clinical trials revealed that more than 75% of patients who relapsed on bortezomib-based therapies display cross-resistance to carfilzomib^[15], significantly restricting carfilzomib-based treatments.

Extensive mechanistic investigations identified several non-proteasomal PI resistance mechanisms, such as those involving dysregulations of unfolded protein response, autophagy, aggresomes, MARCKS proteins, or efflux transporters^[16-23]. These mechanistic studies were performed primarily using established cell lines or small sample sizes of primary tissues, needing further verification for their clinical relevance and potential applicability to the development of new therapeutics to overcome PI resistance. On the other hand, it has



Figure 1. (A) The ubiquitin-mediated protein degradation pathway. Proteins, polyubiquitinated by an enzyme complex containing a ubiquitin-activating enzyme (E1), a ubiquitin-conjugating enzyme (E2), and a ubiquitin-protein ligase (E3), are recognized and degraded by the 26S proteasome. (B) Two main (or standard) 20S proteasome subtypes present in mammalian cells: the constitutive proteasome (cP) and immunoproteasome (iP).



Figure 2. FDA-approved proteasome inhibitors. Bortezomib and ixazomib contain a boronic acid pharmacophore, while a tetrapeptide carfilzomib has an epoxyketone pharmacophore.

been proposed that when MM cells in patients are exposed and adapted to therapeutic doses of PIs, the proteasome activity in MM cells might be sufficiently salvaged to allow for cell survival and proliferation^[24]. This premise has been further bolstered by findings that cells with acquired or intrinsic PI resistance remain sensitive to proteasome activity disruption^[25-29], supporting that the proteasome activity is essential even to the PI-resistant cells. However, to date, it remains a controversial topic as to how cancer cells evade the inhibitory activity of PI to spare proteasome activity necessary for cell survival. Of note, it has been shown that PI-resistant cells are unlikely to have enhanced metabolic activity to inactivate PIs^[29]. With this in mind, this review outlines the current understanding of proteasomal adaptations in response to PI treatment in cancer cells. It should be noted that the information summarized here is mainly from studies performed using cell line models and a limited number of primary samples. We expect that a better understanding of proteasomal adaptations to PIs in current clinical use could provide a strategy to develop new drugs that can be used to overcome clinical PI resistance.

PROTEASOMAL ADAPTATIONS

Point mutations in the PI-targeted proteasome subunits

Earlier studies performed using cell line models revealed that specific mutations occur primarily on a particular proteasome β -subunit, β 5, which is responsible for the CT-L activity and a major target of all

three FDA-approved PIs (bortezomib, carfilzomib, and ixazomib). For example, researchers observed a high level of acquired resistance to bortezomib when human myelomonocytic THP1 cells were exposed to increasing concentrations of bortezomib^[30]. Further studies found a specific point mutation (*Ala49Thr*) residing in a highly conserved active site in the catalytic β 5 subunit of the cP^[31]. Given that Ala49 resides within the substrate-binding pocket, the Ala-to-Thr substitution is thought to impact bortezomib binding negatively. Similarly, using Jurkat cells cultured with increasing concentrations of bortezomib, another research group isolated two additional bortezomib-resistant clones containing β 5 point mutation: Ala49Val and a conjoined mutant (Ala49Thr and Ala50Val), in addition to the previously reported Ala49Thr clone [Table 1]. These three mutants were ~22-67-fold more resistant to bortezomib compared to parental Jurkat cells. Modeling of β 5 complexed with bortezomib suggests that both Ala49 and Ala50 are involved in interactions with bortezomib, verified by the X-ray study result^[32].

Multiple additional mutations were also identified in bortezomib-resistant blood cancer cell lines (AML, ALL, and MM), displaying ~40-170-fold resistance^[33]. In these cell lines, mutations were clustered in the bortezomib binding region or its proximity. To distinguish between an expansion of a pre-existing $\beta 5$ subunit mutant subclone and the *de novo* acquisition of a mutation and its subsequent outgrowth, researchers generated an additional independent panel of bortezomib-resistant cell lines. Identification of different mutations in these cell lines verified that the observed bortezomib resistance is due to *de novo* acquisition of multiple mutations in the $\beta 5$ gene (*PSMB5*)^[33].

Allmeroth et al.^[34] also reported one previously known (Ala49Val) mutation and a new one (Thr21Ala) in KMS cell lines treated with increasing bortezomib concentrations [Table 1]. Interestingly, bortezomibresistant KMS cells with Thr21Ala substitution were shown to be hypersensitive to carfilzomib and oprozomib. It is presumed that the replacement of Thr with Ala (having a smaller side chain) creates a bigger binding pocket, affording a tighter binding for carfilzomib and oprozomib, which possess a bulkier pharmacophore (epoxyketone) than bortezomib (boronic acid). In contrast, Ala49 to Val (having a bulkier side chain) mutation provided a cross-resistance to carfilzomib, presumably due to reduced accessibility of carfilzomib and oprozomib to the binding site within β 5. Additionally, they employed an unbiased forward genetic approach in haploid cells using N-ethyl-N-nitrosourea mutagenesis to screen mutations that can impact the effectiveness of bortezomib, selecting several clones showing at least 2-fold bortezomib resistance. By analyzing these clones, they identified two new $\beta5$ mutation sites within the substrate pocket that can impact the effectiveness of bortezomib binding (Ser130, Tyr169). On the other hand, a systemic screening approach for bortezomib-resistant MM cell lines yielded 5 MM cell lines acquiring previously unidentified point mutations (*Met104Val* and *Thr80Ala*) [Table 1]^[35]. Although these mutant-screening data may offer essential insights into the potential direction of second-generation PI treatment for patients who have developed resistance to initial PI treatment, their clinical relevance needs to be established.

For PIs other than bortezomib, Brünnert *et al.*^[36] reported the generation of MM cell lines that display a ~10-fold higher resistance to ixazomib than their parental cell lines. In two ixazomib-resistant MM cell lines, they found different β 5 mutations (*Thr21Ala* in MM.1S and *Ala50Val* in L363 cell lines), whereas no mutations were found in the ixazomib-resistant AMO1 cell line. These ixazomib-resistant MM cell lines also exhibited high resistance to bortezomib and carfilzomib, indicating a common mechanical mode of resistance between the three PIs.

Until now, multiple large-scale screening efforts to identify somatic mutations of *PSMB5* from primary MM samples have failed to verify *PSMB5* mutations as a clinically relevant mechanism of PI resistance^[37-39]. In a recent screening study with 1241 newly diagnosed MM patients, Barrio *et al.*^[40] identified a *Tyr42Cys*

Cell lines	β5	Fold difference (cell viability)	Ref.			
THP-1	Ala49Thr	~45-129	Oerlemans et al. ^[30]			
Jurkat	Ala49Thr	Selected at 500 nM	Lu et al. ^[31]			
Jurkat	Ala49Thr Ala49Val Ala49Thr & Ala50Val	-22 -39 -67	Lü et al. ^[70]			
HT-29	Cys63Phe	~30	Suzuki et al. ^[7]			
CEM	Cys52Phe Ala49Val & Cys52Phe Ala49Thr	-170	Franke et al. ^[33]			
RPMI 8266	Ala49Thr	~40				
THP-1	Ala49Thr	Selected at 100 nM				
	Ala49Thr & Met45Ile	Selected at 500 nM				
	Met45IIe	Selected at 100 nM (2nd independent panel)				
Yeast	Met45IIe Cys63Phe	21.4 0.8	Huber et al. ^[41]			
KBM7	Met45IIe	Exposed to 18 nM bortezomib and 700 nM MG132	Tsvetkov et al. ^[42]			
RPMI 6226 KMS11R FR4R XG-1R	Met104Val	At least 5-fold	Shi <i>et al.</i> ^[35]			
MM1.SR	Thr80Ala					
KMS-18	Thr21Ala	~2	Allmeroth <i>et al.</i> ^[34]			
KMS-27	Ala49Val					
L363	Ala50Val	9 Against ixazomib	Brünnert et al. ^[36]			
MM.1S	Thr21Ala	13				
Primary MM*	Ala20Thr Ala27Pro Met45Ile Cys63Tyr	From an MM patient refractory to bortezomib	Barrio et al ^[40]			
	Tyr42Cys	One out of 1,241 newly diagnosed patients with MM				

Table 1	5 mutations found in cells with acquired resistance to bortezom	ih
rable i.	5 mutations found in cens with acquired resistance to bortezoni	ID.

*Detected after initiation of PAD (bortezomib, A and Dex)-pomalidomide treatment at TP3. MM: Multiple myeloma.

mutation of β 5 from a single patient sample [Table 1]. Currently, the importance of the *Tyr42* mutation in clinical PI resistance remains unclear. They also reported four somatic *PSMB5* mutations from primary samples collected from a single MM patient refractory to bortezomib [Table 1]. Three of them (Ala20Thr, Ala27Pro, Met45Ile) reside within the substrate-binding site of β 5, while Cys63Tyr is located near the S1 pocket area of the β 5^[40]. The Met45Ile mutant was previously reported in established cell lines with acquired bortezomib resistance^[41,42], and all the four mutations were shown to induce PI resistance, indicating that they are also involved with bortezomib binding as shown in structural and modeling studies^[7,41,42]. While Ala20Thr and Ala27Pro mutations effectively abolished the effectiveness of bortezomib, both mutants remained sensitive to carfilzomib. Although a single patient sample was used to identify and verify these β 5 mutations can be overcome by alternative PIs that allow for stronger binding to the mutants. Ultimately, a larger scale of β 5 mutation analysis using clinical samples may be needed to offer a helpful treatment direction in the future. There have been no reports showing β 5 mutations as a potential cause of carfilzomib resistance in cell lines or primary tissue samples. Instead, several studies demonstrated that Pgp (P-glycoprotein) upregulation is a major mechanism of carfilzomib resistance in established cell lines^[19,43].

Page 639

Upregulation of β 5 subunit, a primary target of proteasome inhibitor drugs

A few reports showed that *PSMB5* mRNA and β 5 protein were overexpressed in response to chronic exposure to PIs. When Jurkat cells were repeatedly exposed to bortezomib over 6 months, researchers found increased expression of *PSMB5* mRNA, which was also coincident with increased CT-L activity [Table 2]^[44]. In the same Jurkat cells, a considerable decrease in IkB- α levels was observed, indicating that the bortezomib-mediated upregulation of NF- κ B activity may contribute to survival and proliferation of bortezomib-resistant cells. THP1 cells exposed to bortezomib over 6 months also led to bortezomib-resistant cells showing ~60-fold drug resistance, with a dramatic overexpression of β 5 mutant (Ala49Thr) but not other subunits^[30]. While increased β 5 mutant expression led to no marked changes in the CT-L activity (measured using Suc-LLVY-AMC) compared to parental THP1 cells, siRNA-mediated PSMB5 silencing restored bortezomib sensitivity, indicating that the β 5 mutant contributes to bortezomib resistance.

PSMB5 mRNA overexpression has also been observed in bortezomib-resistant hepatocellular carcinoma (HCC) cell lines (HepG2 and Huh7) treated with stepwise increasing concentrations of bortezomib over ~6 months^[45]. Bortezomib-resistant HepG2 cells displayed ~2.8 and ~6-fold higher CT-L and caspase-like (C-L) activities, respectively, relative to the parental cells, consistent with increased expression of β 5 and β 1 subunits in the drug-resistant cell lines [Table 2]. This observation suggests that the increased expression of β 5 may be one of the mechanisms underlying bortezomib resistance regardless of cancer types. In addition, β 5 was also highly upregulated in all three ixazomib-resistant MM cell lines examined (L363, MM.1S, AMO1), while β 1 upregulation was found only in the ixazomib-resistant AMO1 cells^[36].

The overexpression of the PSMB5 gene is also reported in primary MM cells collected from a refractory patient to bortezomib-based treatment^[46]. Specifically, mRNA levels of PSMB5 in MM cells collected from patients who underwent 6-cycle of intensive bortezomib-based treatment were about 5-fold higher relative to before bortezomib treatment, with no PSMB5 mutation [Table 2]. In a separate study using 10 MM patient samples collected before and after bortezomib treatment, researchers found that in 8 of those matched patient samples, PSMB5, 6, and 7 mRNA levels were significantly higher in bortezomib-treated primary samples than untreated counterparts^[35]. It is currently unknown whether these upregulations observed in MM primary samples are linked to bortezomib resistance in these patients. Furthermore, PSMB5 mRNA was also highly expressed in primary triple-negative breast cancer (TNBC) tissue compared to normal tissues and non-TNBC breast cancer samples^[47]. Not surprisingly, the CT-L activity in these primary TNBC tissues was considerably higher than in non-TNBC tissue breast cancer samples. Further analysis of survival data revealed that TNBC patients with relatively lower PSMB5 levels had much longer progression-free and overall survival than the high PSMB5 patients, suggesting the status of PSMB5 expression as an important indicator of TNBC prognosis. Currently, it is unclear whether there is a correlation between PSMB5 overexpression and drug resistance in TNBC. Mechanically, the overexpression of proteasome catalytic subunits in response to PIs is proposed to be related to a gain-of-function via increased assembly of 20S proteasome in response to PIs^[48].

Paradoxically, researchers found that reduced expression of 19S proteasome regulatory subunits is directly associated with intrinsic bortezomib resistance in cell line studies^[42,49]. Furthermore, they also observed that reduced 19S subunit mRNA expression is correlated with bortezomib resistance and poor outcome for myeloma patients treated with bortezomib^[49]. Similarly, Acosta-Alvear *et al.*^[50] identified several 19S subunits that induce resistance to carfilzomib in cancer cells using a systematic RNAi screening approach. In both cases, reduced expression of the 19S subunits is shown to cause significant changes in the spectrum of proteasome substrate and the remodeling of the transcriptome, indicating a potential mechanism of PI resistance. Interestingly, by analyzing gene expression data sets from 170 newly diagnosed, uniformly

Cell lines	Overexpression		Fold difference	Ref.
Jurkat	PSMB5 mRNA (CT-L activity increased)	Exposed to bortezomib for 6 months		Lu et al. ^[44]
THP1	β5	~50 (β5 activity)		Oerlemans et al. ^[30]
HepG2	β5, β1	~15 (cell viability)		Wu et al. ^[45]
HuH7		~39 (cell viability)		
U266 JJN3	β5 (& β6)	~2-8 (cell viability)		Shi et al. ^[35]
Primary MM	β5, β6, β6			
L363 MM.1S AMO1	β5, β1	9 13 11	Against ixazomib	Brünnert <i>et al.</i> ^[36]
Primary MM	PSMB5 mRNA	~5 (mRNA)		Shuqing et al. ^[46]
Primary TNBC	PSMB5 mRNA CT-L activity	Not determined (PSME	35 is indicative of poor prognosis)	Wei et al. ^[47]

Table 2. 5 overexpression found in cancer cells in response to bortezomib treatment

treated MM patients, Song *et al.*^[51] reported that the 19S subunit Rpn11, a deubiquitinating enzyme (DUB), is more highly expressed in patient MM cells than in normal plasma cells and its expression is directly correlated with poor patient survival. Although researchers show that inhibition of Rpn11 can overcome resistance to bortezomib, whether overexpression of Rpn11 is directly correlated with resistance to bortezomib is currently unknown.

Aberrant expression of immunoproteasome and constitutive proteasome subunits

It has been shown that established MM cells and primary MM samples express iP subunits (β_{1i} , β_{2i} , or β 5i)^[29,52], even though the presence of the fully assembled standard iP containing β 1i- β 2i- β 5i in those cells has not been verified. Busse *et al.*^[53] also reported that three bortezomib-resistant cell lines, selected from 12 hematopoietic cancer cell lines by incubating with increasing concentrations of PS-341 (bortezomib), express commonly lower levels of both $\beta 2$ and $\beta 1i$ than bortezomib-sensitive hematopoietic cancer cell lines examined. Specifically, bortezomib-resistant DG75 and KARPAS442 cell lines expressed much lower levels of β2i than bortezomib-resistant RAJI cells [Table 3], suggesting the impaired assembly of the standard 20S iP. They also found that solid cancer cell lines with low expression levels of $\beta 2$ and iP subunits tend to show low bortezomib sensitivity^[53]. In another study, Niewerth et al.^[23] reported that bortezomib-resistant sublines obtained from human leukemia cell lines (RPMI 8226, CCRF-CEM, and THP1) contain a significantly lower amount of iP subunits (in particular, $\beta 5i$) while increased cP subunits (including $\beta 5$ mutant) compared to their parental cell lines, perhaps promoting β 5 mutant incorporation into the fully assembled 20S proteasome in place of β 5i. Based on this finding, they suggested that downregulation of β 5i expression may be a major determinant in acquiring bortezomib resistance. Similarly, bortezomib-adapted Namalwa (human Burkitt's lymphoma) cells displayed increased expression of cP subunits (β_1 , β_2 , and β_5) but a completely down-regulated expression of iP subunits (β_{1i} , β_{2i} , and β_{5i}). Despite significantly increased expression of cP subunits at the expense of iP subunits, the proteasomal proteolytic activities were only slightly increased compared to the parental Namalwa cells.

There have been many clinical trials to evaluate the effectiveness of PIs in combination with other agents for the treatment of acute myeloid leukemia (AML) and acute lymphocytic leukemia (ALL), despite several setbacks showing only modest single-agent activity. Using samples from patients with relapsed and refractory acute leukemia, Niewerth *et al.*^[54] investigated the iP/cP expression ratios related to bortezomib-containing therapy responses. They found that AML patients who achieved complete remission (CR) have higher pre-treatment iP/cP ratios than patients who did not achieve CR. Similarly, ALL patients with higher

Cell lines	Expression	Fold difference (cell viability)	Ref.
RAJI DG75 KARPAS442	\downarrow β2, β1i \downarrow β2i (KARPAS442 & DG75 only)	Selected at 10-40 nM bortezomib	Busse et al. ^[53]
RPMI 8226 CCRF-CEM THP1	↓ β1i, β2i, β5i ↑ β1, β2, β5	~40-150	Niewerth et al. ^[23]
Namalwa (MES-SA)	↓ β1i, β2i, β5i ↑ β1, β2, β5	Growing at 12.5 nM of bortezomib	Fuchs et al. ^[71]
Primary bone marrow plasma cells	↑ β5i	After carfilzomib therapy	Woodle et al. ^[55]

Table 3. Aberrant expression of iP and cP subunits

↑: increased; ↓: decreased.

iP/cP ratios showed an excellent initial response to the bortezomib-containing therapy; however, the result was not statistically significant due to insufficient sample sizes. Taken together, the result further supports that the iP/cP expression ratios may contribute to the bortezomib-containing therapy response in these disease types. Moving forward, further clinical studies may be needed to direct the course of the PI-containing therapy for the treatment of the diseases.

One of the major immunologic barriers in transplantation is donor-specific antibodies (DSAs), which have a deleterious effect on allografts. Bone marrow plasma cells (BMPCs) are a well-known source of long-term antibody production, causing severe transplantation rejection. In recent years, PIs, including carfilzomib, have been actively investigated as a promising strategy to suppress BMPC-mediated antibody production but suffer from acquired drug resistance of these BMPCs. Woodle et al.[55] reported that BMPCs with acquired carfilzomib resistance display reduced sensitivity to other PIs such as bortezomib and ixazomib but enhanced sensitivity to the iP subunit β5i-selective inhibitor (ONX-0914). Using patient bone marrow biopsies, they confirmed that catalytically active iPs are upregulated in BMPCs collected after carfilzomib therapy^[55]. Specifically, expression of β 5i (and the proteasome activator PA28) was significantly higher in BMPC lysates collected after carfilzomib treatment than in those collected before. Expression levels of other proteasomal catalytic subunits (β_1 , β_1 , β_2 , β_2 , and β_5) remained unchanged before and after carfilzomib treatment. The induction of β5i after carfilzomib therapy was also verified *ex vivo* by incubating carfilzomib in CD138+ BMPCs isolated from 8 individual HLA-sensitized patients. The incorporation of β5i into the catalytically active 20S proteasome complex was verified using a fluorescently labeled ß5i-selective covalent probe, which fluorescently labels β_{51} in live cells. The data collectively support that upregulation of β_{51} contributes to acquired drug resistance of these BMPCs. However, it needs to be determined whether β5i upregulation leads to the assembly of the standard 20S iP containing β_{1i} - β_{2i} - β_{5i} or non-standard 20S proteasome subtypes such as $\beta_{1-\beta_{2-\beta_{5i}}}$ or $\beta_{1i-\beta_{2-\beta_{5i}}}$.

Formation of non-standard 20S proteasomes

When the standard proteasome subtypes are present in cells, an equivalent expression of the standard sets of three catalytic subunits (β_1 - β_2 - β_5 or β_{1i} - β_{2i} - β_{5i}) is expected to be simultaneously detected. However, the evidence demonstrated that different tissue cells, including cancer, express inequivalent sets of standard catalytic subunits^[52,56-65], indicating the presence of non-standard types of 20S proteasomes containing mixed assortments of cP and iP catalytic subunits, such as β_1 - β_2i - β_5i or β_1i - β_2 - β_5 . Parlati *et al.*^[66] reported that normal and malignant hematopoietic cells express high levels of β_5 and β_5i , while expression levels of β_2i and β_{1i} are significantly lower relative to β_5i . Based on the subunit expression information, they proposed that non-standard 20S proteasomes containing β_1 - β_2 - β_5i or β_1i - β_2 - β_5i are likely to present in the hematopoietically derived cells [Figure 3]^[66]. While non-standard proteasome subtypes' identity remains to be determined, non-standard proteasomes appear to display different sensitivities to PIs than cP and



Figure 3. Examples of non-standard 20S proteasomes containing β5i-β1i-β2 or β5i-β1-β2 composition.

iP^[57,62,67,68]. However, it is unclear whether the presence of non-standard proteasomes is linked to PI resistance.

In this regard, Lee *et al.*^[29] recently reported that intrinsically carfilzomib-resistant H727 cells express most of the iP and cP catalytic subunits while lacking β_{11} , suggesting the presence of non-standard proteasome subtypes such as β_{1} - β_{2i} - β_{5i} , β_{1} - β_{2i} - β_{5} , or β_{1} - β_{2} - β_{5i} . Despite the *de novo* carfilzomib resistance, it has been shown that the proteasome activity in H727 cells remained vital for cell survival and proliferation. When incubated with IFN- to induce the assembly of the standard iP, H727 cells became sensitive to carfilzomib, suggesting that non-standard proteasome subtypes are involved with *de novo* carfilzomib resistance in H727 cells. It was also shown that alternative PIs with different structural features could overcome the *de novo* carfilzomib resistance in H727 cells^[69]. Taken together, they suggested that non-standard proteasomes present in H727 cells may contribute to the intrinsic carfilzomib resistance, having a different drug binding affinity than the standard proteasome types.

CONCLUSION

The proteasome-mediated protein degradation remains vital to cancer cells regardless of PI resistance status. Proteasomal adaptations in response to PI treatment are also noted in surviving and proliferating tumor cells. Point mutations or overexpression of proteasome catalytic β -subunits have been frequently observed in PI-resistant cell lines and limited numbers of primary tumor samples. Studies also suggest a potential role of non-standard 20S proteasome subtypes in PI resistance. However, the lack of extensive clinical evidence supporting the proteasomal contribution to acquired or intrinsic PI resistance indicates that there remains much room for further investigations of clinical samples. Moving forward, a better understanding of the proteasomal adaptation to PI treatment will help to determine a course of PI-based therapy. In summary, proteasomal adaptations appear to be partially responsible for PI resistance, either acquired or *de novo*, implying that the proteasome-targeting strategy may remain a valid therapeutic strategy for MM patients who relapsed on or refractory to PIs in current clinical use.

DECLARATIONS

Acknowledgments

The author wants to thank the former and current lab members for their hard work and dedication and the National Institutes of Health (R01 CA188354) for the proteasome inhibitor development project financially.

Page 643

Authors' contributions

The author contributed solely to the article.

Availability of data and materials Not applicable.

Financial support and sponsorship

National Institutes of Health (R01 CA188354).

Conflicts of interest

The author declared that there is no conflict of interest.

Ethical approval and consent to participate

Not applicable.

Consent for publication

Not applicable.

Copyright

© The Author(s) 2021.

REFERENCES

- 1. Park JE, Miller Z, Jun Y, Lee W, Kim KB. Next-generation proteasome inhibitors for cancer therapy. *Transl Res* 2018;198:1-16. DOI PubMed PMC
- 2. Hanahan D, Weinberg RA. The Hallmarks of Cancer. Cell 2000;100:57-70. DOI PubMed
- Luo J, Solimini NL, Elledge SJ. Principles of cancer therapy: oncogene and non-oncogene addiction. *Cell* 2009;136:823-37. DOI PubMed PMC
- 4. Furukawa Y, Kikuchi J. Molecular basis of clonal evolution in multiple myeloma. Int J Hematol 2020;111:496-511. DOI PubMed
- 5. Schrader J, Henneberg F, Mata RA, et al. The inhibition mechanism of human 20S proteasomes enables next-generation inhibitor design. *Science* 2016;353:594-8. DOI PubMed
- 6. Carmony K, Lee W, Kim KB. High-resolution snapshots of proteasome inhibitors in action revise inhibition paradigms and inspire next-generation inhibitor design. *Chembiochem* 2016;17:2115-7. DOI PubMed PMC
- Suzuki E, Demo S, Deu E, et al. Molecular mechanisms of bortezomib resistant adenocarcinoma cells. *PLoS One* 2011;6:e27996. DOI PubMed PMC
- Yang Y, Li Y, Gu H, Dong M, Cai Z. Emerging agents and regimens for multiple myeloma. *J Hematol Oncol* 2020;13:150. DOI PubMed PMC
- 9. Liu J, Liu W, Mi L, et al; Union for China Lymphoma Investigators of the Chinese Society of Clinical Oncology; Union for China Leukemia Investigators of the Chinese Society of Clinical Oncology. Incidence and mortality of multiple myeloma in China, 2006-2016: an analysis of the Global Burden of Disease Study 2016. *J Hematol Oncol* 2019;12:136. DOI PubMed PMC
- 10. Bergsagel PL. Where we were, where we are, where we are going: progress in multiple myeloma. *Am Soc Clin Oncol Educ Book* 2014:199-203. DOI PubMed
- 11. Kumar S, Rajkumar SV. Many facets of bortezomib resistance/susceptibility. Blood 2008;112:2177-8. DOI PubMed
- 12. Richardson PG, Barlogie B, Berenson J, et al. A phase 2 study of bortezomib in relapsed, refractory myeloma. *N Engl J Med* 2003;348:2609-17. DOI PubMed
- 13. Herndon TM, Deisseroth A, Kaminskas E, et al. U.S. Food and Drug Administration approval: carfilzomib for the treatment of multiple myeloma. *Clin Cancer Res* 2013;19:4559-63. DOI PubMed
- 14. Stewart AK, Rajkumar SV, Dimopoulos MA, et al; ASPIRE Investigators. Carfilzomib, lenalidomide, and dexamethasone for relapsed multiple myeloma. *N Engl J Med* 2015;372:142-52. DOI PubMed
- 15. Vij R, Wang M, Kaufman JL, et al. An open-label, single-arm, phase 2 (PX-171-004) study of single-agent carfilzomib in bortezomibnaive patients with relapsed and/or refractory multiple myeloma. *Blood* 2012;119:5661-70. DOI PubMed PMC
- Verbrugge SE, Al M, Assaraf YG, et al. Overcoming bortezomib resistance in human B cells by anti-CD20/rituximab-mediated complement-dependent cytotoxicity and epoxyketone-based irreversible proteasome inhibitors. *Exp Hematol Oncol* 2013;2:2. DOI PubMed PMC
- Verbrugge SE, Assaraf YG, Dijkmans BA, et al. Inactivating PSMB5 mutations and P-glycoprotein (multidrug resistance-associated protein/ATP-binding cassette B1) mediate resistance to proteasome inhibitors: ex vivo efficacy of (immuno)proteasome inhibitors in mononuclear blood cells from patients with rheumatoid arthritis. *J Pharmacol Exp Ther* 2012;341:174-82. DOI PubMed

- McConkey DJ, Zhu K. Mechanisms of proteasome inhibitor action and resistance in cancer. *Drug Resist Updat* 2008;11:164-79. DOI PubMed
- 19. Ao L, Wu Y, Kim D, et al. Development of peptide-based reversing agents for p-glycoprotein-mediated resistance to carfilzomib. *Mol Pharm* 2012;9:2197-205. DOI PubMed PMC
- Leung-Hagesteijn C, Erdmann N, Cheung G, et al. Xbp1s-negative tumor B cells and pre-plasmablasts mediate therapeutic proteasome inhibitor resistance in multiple myeloma. *Cancer Cell* 2013;24:289-304. DOI PubMed PMC
- Nikesitch N, Ling SC. Molecular mechanisms in multiple myeloma drug resistance. J Clin Pathol 2016;69:97-101. DOI PubMed PMC
- 22. Niewerth D, Jansen G, Assaraf YG, Zweegman S, Kaspers GJ, Cloos J. Molecular basis of resistance to proteasome inhibitors in hematological malignancies. *Drug Resist Updat* 2015;18:18-35. DOI PubMed
- Niewerth D, Kaspers GJ, Assaraf YG, et al. Interferon-γ-induced upregulation of immunoproteasome subunit assembly overcomes bortezomib resistance in human hematological cell lines. J Hematol Oncol 2014;7:7. DOI PubMed PMC
- 24. Deshaies RJ. Proteotoxic crisis, the ubiquitin-proteasome system, and cancer therapy. BMC Biol 2014;12:94. DOI PubMed PMC
- 25. Kraus M, Bader J, Geurink PP, et al. The novel β2-selective proteasome inhibitor LU-102 synergizes with bortezomib and carfilzomib to overcome proteasome inhibitor resistance of myeloma cells. *Haematologica* 2015;100:1350-60. DOI PubMed PMC
- Mirabella AC, Pletnev AA, Downey SL, et al. Specific cell-permeable inhibitor of proteasome trypsin-like sites selectively sensitizes myeloma cells to bortezomib and carfilzomib. *Chem Biol* 2011;18:608-18. DOI PubMed PMC
- Kuhn DJ, Hunsucker SA, Chen Q, Voorhees PM, Orlowski M, Orlowski RZ. Targeted inhibition of the immunoproteasome is a potent strategy against models of multiple myeloma that overcomes resistance to conventional drugs and nonspecific proteasome inhibitors. *Blood* 2009;113:4667-76. DOI PubMed PMC
- 28. Li X, Wood TE, Sprangers R, et al. Effect of noncompetitive proteasome inhibition on bortezomib resistance. *J Natl Cancer Inst* 2010;102:1069-82. DOI PubMed
- 29. Lee MJ, Miller Z, Park JE, Bhattarai D, Lee W, Kim KB. H727 cells are inherently resistant to the proteasome inhibitor carfilzomib, yet require proteasome activity for cell survival and growth. *Sci Rep* 2019;9:4089. DOI PubMed PMC
- Oerlemans R, Franke NE, Assaraf YG, et al. Molecular basis of bortezomib resistance: proteasome subunit beta5 (PSMB5) gene mutation and overexpression of PSMB5 protein. *Blood* 2008;112:2489-99. DOI PubMed
- Lü S, Yang J, Song X, et al. Point mutation of the proteasome beta5 subunit gene is an important mechanism of bortezomib resistance in bortezomib-selected variants of Jurkat T cell lymphoblastic lymphoma/leukemia line. *J Pharmacol Exp Ther* 2008;326:423-31. DOI PubMed
- 32. Groll M, Berkers CR, Ploegh HL, Ovaa H. Crystal structure of the boronic acid-based proteasome inhibitor bortezomib in complex with the yeast 20S proteasome. *Structure* 2006;14:451-6. DOI PubMed
- 33. Franke NE, Niewerth D, Assaraf YG, et al. Impaired bortezomib binding to mutant β5 subunit of the proteasome is the underlying basis for bortezomib resistance in leukemia cells. *Leukemia* 2012;26:757-68. DOI PubMed
- Allmeroth K, Horn M, Kroef V, Miethe S, Müller RU, Denzel MS. Bortezomib resistance mutations in PSMB5 determine response to second-generation proteasome inhibitors in multiple myeloma. *Leukemia* 2021;35:887-92. DOI PubMed PMC
- Shi CX, Zhu YX, Bruins LA, et al. Proteasome subunits differentially control myeloma cell viability and proteasome inhibitor sensitivity. *Mol Cancer Res* 2020;18:1453-64. DOI PubMed PMC
- Brünnert D, Kraus M, Stühmer T, et al. Novel cell line models to study mechanisms and overcoming strategies of proteasome inhibitor resistance in multiple myeloma. *Biochim Biophys Acta Mol Basis Dis* 2019;1865:1666-76. DOI PubMed
- Kortuem KM, Braggio E, Bruins L, et al. Panel sequencing for clinically oriented variant screening and copy number detection in 142 untreated multiple myeloma patients. *Blood Cancer J* 2016;6:e397. DOI PubMed PMC
- Walker BA, Boyle EM, Wardell CP, et al. Mutational spectrum, copy number changes, and outcome: results of a sequencing study of patients with newly diagnosed myeloma. J Clin Oncol 2015;33:3911-20. DOI PubMed PMC
- Egan JB, Shi CX, Tembe W, et al. Whole-genome sequencing of multiple myeloma from diagnosis to plasma cell leukemia reveals genomic initiating events, evolution, and clonal tides. *Blood* 2012;120:1060-6. DOI PubMed PMC
- 40. Barrio S, Stühmer T, Da-Viá M, et al. Spectrum and functional validation of PSMB5 mutations in multiple myeloma. *Leukemia* 2019;33:447-56. DOI PubMed
- 41. Huber EM, Heinemeyer W, Groll M. Bortezomib-resistant mutant proteasomes: structural and biochemical evaluation with carfilzomib and ONX 0914. *Structure* 2015;23:407-17. DOI PubMed
- 42. Tsvetkov P, Mendillo ML, Zhao J, et al. Compromising the 19S proteasome complex protects cells from reduced flux through the proteasome. *Elife* 2015;4:e08467. DOI PubMed PMC
- Besse A, Stolze SC, Rasche L, et al. Carfilzomib resistance due to ABCB1/MDR1 overexpression is overcome by nelfinavir and lopinavir in multiple myeloma. *Leukemia* 2018;32:391-401. DOI PubMed PMC
- 44. Lü S, Chen Z, Yang J, et al. Overexpression of the PSMB5 gene contributes to bortezomib resistance in T-lymphoblastic lymphoma/leukemia cells derived from Jurkat line. *Exp Hematol* 2008;36:1278-84. DOI PubMed
- Wu YX, Yang JH, Saitsu H. Bortezomib-resistance is associated with increased levels of proteasome subunits and apoptosisavoidance. *Oncotarget* 2016;7:77622-34. DOI PubMed PMC
- 46. Shuqing L, Jianmin Y, Chongmei H, Hui C, Wang J. Upregulated expression of the PSMB5 gene may contribute to drug resistance in patient with multiple myeloma when treated with bortezomib-based regimen. *Exp Hematol* 2011;39:1117-8. DOI PubMed
- Wei W, Zou Y, Jiang Q, et al. PSMB5 is associated with proliferation and drug resistance in triple-negative breast cancer. *Int J Biol Markers* 2018;33:102-8. DOI PubMed

- Chondrogianni N, Tzavelas C, Pemberton AJ, Nezis IP, Rivett AJ, Gonos ES. Overexpression of proteasome beta5 assembled subunit increases the amount of proteasome and confers ameliorated response to oxidative stress and higher survival rates. *J Biol Chem* 2005;280:11840-50. DOI PubMed
- 49. Tsvetkov P, Sokol E, Jin D, et al. Suppression of 19S proteasome subunits marks emergence of an altered cell state in diverse cancers. *Proc Natl Acad Sci U S A* 2017;114:382-7. DOI PubMed PMC
- Acosta-Alvear D, Cho MY, Wild T, et al. Paradoxical resistance of multiple myeloma to proteasome inhibitors by decreased levels of 19S proteasomal subunits. *Elife* 2015;4:e08153. DOI PubMed PMC
- 51. Song Y, Li S, Ray A, et al. Blockade of deubiquitylating enzyme Rpn11 triggers apoptosis in multiple myeloma cells and overcomes bortezomib resistance. *Oncogene* 2017;36:5631-8. DOI PubMed PMC
- Carmony K, Sharma LK, Lee DM, Park JE, Lee W, Kim KB. Elucidating the catalytic subunit composition of distinct proteasome subtypes: a crosslinking approach employing bifunctional activity-based probes. *Chembiochem* 2015;16:284-92. DOI PubMed PMC
- 53. Busse A, Kraus M, Na IK, et al. Sensitivity of tumor cells to proteasome inhibitors is associated with expression levels and composition of proteasome subunits. *Cancer* 2008;112:659-70. DOI PubMed
- 54. Niewerth D, Kaspers GJ, Jansen G, et al. Proteasome subunit expression analysis and chemosensitivity in relapsed paediatric acute leukaemia patients receiving bortezomib-containing chemotherapy. *J Hematol Oncol* 2016;9:82. DOI PubMed PMC
- 55. Woodle ES, Tremblay S, Brailey P, et al. Proteasomal adaptations underlying carfilzomib-resistance in human bone marrow plasma cells. *Am J Transplant* 2020;20:399-410. DOI PubMed PMC
- 56. Klare N, Seeger M, Janek K, Jungblut PR, Dahlmann B. Intermediate-type 20 S proteasomes in HeLa cells: "asymmetric" subunit composition, diversity and adaptation. *J Mol Biol* 2007;373:1-10. DOI PubMed
- 57. Guillaume B, Chapiro J, Stroobant V, et al. Two abundant proteasome subtypes that uniquely process some antigens presented by HLA class I molecules. *Proc Natl Acad Sci U S A* 2010;107:18599-604. DOI PubMed PMC
- Fabre B, Lambour T, Delobel J, et al. Subcellular distribution and dynamics of active proteasome complexes unraveled by a workflow combining in vivo complex cross-linking and quantitative proteomics. *Mol Cell Proteomics* 2013;12:687-99. DOI PubMed PMC
- Gohlke S, Kloss A, Tsokos M. Adult human liver contains intermediate-type proteasomes with different enzymatic properties. *Ann Hepatol* 2014;13:429-38. PubMed
- 60. Drews O, Wildgruber R, Zong C, et al. Mammalian proteasome subpopulations with distinct molecular compositions and proteolytic activities. *Mol Cell Proteomics* 2007;6:2021-31. DOI PubMed
- 61. Gomes AV, Young GW, Wang Y, et al. Contrasting proteome biology and functional heterogeneity of the 20 S proteasome complexes in mammalian tissues. *Mol Cell Proteomics* 2009;8:302-15. DOI PubMed PMC
- 62. Kloss A, Meiners S, Ludwig A, Dahlmann B. Multiple cardiac proteasome subtypes differ in their susceptibility to proteasome inhibitors. *Cardiovasc Res* 2010;85:367-75. DOI PubMed
- 63. Pelletier S, Schuurman KG, Berkers CR, Ovaa H, Heck AJ, Raijmakers R. Quantifying cross-tissue diversity in proteasome complexes by mass spectrometry. *Mol Biosyst* 2010;6:1450-3. DOI PubMed
- 64. Zheng J, Dasgupta A, Bizzozero OA. Changes in 20S subunit composition are largely responsible for altered proteasomal activities in experimental autoimmune encephalomyelitis. *J Neurochem* 2012;121:486-94. DOI PubMed PMC
- 65. Gohlke S, Mishto M, Textoris-Taube K, et al. Molecular alterations in proteasomes of rat liver during aging result in altered proteolytic activities. *Age (Dordr)* 2014;36:57-72. DOI PubMed PMC
- 66. Parlati F, Lee SJ, Aujay M, et al. Carfilzomib can induce tumor cell death through selective inhibition of the chymotrypsin-like activity of the proteasome. *Blood* 2009;114:3439-47. DOI PubMed
- 67. Dahlmann B, Ruppert T, Kuehn L, Merforth S, Kloetzel PM. Different proteasome subtypes in a single tissue exhibit different enzymatic properties. *J Mol Biol* 2000;303:643-53. DOI PubMed
- Dahlmann B, Ruppert T, Kloetzel PM, Kuehn L. Subtypes of 20S proteasomes from skeletal muscle. *Biochimie* 2001;83:295-9. DOI PubMed
- Lee MJ, Bhattarai D, Yoo J, et al. Development of novel epoxyketone-based proteasome inhibitors as a strategy to overcome cancer resistance to Carfilzomib and Bortezomib. *J Med Chem* 2019;62:4444-55. DOI PubMed PMC
- 70. Lü S, Yang J, Chen Z, et al. Different mutants of PSMB5 confer varying bortezomib resistance in T lymphoblastic lymphoma/leukemia cells derived from the Jurkat cell line. *Exp Hematol* 2009;37:831-7. DOI PubMed
- Fuchs D, Berges C, Opelz G, Daniel V, Naujokat C. Increased expression and altered subunit composition of proteasomes induced by continuous proteasome inhibition establish apoptosis resistance and hyperproliferation of Burkitt lymphoma cells. *J Cell Biochem* 2008;103:270-83. DOI PubMed