

Review

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# Development of robust surfaces for harsh service environments from the perspective of phase formation and transformation

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## Abstract

The rise of the materials genome and materials informatics has enabled the accelerated development of robust surfaces for harsh service environments in the nuclear, aerospace and marine industries. Accurate information on the phase formation and transformation of materials (particularly coating materials) in synthesis and service processes is a prerequisite for the successful optimization of their properties. However, both these processes proceed under non-equilibrium conditions, making the traditional CALPHAD (CALculation of PHase Diagrams) approach incapable of describing the phase relation and stability. Hence, this study provides a brief review on the recent research advances pertaining to the phase formation during coating deposition, the phase transformation in service and the materials optimization targeted for demanding working conditions. We also summarize the challenges of expanding phase diagram databases with a wide adaptability to metastable phase formation and non-equilibrium phase transformation in multicomponent systems. Through the elaboration of each research case, this review provides new insights into the surface protection of materials serving in harsh environments.



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**Keywords:** Surface coating, harsh service environments, metastable phase formation, non-equilibrium phase transformation, phase diagram databases, CALPHAD, first-principles calculations, high-throughput experiments

## INTRODUCTION

Stable operation and high reliability are among the critical requirements for the machinery used in the nuclear, aerospace and marine industries, where demanding working conditions encompassing high temperatures and pressures, corrosion, oxidation, irradiation and wear are typically encountered<sup>[1-3]</sup>. A lethal threat posed to the durability of machinery comes from the degradation of materials serving in harsh environments<sup>[4]</sup>. Thus, the ever-increasing demands for high-performance machinery heavily depend on the successful development of novel materials in synergy with appropriate surface engineering methods<sup>[5]</sup>.

Conventionally, materials development depends mainly on the trial-and-error method, which is notorious for high resource consumption with low efficiency<sup>[6]</sup>. In addition, the growing trend of materials development, including coatings, towards multicomponent systems with complex structures, such as high entropy alloys<sup>[7]</sup>, gradient nanostructures<sup>[8]</sup> and multilayered coatings<sup>[9]</sup>, has rendered the conventional method incapable of sifting through candidate materials. In this context, the way in which materials are engineered has been essentially reformed with the rise of computer technology. Enlightened by the successful implementation of informatics in diverse fields such as biology, astronomy and social sciences, materials informatics, based on data exploration with the aid of computer simulations, allows one to extract knowledge and insight from massive data, provided, of course, that reliable databases exist<sup>[10,11]</sup>. However, the discrete nature of the available databases and the unestablished paradigm of processing-structure-properties result in the underutilization of informatics in materials design<sup>[12]</sup>. Thus far, a strong impetus in materials informatics has stemmed from the launches of integrated computational materials engineering (ICME)<sup>[13-15]</sup> and the Materials Genome Initiative (MGI)<sup>[15,16]</sup> in the last decade. As representative novel materials innovation methodologies, both ICME and the MGI produce large amounts of data through both high-throughput experiments and calculations<sup>[17]</sup>.

High-throughput experiments, in general, encompass the highly efficient preparation, characterization and property evaluation of various categories of materials<sup>[18-20]</sup>. Prominent examples include the multi-target co-sputtering method to synthesize gradient multicomponent coatings<sup>[21]</sup>, the diffusion multivariate method to prepare gradient multicomponent alloys<sup>[22]</sup> and the combinatorial material characterization approach using an integrated micro-beam X-ray fluorescence and diffraction system to determine the chemical composition and phase constitution of materials simultaneously<sup>[23]</sup>. Alternatively, high-throughput calculations incorporate simulation methods at different scales, from the atomistic level (e.g., first-principles calculations<sup>[24]</sup>), to the microscopic level (e.g., the calculation of phase diagrams<sup>[25]</sup> and diffusion simulations<sup>[26]</sup>), the mesoscopic level (e.g., the phase-field method<sup>[27]</sup>) and the macroscopic level (e.g., finite element analysis<sup>[28]</sup>). High-throughput experiments and calculations are not mutually exclusive; for example, high-throughput experiments can provide important input data to initiate simulations while high-throughput calculations can narrow the scope of materials to be tested. Furthermore, both of these methods can generate large quantities of data that can be included in materials databases<sup>[29]</sup>.

All materials databases fall in two major categories, namely, a category related to the performance of materials and another category concerning the intrinsic characteristics of materials. In particular, phase diagram databases that describe the inherent thermodynamic, thermophysical and thermoelectric properties of materials represent an early example and illustrate the usefulness of reliable databases in materials design. Utilizing the reasonably predicted physical parameters based on density functional theory, the CALculation of PHase Diagrams (CALPHAD) approach aided with first-principles

calculations has become a prevalent choice in the establishment of phase diagram databases, as it avoids the inherent difficulties associated with experimental measurements of critical physical parameters<sup>[30]</sup>. Through the integration of the available data with appropriate calculation models, the calculated phase diagrams can map the relationships between crystal structure, temperature, composition and pressure for multicomponent systems with sufficient precision<sup>[31]</sup>. In practice, the quantitative design of new materials based on phase diagrams has proven to be effective in the development of bulk materials<sup>[32]</sup>. However, this methodology cannot be easily applied directly to coating deposition and service processes as both of them proceed under non-equilibrium conditions<sup>[33,34]</sup>. Thus, the desire to elongate the durability of materials (and coatings) serving in harsh environments necessitates the development of appropriate calculation models to describe these non-equilibrium processes<sup>[35]</sup>.

Towards this end, this review introduces some of the recent research advances related to phase formation during coating deposition (Section 2), phase transformation in service (Section 3) and materials optimization (Section 4) targeted for demanding working conditions (as shown in Figure 1<sup>[36-38]</sup>). Through the elaboration of each research case, this review provides new insights into the surface protection of materials serving in harsh environments based on phase diagram databases.

## DEVELOPMENT OF METASTABLE PHASE FORMATION DIAGRAMS FOR COATING

### DEPOSITION PROCESSES

An in-depth understanding of the phase formation mechanism in coating deposition is a pre-condition for the accomplishment of novel coating designs. During the course of physical vapor deposition (PVD), for example, the material system is in a non-equilibrium state and metastable phases generally form. As such, the CALPHAD method that depends on phase equilibrium calculations is no longer suitable to directly construct the metastable phase formation diagram. It is therefore of significance to develop a new calculation model that combines thermodynamics with kinetics to quantitatively predict the metastable phase formation during coating deposition.

#### Phase formation mechanism

In a typical PVD process, gaseous atoms (or atomic clusters) rapidly cool from ultra-high temperatures and solid phases form almost simultaneously on the substrate surface<sup>[39]</sup>. The phase formation is thus controlled by the surface diffusion within a few layers of atoms deposited rather than the bulk diffusion across the entire coating<sup>[39]</sup>. A quantitative description of surface diffusional behavior starts with the atomic surface diffusion distance equation proposed by Einstein<sup>[40]</sup>:

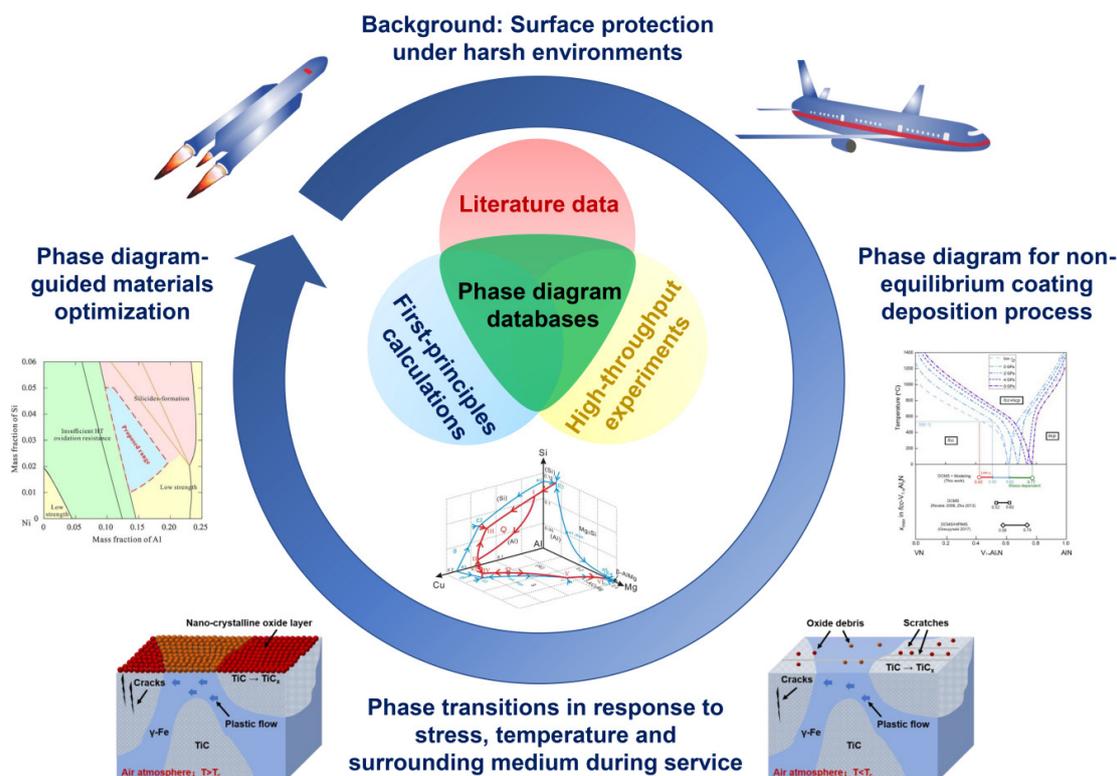
$$X = \sqrt{2D_s t} \quad (1)$$

where  $X$  is the diffusion distance,  $D_s$  is the surface diffusivity and  $t$  is the diffusion time. Based on Eq. (1), Cantor and Cahn<sup>[41]</sup> demonstrated that the diffusion time of a surface atom can be estimated using  $a/r_D$ :

$$X = \sqrt{2v \frac{a}{r_D} \cdot a \cdot \exp\left(-\frac{Q_s}{2kT}\right)} \quad (2)$$

where  $v$  is the vibrational frequency of surface atoms,  $a$  is the individual jump distance,  $r_D$  is the deposition rate,  $Q_s$  is the activation energy for surface diffusion,  $k$  is the Boltzmann constant and  $T$  is the substrate temperature during deposition.

Equation (2) can be used to qualitatively describe the metastable phase formation in a coating system. For example, the diffusion distance of metal atoms (Cu, Ni and Fe) in face-centered cubic (fcc) Al has been evaluated based on Eq. (2) and the results show that the surface diffusion is insufficient at low temperatures and metastable phases are prone to form in the coating<sup>[41]</sup>. This is consistent with the experimental



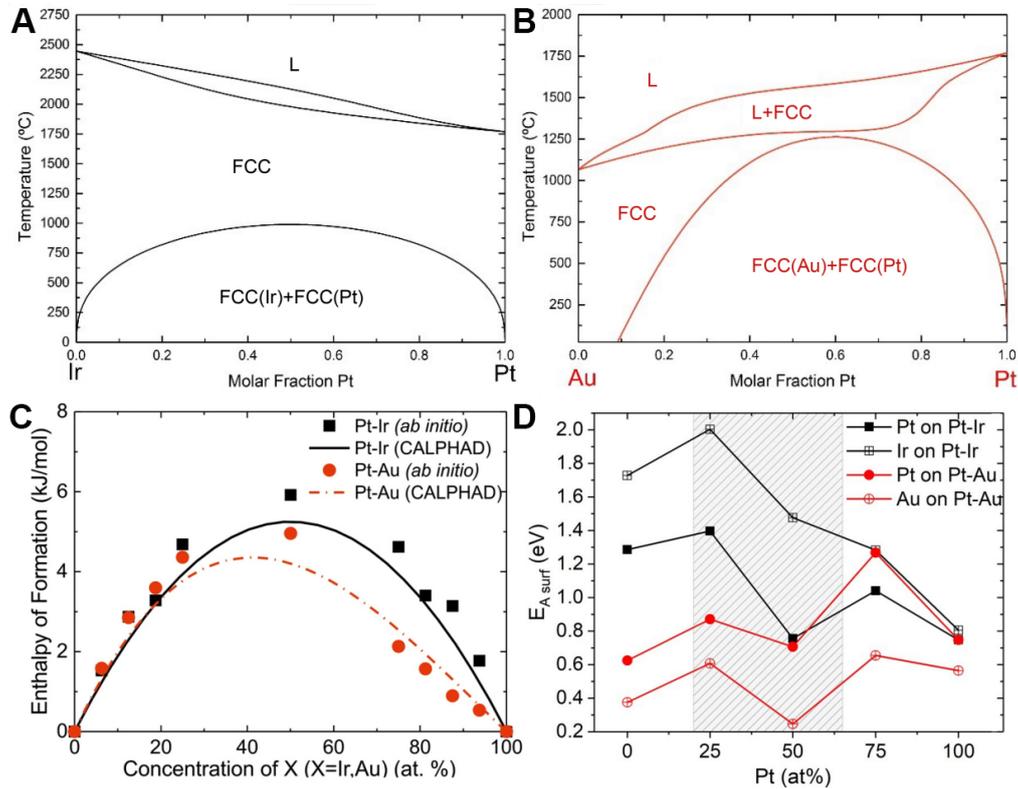
**Figure 1.** Schematic diagram showing the research advances related to the development of robust surfaces for harsh service environments based on phase diagram databases. Insets reproduced from Refs.<sup>[36-38]</sup> with permission from Elsevier.

observation that Cu, Ni and Fe exist in the metastable fcc phase structure with a certain solubility, especially when the Al content is high, in contrast to the equilibrium phase diagrams that show negligible solubilities of Cu, Ni and Fe in the fcc-Al phase. It is also noted from Eq. (2) that  $Q_s$  in the exponential function is the most pivotal parameter that affects the diffusion distance.

Taking this into account, Saksena *et al.*<sup>[42]</sup> studied phase formation in the Pt-Ir binary coating system with reference to the Pt-Au binary system. As shown in Figure 2A and B, the equilibrium phase diagrams of Pt-Ir and Pt-Au systems are reasonably similar, where the fcc-(Pt, Ir) or fcc-(Pt, Au) and the fcc-(Ir, Pt) or fcc-(Au, Pt) two-phase equilibrium regions are identified (where the element in bold and underlined denotes the dominant element in the phase). In addition, the thermodynamic driving forces for the decomposition of the fcc phase into two phases in the Pt-Ir and Pt-Au systems are also close to each other [Figure 2C]. However, the activation energy of surface diffusion in the Pt-Ir system is almost six times higher than that in the Pt-Au system [Figure 2D]. Hence, the surface diffusion distance of Pt-Ir should be much shorter than that of Pt-Au, as per Eq. (2), and it can be reasonably inferred that the single fcc phase in the Pt-Ir system is more reluctant to decompose compared with that in the Pt-Au system. This inference has been verified by the experimentally determined phase formation data of Pt<sub>50</sub>Ir<sub>50</sub> and Pt<sub>50</sub>Au<sub>50</sub> coatings deposited at different temperatures<sup>[42]</sup>.

### Quantitative calculation model

With a qualitative relationship established between diffusion-related parameters and metastable phase formation, Chang *et al.*<sup>[43]</sup> selected the Cu-W binary coating as a model system and sought to achieve a quantitative description of the metastable phase formation in a PVD process. As shown in the equilibrium phase diagram of Figure 3A, the solubility between Cu and W is close to zero, whereas in the deposited



**Figure 2.** First-principles and CALPHAD calculated results for Pt-Ir and Pt-Au systems: (A) Pt-Ir equilibrium phase diagram; (B) Pt-Au equilibrium phase diagram; (C) formation enthalpies of fcc-(Pt, Ir) and fcc-(Pt, Au) phases; and (D) surface diffusion activation energy for an atom on Pt-Ir (111) and Pt-Au (111) surfaces. Figure reproduced from Ref.<sup>[42]</sup> with permission from Springer Nature.

coating, Cu and W reveal large mutual solubilities. On the basis of Eq. (2), Chang *et al.*<sup>[43]</sup> put forward the concept of the critical surface diffusion distance defined by the following equations:

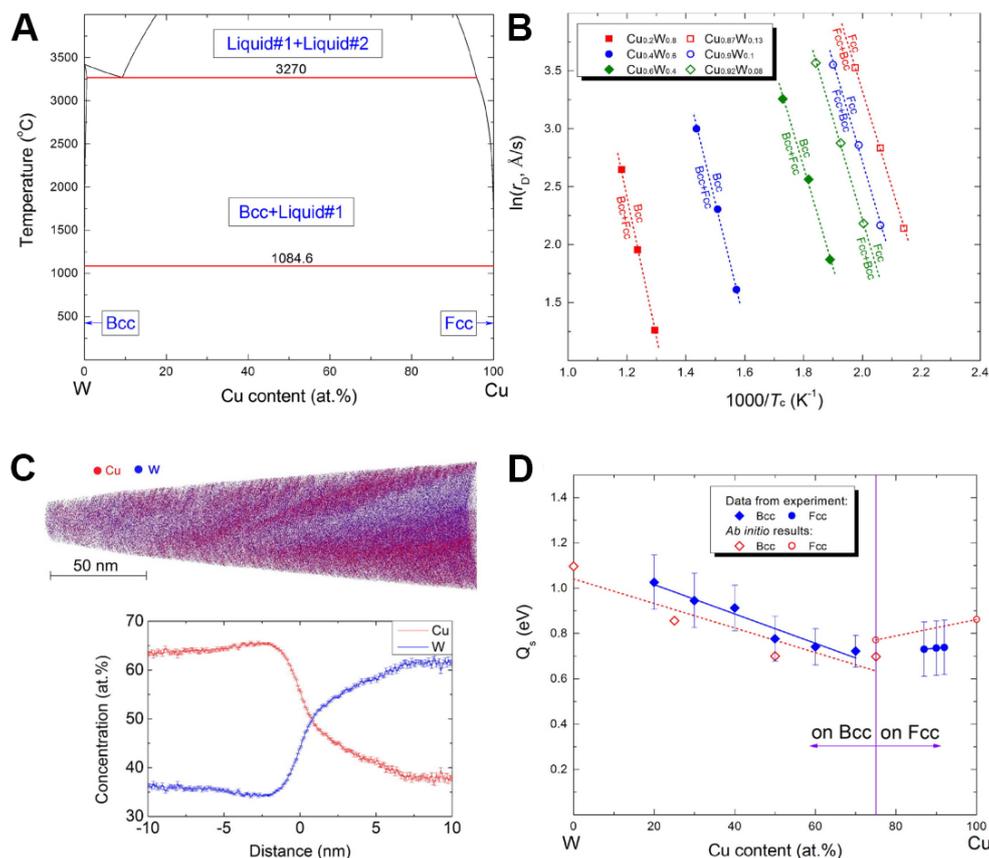
$$X_c = \sqrt{2v \frac{a}{r_{Dn}} \cdot a \cdot \exp\left(-\frac{Q_s}{2kT_c}\right)} \quad (3)$$

$$X_c = X_{c\left(\frac{1}{2}\right)} \cdot \sqrt{\frac{z_{\max} - z}{z - z_{\min}}} \quad (4)$$

where  $X_c$  is the critical surface diffusion distance,  $T_c$  is the critical temperature at which the second phase forms at a specific constant deposition rate ( $r_{Dn}$ ),  $z$  is the metastable solubility and  $X_{c(1/2)}$  is the critical diffusion distance at the half metastable solubility, i.e., at  $z = (z_{\max} + z_{\min})/2$ .

According to Eq. (3), when the substrate temperature is lower than the critical temperature, the surface diffusion distance is lower than the critical value and a single metastable phase is most likely to form. In contrast, when the substrate temperature is higher than the critical value, the diffusion distance surpasses the critical distance and the propensity of second phase formation increases. The variation of critical temperature along with the change of deposition rate can be derived from the experimentally determined metastable Cu-W phase diagram, as shown in Figure 3B. The surface diffusion activation energy is therefore calculated and the results are well supported by both experiments and first-principles calculations [Figure 3C and D].

One should note that Eq. (4) needs to be used in conjunction with Eq. (3) when establishing a metastable phase formation diagram. Initially, the critical diffusion distance,  $X_{c(1/2)}$ , at the half metastable solubility,

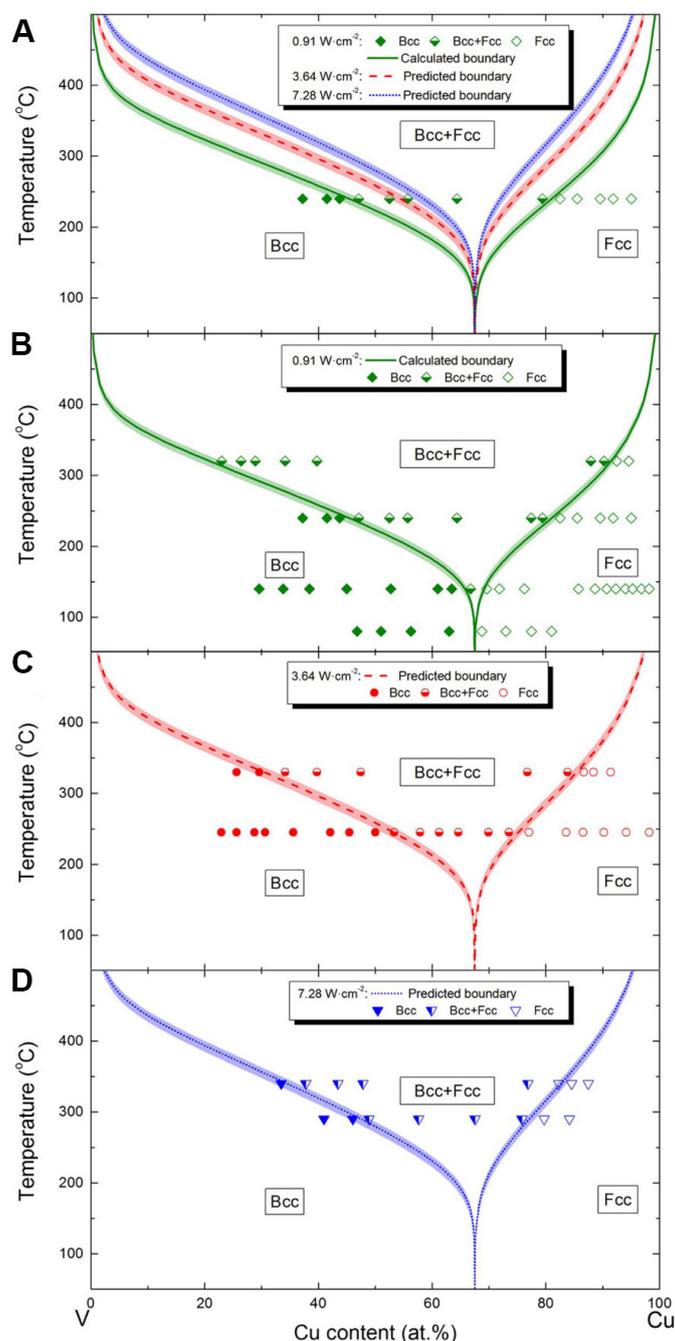


**Figure 3.** Theoretical and experimental results for the Cu-W system: (A) calculated equilibrium phase diagram; (B)  $\ln(r_p)$  vs.  $1000/T_c$  plot with phase boundary data from the experimental metastable phase formation diagram; (C) 3D atom-probe tomography data of Cu<sub>0.57</sub>W<sub>0.43</sub> coating with the reconstructed needle and proxigram analysis of the composition; and (D) first-principles calculated diffusion activation barriers compared with experimental values. Figure reproduced from Refs.<sup>[43,44]</sup> with permissions from Elsevier and Taylor & Francis.

$z = (z_{\max} + z_{\min})/2$  is determined, with the other parameters in these two equations obtained from the CALPHAD approach, first-principles calculations and high-throughput deposition experiments. Afterwards, the relationship between temperature (or deposition rate) and metastable solubility is established and the metastable phase formation diagram can be plotted accordingly. As such, a new methodology to build non-equilibrium metastable phase formation diagrams based on the quantitative calculation model has been developed, with additional details regarding the implementation procedures available in Ref.<sup>[44]</sup>. The core of this methodology is the employment of the CALPHAD approach aided by first-principles calculations to simulate the surface diffusion of metastable phases. Utilizing only one set of high-throughput coating deposition experiment data as input values, the metastable phase formation diagrams of the coating system at different deposition rates can be calculated. The successful implementation of this methodology for the Cu-V binary system is shown in Figure 4, where the calculation results fit well with the experimental data<sup>[44]</sup>.

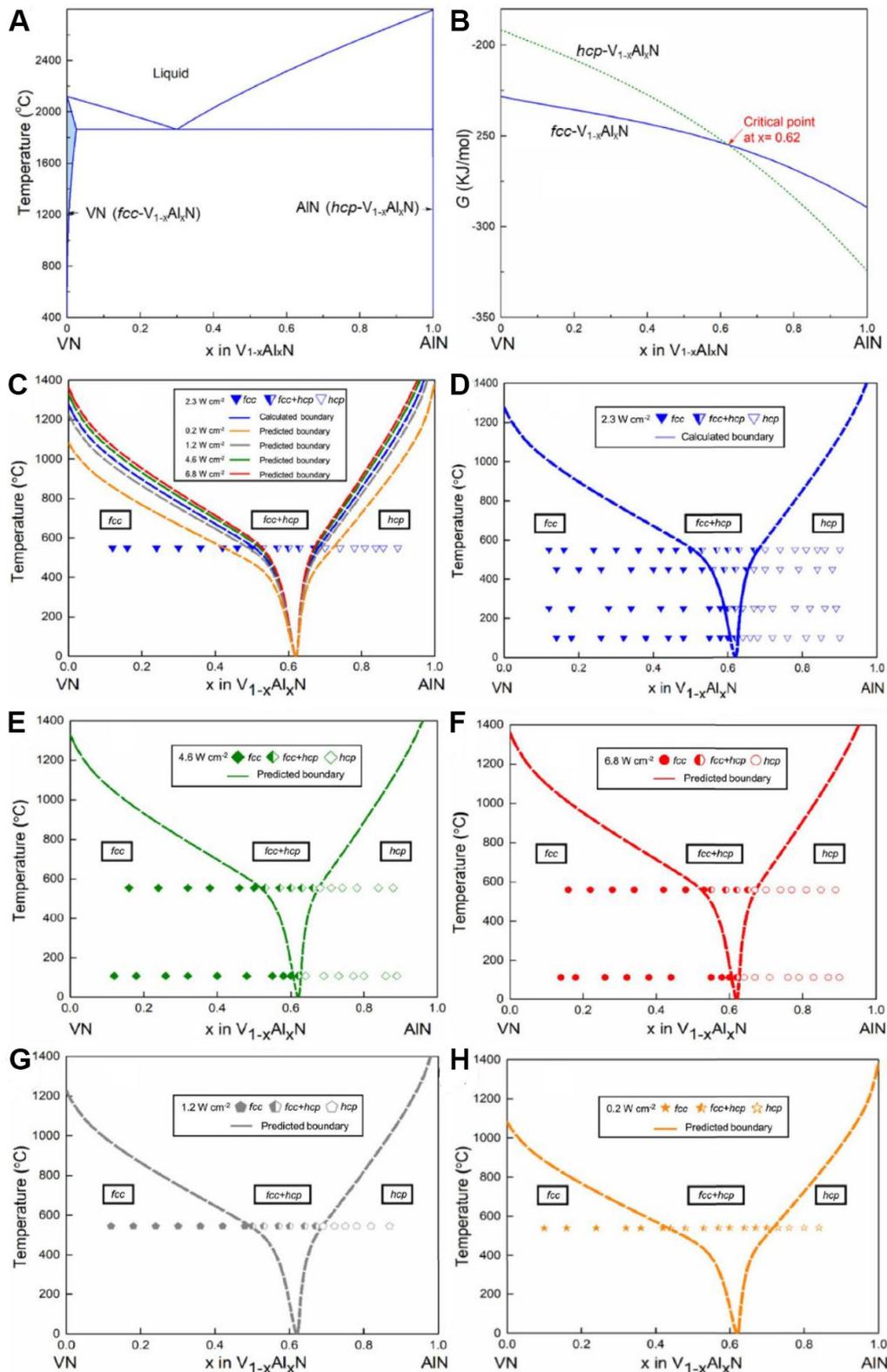
### Model applicability

Cubic transition metal aluminum nitrides (TM-Al-N) represent a category of ternary coating systems commonly employed for surface protection in the materials processing, nuclear and aerospace industries<sup>[45-47]</sup>. In a TM-Al-N system, the solubility of Al in the fcc solid solution phase is a crucial factor that impacts the anti-wear and anti-corrosion properties of coatings<sup>[48]</sup>. However, rather than being constant, the solubility of Al changes in response to temperature variations, with the metastable phase formation diagram of the TM-Al-N system having therefore been determined merely by experiments<sup>[49]</sup>.



**Figure 4.** Metastable Cu-V phase formation diagrams: (A) calculated and predicted diagrams using experimental data at 240 °C and a power density of 0.91 W·cm<sup>-2</sup>; validation using experimental data at power densities of (B) 0.91, (C) 3.64 and (D) 7.28 W·cm<sup>-2</sup>. Figure reproduced from Ref.<sup>[44]</sup> with permission from Taylor & Francis.

Recently, by applying a newly-developed calculation model, Liu *et al.*<sup>[36,50]</sup> successfully predicted the metastable phase formation diagrams of the Ti-Al-N and V-Al-N coating systems. Using the V-Al-N system as an example, it can be seen from the equilibrium phase diagram of Figure 5A that the solubility of Al in fcc-VN is almost zero, whereas in the PVD coatings, the solubility of Al in fcc-V<sub>1-x</sub>Al<sub>x</sub>N can be as high as x = 0.62. The maximum solubility of Al in VAlN coatings can be accurately predicted by calculating the formation enthalpies using first-principles calculations or the Gibbs free energies using the CALPHAD approach [Figure 5B]. Based on a surface diffusion kinetics simulation via the CALPHAD approach and first-principles calculations, and in particular, using only one set of high-throughput experiment data



**Figure 5.** Equilibrium and metastable phase diagrams for V-Al-N system: (A) equilibrium VN-AlN pseudobinary phase diagram; (B) Gibbs free energies of fcc and hexagonal close packed (hcp) V<sub>1-x</sub>Al<sub>x</sub>N solid-solution phases; (C) metastable VN-AlN phase formation diagrams calculated using experimental data at 550 °C and a power density of 2.3 W·cm<sup>-2</sup> as inputs, and validated using the experimental data at power densities of (D) 2.3, (E) 4.6, (F) 6.8, (G) 1.2 and (H) 0.2 W·cm<sup>-2</sup>. Figure reproduced from Ref.<sup>[36]</sup> with permission from Elsevier.

as input, the metastable phase formation diagram of the VAlN coating was established [Figure 5C] and validated using the experimental data obtained at different coating deposition power densities [Figure 5D-H].

Liu *et al.*<sup>[36]</sup> further revealed the linear relationship between the maximum solubility of Al and the residual stress in fcc-Ti<sub>1-x</sub>Al<sub>x</sub>N and fcc-V<sub>1-x</sub>Al<sub>x</sub>N coatings, adjusted the calculation model and predicted the metastable phase formation diagrams of TiAlN and VAlN coatings under the effect of compressive residual stress. In the metastable phase formation diagram established, the solubility of Al in V<sub>1-x</sub>Al<sub>x</sub>N, for example, extends to a range of  $0.42 \leq x \leq 0.77$ , which covers all the experimental data available in the open literature ( $0.52 \leq x \leq 0.75$ ) [Figure 6]. Hence, for the first time, a pressure-dependent theoretical model was developed and used to precisely predict the metastable phase formation diagram of ternary nitride coatings, which provides useful directions for the experimental efforts to control and extend the Al solubility in TM-Al-N coatings.

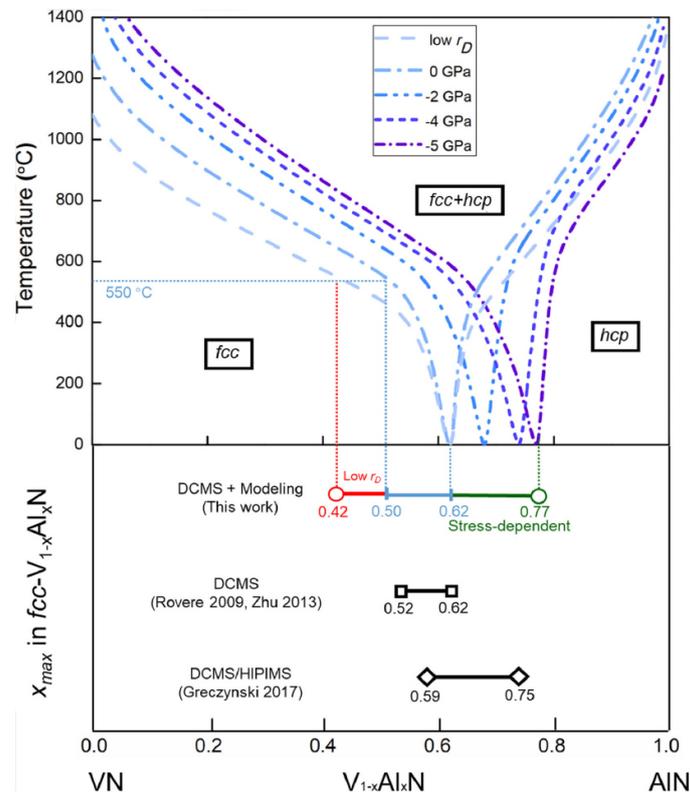
The research progress made hitherto in the calculation of metastable phase formation diagrams suggests that, unlike the construction of a multicomponent equilibrium phase diagram, which relies on the CALPHAD approach to extrapolate from the binary system to the ternary, quaternary and multicomponent systems<sup>[51,52]</sup>, the calculation model for the establishment of metastable phase formation diagrams requires consistent modification and verification to expand its adaptability.

## PHASE TRANSFORMATIONS IN HARSH SERVICE ENVIRONMENTS

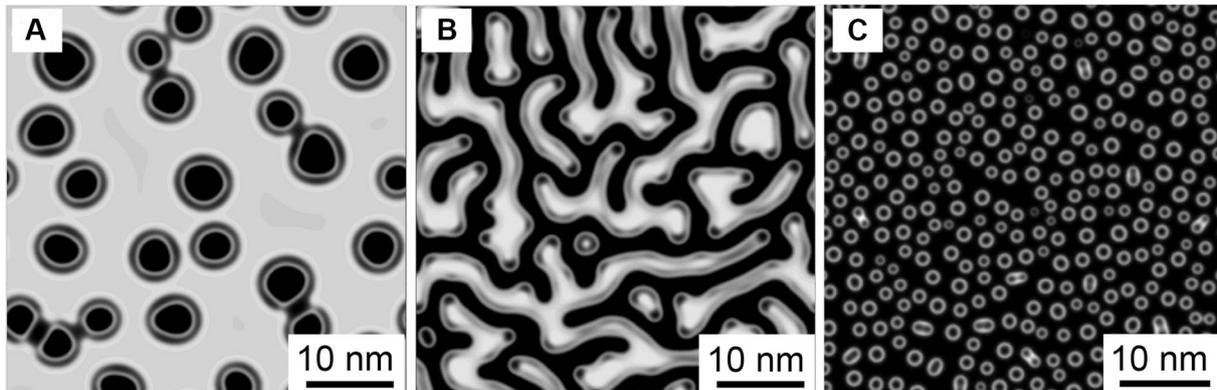
The phase transformations that occur at the surfaces and interfaces of the materials serving in harsh environments may give rise to the degradation or enhancement of their properties. The attempts to understand the phase transformation in response to variations in temperature, stress, surrounding medium and so on based on thermodynamics and kinetics are valuable for the enrichment of phase diagram databases and the optimization of materials targeted for harsh service environments. In the following sections, research cases concerning the phase transitions of typical engineering materials serving in different application scenarios will be introduced.

### Temperature

Quantitative metastable phase formation diagrams have been well established for cubic TM-Al-N coating systems using surface diffusion kinetics simulations<sup>[36,50]</sup>, which pave the way for accurate control of phase constitutions during a PVD process. In the deposited TiAlN coating, for instance, the maximum solubility of Al in the fcc-TiAlN has been determined as 0.90, both computationally and experimentally<sup>[36]</sup>. Upon heating, however, metastable fcc-TiAlN may experience a non-equilibrium spinodal decomposition, which results in the formation of fcc-TiN-rich and fcc-AlN-rich nanosized domains, with the coating hardness enhanced<sup>[53]</sup>. A further increase in temperature gives rise to the phase transformation from the metastable fcc-AlN to the stable hexagonal close packed (hcp)-AlN, with a concomitant volume expansion and a corresponding property deterioration<sup>[54]</sup>. To delineate the temperature-induced microstructural evolution of cubic TM-Al-N coatings, Attari *et al.*<sup>[55]</sup> carried out a simulation of the isothermal annealing process of Ti<sub>1-x-y</sub>Al<sub>x</sub>Zr<sub>y</sub>N ( $x = 0.25-0.70$ ) at 1200 °C. Using a phase-field method that integrates the Gibbs free energies derived from the CALPHAD approach with the atomic mobilities determined via key experiments, the simulation successfully predicted the non-equilibrium decomposition products that consist of fcc-AlN and fcc-Ti(Zr)N or fcc-AlN, fcc-ZrN and fcc-Ti(Zr)N, as well as the phase transformation from fcc-AlN to hcp-AlN. In addition, the microstructure evolved during the non-equilibrium phase transformation process was simulated [Figure 7A-C] and verified according to the results reported in the literature<sup>[56]</sup>. The agreement between the simulation and experimental results demonstrates that a combination of thermodynamics and kinetics could usefully interpret the evolutions of phase constitution and morphology during these temperature-induced non-equilibrium phase transformations.

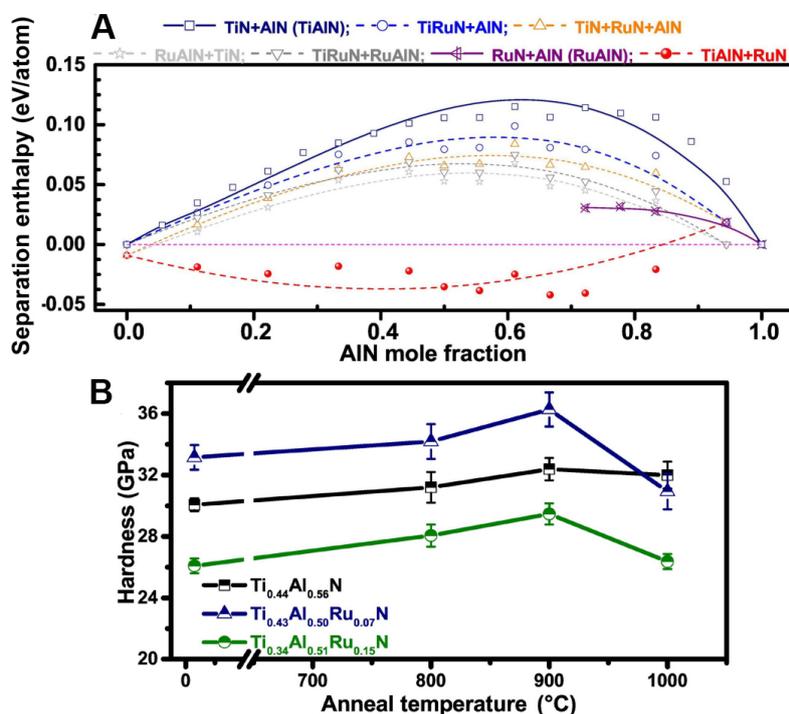


**Figure 6.** Calculated metastable VN-AlN phase formation diagrams with various deposition rates and stresses, compared with previous maximum Al solubility limit ranges of fcc-VAlN in the literature. Figure reproduced from Ref.<sup>[36]</sup> with permission from Elsevier.



**Figure 7.** Phase-field simulated microstructure of  $Ti_{1-x-0.05}Al_xZr_{0.05}N$  annealed at 1200 °C for  $x =$  (A) 0.25, (B) 0.45 and (C) 0.70. The black color represents fcc-AlN, the white color represents fcc-TiN, while the grey color indicates fcc-ZrN. Figure reproduced from Ref.<sup>[55]</sup> with permission from Elsevier.

The selection of an appropriate fourth element to be added to the cubic TM-Al-N ternary system for improved thermal stability is an ongoing task for better surface protection in harsh service environments. A variety of candidate elements, including V<sup>[57]</sup>, Ta<sup>[58]</sup>, Zr<sup>[59]</sup>, Y<sup>[60]</sup>, Hf<sup>[61]</sup> and Nb<sup>[62]</sup>, have been considered, but no clear consensus on a screening criterion has been reached. A precise delineation of the phase transformation pathways for TM-Al-X-N quaternary systems is crucial for establishing such a criterion. Recently, Zou *et al.*<sup>[63]</sup> performed key experiments coupled with first-principles calculations to study the addition of the noble metal element Ru on the phase structure and mechanical properties of TiAlN coatings. The results show that the minor hcp-AlN phase precipitates from the fcc-TiAlRuN solid solution



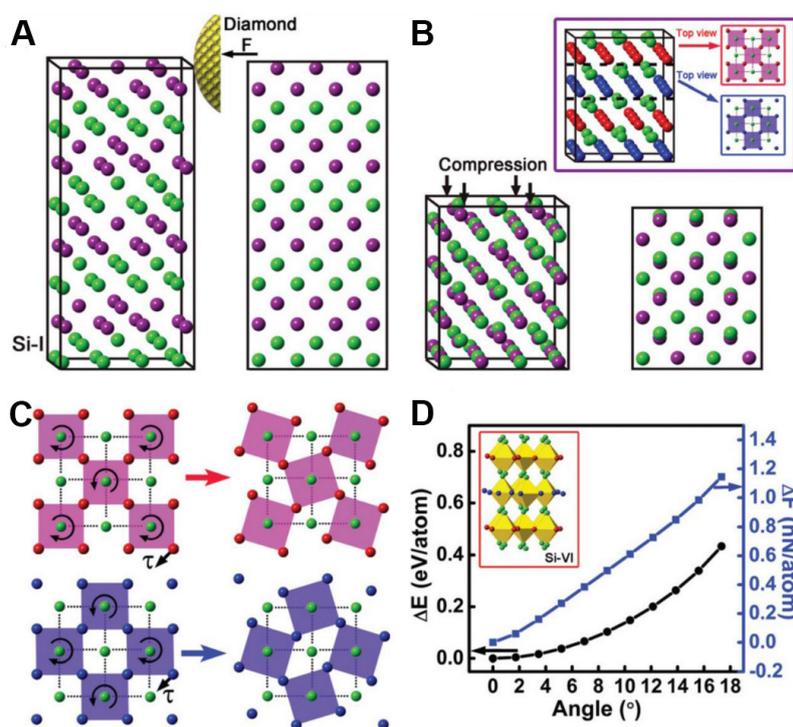
**Figure 8.** (A) Separation enthalpies of  $Ti_{1-x}Al_xN$ ,  $Ru_{1-x}Al_xN$  and five different decomposition pathways of  $Ti_{0.944-x}Al_xRu_{0.056}N$ . (B) Hardness values of  $Ti_{0.44}Al_{0.56}N$ ,  $Ti_{0.43}Al_{0.50}Ru_{0.07}N$  and  $Ti_{0.34}Al_{0.51}Ru_{0.15}N$  coatings as a function of annealing temperature. Figure reproduced from Ref. [63] with permission from Elsevier.

as the Ru content increases. The simulation results confirm that the addition of Ru could reduce the solubility of AlN in TiAlN.

Zou *et al.* [63] further conducted a series of annealing tests to determine the spinodal decomposition temperatures of TiAlRuN and TiAlN coatings. It was found that the TiAlRuN coating starts to decompose at a higher temperature of 1000 °C compared to that of 900 °C for the TiAlN coating. According to the X-ray diffraction patterns, the decomposition of TiAlRuN gives rise to the formation of a metastable TiRuN phase, which further breaks down into Ru and TiN with increasing temperature. This observation follows the decomposition pathway predicted by first-principles calculations, as shown in Figure 8A, where TiAlRuN is more inclined to decompose into TiRuN and AlN thermodynamically. In addition, the role of Ru addition on the nanohardness of a TiAlN coating is revealed in Figure 8B, where a higher hardness value is attained at a moderate Ru concentration ( $Ti_{0.43}Al_{0.50}Ru_{0.07}N$ ) and further increase in Ru content results in a decline in hardness. The variation trend in coating hardness is consistent with the maximum solubility predicted by the simulation results. Thus, this work provides an example of the effectiveness of computational methods in rationalizing both the pathways of phase transformation and the consequent changes in thermal stability and mechanical properties.

## Stress

Multiple allotropes have been discovered in Si and phase transformations can give rise to alterations in its mechanical and optical properties [64,65]. Benefitting from advanced characterization technology, the stress-induced phase transformation can be *in situ* monitored using spectroscopy and electron microscopy equipped with a mechanical testing module [65]. For example, Zhang *et al.* [66] studied the stress-induced phase transition in single-crystal Si with a (100) crystallographic orientation using a nanoindentation test instrument under *in situ* transmission electron microscopy (TEM) observations. A Si-VI phase was observed to generate underneath the indentation impression at an applied load of 60  $\mu$ N, while in other



**Figure 9.** First-principles calculations of (A) isometric and front views of a Si-I crystal structure under a diamond indenter and (B) a deformed crystal structure under compression. Inset in (B) shows red and blue top views taken from an isometric view of deformed Si-I under compression. First-principles calculations of (C) a rotated crystal structure under shear stress from the red and blue top views shown in the inset of (B). (D) Variations of average energy and force of an atom as a function of angle during the rotation process shown in (C). Inset in (D) shows the formation of Si-VI from Si-I after compression and rotation under a diamond indenter. Figure reproduced from Ref.<sup>[66]</sup> with permission from the Royal Society of Chemistry.

regions far from the indentation impression, the pristine Si-I phase remained unchanged. The deformation mechanism from the Si-I phase to the Si-VI phase was elucidated with the aid of high-resolution TEM and first-principles calculations. During the indentation, the Si-I phase suffers from both compressive and shear stresses; the purple and green atoms shown in Figure 9A are compressed vertically and integrated into the same atomic layer as a result of compression [Figure 9B]. Under the effect of shear stress, the blue and purple atoms rotate around the immobile green atoms, such that the Si-I phase transforms into the Si-VI phase when the rotation angle reaches  $17.3^\circ$  [Figure 9C]. In addition, the increment in the average potential energy is estimated as 0.434 eV and that in the average force exerted on each atom is 1.144 nN during the rotation process [Figure 9D].

To examine the phase transition in Si under more severe stress conditions, Wang *et al.*<sup>[67]</sup> conducted high-speed grinding tests on single-crystal Si and studied its microstructural evolution after exposure to high external stresses. The stress-induced nanostructures consist of an amorphous region in the immediate vicinity of the top surface, followed by a new tetragonal phase, slip bands, twinning superlattices and eventually the Si single crystal. Using first-principles calculations, the phase transition mechanism from the Si-I phase to the new tetragonal phase was clarified. The atoms on the (111) crystallographic plane move towards the  $[\bar{1}\bar{1}\bar{2}]$  orientation for a distance of  $\frac{1}{12}[\bar{1}\bar{1}\bar{2}]$  and the two (111) planes then form an angle of  $90^\circ$  in such a way that a new tetragonal phase takes shape. As per the Peierls-Nabarro model<sup>[68]</sup>, a minimum stress of 1.59 GPa is required to complete this transformation and this value is close to the stress estimated by the first-principles calculations (2.16 GPa). Thus, the integration of *in situ* characterization and computational methods could be an effective way to discover and rationalize stress-induced phase transformations in critical engineering materials.

### Surrounding medium

In marine engineering applications, the anti-bacterial and anti-fouling properties of materials should be considered as a priority to maintain the functionality of machinery, such as underwater vehicles<sup>[69,70]</sup>. In this context, developing multi-functional protective coatings becomes a challenging but imperative task for modern ocean explorations. Zhu *et al.*<sup>[71]</sup> synthesized TiSiN/Ag multilayered coatings using a multi-arc ion plating technique and examined their anti-bacterial properties. The coating system exhibited a maximum hardness value of 33 GPa and an inhibition ratio of 99.99% towards both *E. coli* and *B. subtilis* after 24 h. It was found that the TiSiN sublayer consisting of TiN nanocrystals and a Si<sub>3</sub>N<sub>4</sub> amorphous phase could act not only as a barrier but also as a microchannel for sluggish diffusion and the release of Ag atoms constantly towards the surface.

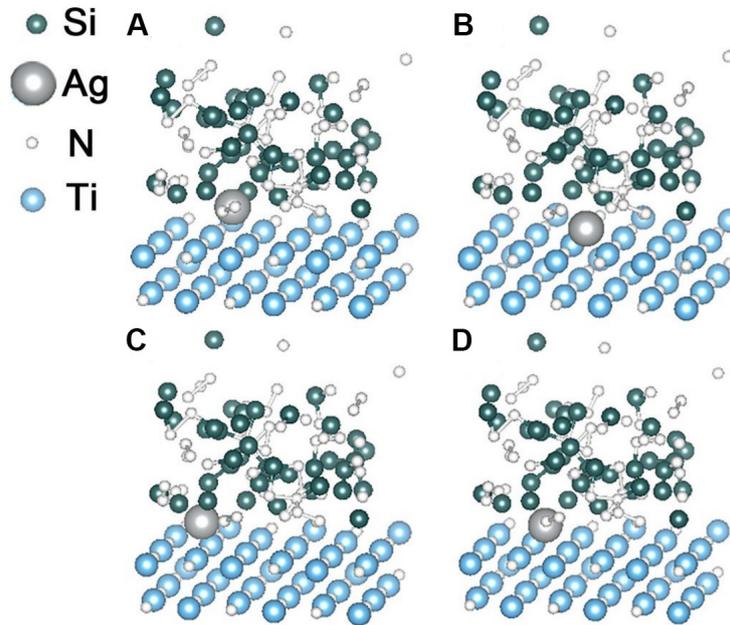
Another benefit originating from the Ag diffusion could be the self-healing effect on the cracks generated in the coating system. Zhu *et al.*<sup>[72]</sup> further studied the self-healing behavior of TiSiN/Ag multilayered coatings upon oxidation using first-principles calculations, molecular dynamics simulations and key experiments. The results suggest that the presence of O<sub>2</sub> induces the oxidation of TiN and Si<sub>3</sub>N<sub>4</sub> phases in the top surface layer and Ag atoms readily diffuse outwards along the phase boundaries between the TiN nanocrystals and the Si<sub>3</sub>N<sub>4</sub> amorphous phase simultaneously [Figure 10A-D], both of which contribute to the self-healing of surface and internal cracks, as well as the maintenance of anti-corrosion and anti-fouling properties. Therefore, theoretical studies that rely on a coordination of thermodynamics and kinetics could lay an important basis for the research and development of novel multi-functional protective coatings.

### Temperature-stress interactions

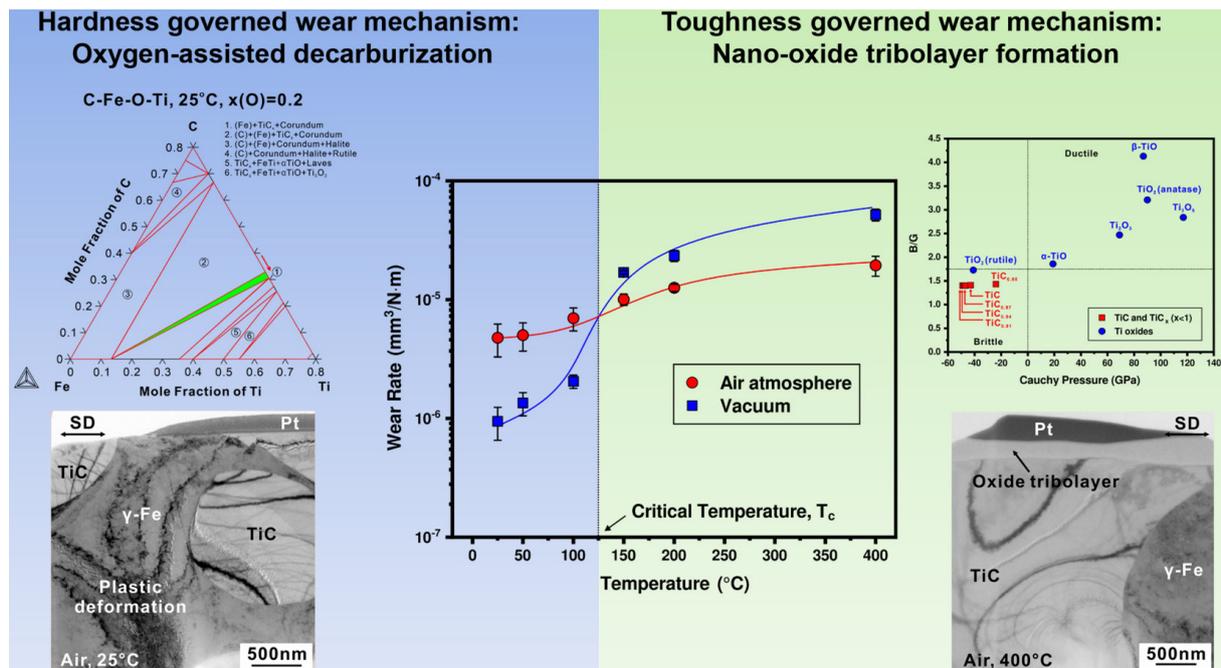
Ceramic-metal composites represent a major category of hard yet tough materials and are widely used in critical engineering applications, including metal cutting, offshore mining, rock drilling and so on, where working surfaces interact with an oxidizing medium under high temperature and stress conditions<sup>[73,74]</sup>. A comprehensive understanding of surface damage mechanisms is therefore a prerequisite for the further optimization of the materials.

Lou *et al.*<sup>[37]</sup> selected TiC- $\gamma$ Fe as a model system to delineate the wear mechanism when subjected to temperature and atmosphere variations. The results show that there exists a critical temperature of ~125 °C at which the wear mechanism transition occurs [Figure 11]. Below this temperature, hardness governs the wear behavior. The oxygen-assisted surface decarburization of TiC particles during the sliding process was identified for the first time using micro-Raman spectroscopy, with the thermodynamics of this phase transformation elucidated using the CALPHAD approach and the resulting hardness reduction clarified using first-principles calculations. Above the critical temperature, however, the deformation compatibility between ceramic particles and metallic binders becomes a dominant factor that affects the wear properties. The sliding-induced formation of a continuous tribolayer consisting of nano Ti oxides on the surface of TiC particles was characterized by *in situ* TEM, while the toughness improvement benefiting from the tribolayer formation was determined both experimentally using indentation fracture toughness measurements and computationally using first-principles calculations. Overall, with the aid of *in situ* characterization and computational methods, this study suggests that the wear resistance of ceramic-metal composites is sensitive to temperature, stress and oxidizing medium. The wear transition temperature depends on, but is not limited to, factors including hardness, toughness and deformation compatibility.

As the research cases pertaining to different application scenarios show, unravelling the phase transformation at the surfaces and interfaces of materials based on a coordination of thermodynamic and kinetic simulations, in addition to *in situ* material characterization, with the individual roles of stress, temperature and surrounding medium isolated, is conducive to promoting better solutions to the surface protection problems in harsh service environments.



**Figure 10.** Diffusional behavior of Ag atoms simulated using molecular dynamics for (A) 0, (B) 100, (C) 250 and (D) 400 fs. Figure reproduced from Ref.<sup>[72]</sup> with permission from John Wiley & Sons.



**Figure 11.** Temperature-induced wear transition in the ceramic-metal (TiC- $\gamma$ Fe) composite system. A critical temperature of  $\sim 125$  °C at which the wear mechanism transition occurs was determined, where phase transformations, including surface decarburization and oxidation, were investigated using *in situ* TEM and interpreted using the CALPHAD approach and first-principles calculations. Figure reproduced from Ref.<sup>[37]</sup> with permission from Elsevier.

## PHASE DIAGRAM DATABASE-GUIDED MATERIALS OPTIMIZATION

The concepts of the materials genome and materials informatics have highlighted that the highly efficient optimization of critical engineering materials (and coatings) requires explicit relationships established between processing, structure and properties. Phase diagrams that can precisely describe the phase

constitution at specific conditions, i.e., temperature, pressure and composition, may potentially predict service properties and are therefore regarded as powerful tools in this process. Thus, applying reasonable calculation models, instead of using a large quantity of experimental data to describe the phase relations and demarcate the phase boundaries, is deemed necessary for high-efficiency materials optimization. The following sections introduce recent research progress related to the successful fulfillment of phase diagram database-guided materials optimization.

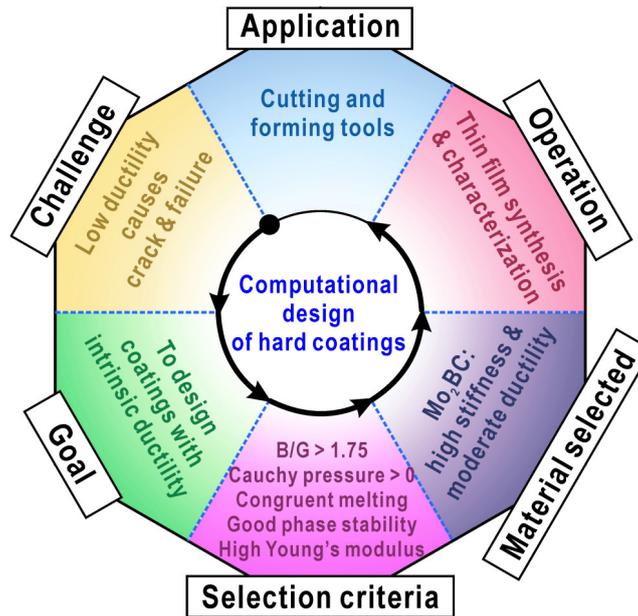
### Wear-resistant ceramic coatings

Amelioration of the wear resistance of coatings through the incorporation of additional elements in mature coating systems, such as the Ti-Al-N ternary system, is a common method in the research and development of coating materials. However, this strategy does not adequately circumvent some intrinsic weaknesses in the system, for example, the inherent brittleness in nitride coatings<sup>[75]</sup>. Thus, exploring alternative methods to engineer hard yet tough ceramic coatings based on materials databases is a necessity.

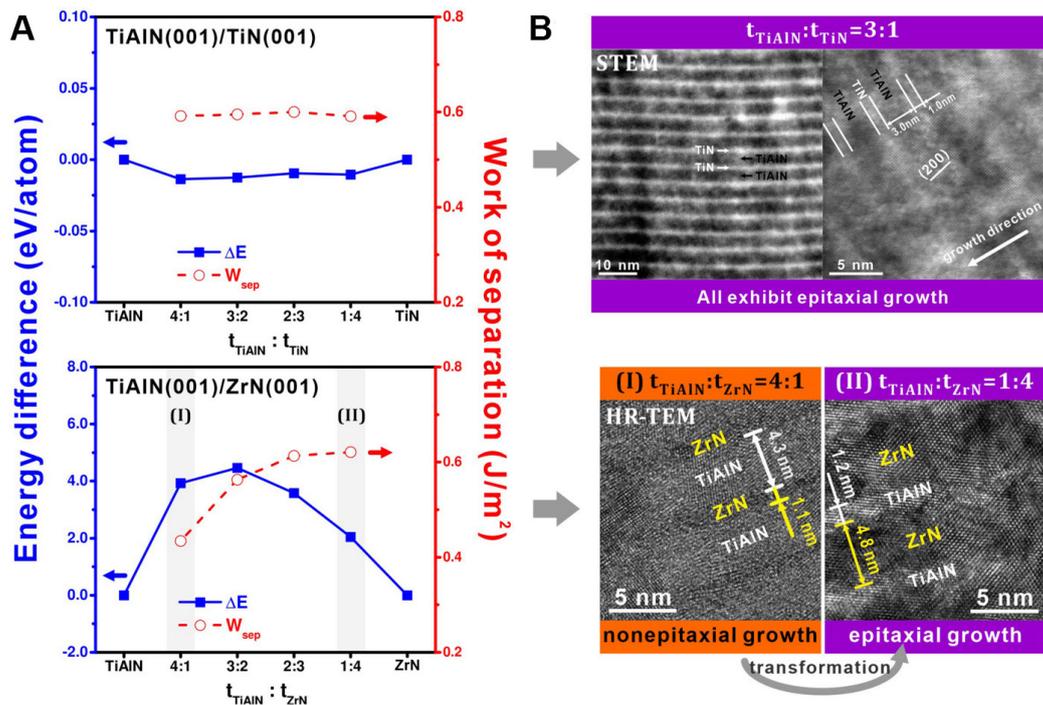
For this purpose, Emmerlich *et al.*<sup>[76]</sup> proposed a series of selection criteria for candidate coating systems: (1) a bulk modulus (B) to shear modulus (G) ratio (B/G) > 1.75; (2) a positive Cauchy pressure; (3) a congruent melting point; (4) a preferable phase stability; and (5) a high Young's modulus (E). Among these parameters, the elastic moduli (B, G and E) and the Cauchy pressure can be well predicted by first-principles calculations, while the congruent melting point and phase stability can be judged from the phase diagrams established using the CALPHAD approach. Through the computational screening of a wide variety of ceramic material systems, Mo<sub>2</sub>BC was found to possess a high stiffness combined with moderate ductility and meets all the aforementioned criteria. Specifically, nanolaminated Mo<sub>2</sub>BC exhibits a B/G ratio of 1.75 and a Cauchy pressure of 43 GPa, compared to a B/G ratio of 1.44 and a Cauchy pressure of -40 GPa for TiAlN. Mo<sub>2</sub>BC melts congruently at temperatures above 2500 °C, whereas TiAlN reveals spinodal decomposition below 1000 °C. In addition, the E value of Mo<sub>2</sub>BC is estimated as 470 GPa, comparable to that of TiAlN (400-500 GPa). The nanohardness value of the PVD deposited Mo<sub>2</sub>BC coating was subsequently measured as 29 ± 2 GPa, which is similar to that of TiAlN (~30 GPa). During the indentation process, no apparent sign of brittle fracture was noted, suggesting good deformation tolerance of the Mo<sub>2</sub>BC coating. Hence, this study exhibits a property-oriented all-round design strategy for wear-resistant ceramic coatings (as shown in Figure 12), which can act as a reference to the future exploration of new coating systems.

Another possible method to engineer coating systems with improved ductility could be the construction of multilayered architectures at the nanoscale. By assembling two different coating materials alternately, the nano-multilayered coatings may exhibit enhanced properties that outperform each of the component layers (i.e., the sublayers). Xu *et al.*<sup>[77]</sup> studied the optimal modulation ratio for constructing coherent interface structures in TiAlN/MeN (Me = Ti or Zr) using first-principles calculations and key experiments. The energy difference ( $\Delta E$ ) between TiAlN/MeN and the single layered one, as well as the work of separation ( $W_{\text{sep}}$ ) corresponding to the introduction of the interface in comparison to two separate slab structures, was calculated at different modulation ratios ( $t_{\text{TiAlN}}:t_{\text{MeN}} = 4:1, 3:2, 2:3$  and  $1:4$ ). The results show that the TiAlN/TiN multilayered coating system can readily form coherent interfaces between the sublayers, regardless of the varied modulation ratios, whereas the interfacial structure in the TiAlN/ZrN coating system depends strongly on the modulation ratio. To be specific, the TiAlN/ZrN coating exhibits a lower  $\Delta E$  but a higher  $W_{\text{sep}}$  at  $t_{\text{TiAlN}}:t_{\text{ZrN}} = 1:4$  [Figure 13A], suggesting a higher stability of the coherent interface structure at this modulation ratio. These simulation results are in agreement with the TEM observations made on the TiAlN/MeN multilayered coatings [Figure 13B]. Thus, this study provides a vivid example of the usefulness of computational methods in designing multilayered architectures in nitride coatings.

In addition to PVD, chemical vapor deposition (CVD) is an equally important coating deposition technique that is extensively used in industrial applications<sup>[78]</sup>. To optimize the parametric variables, e.g.,

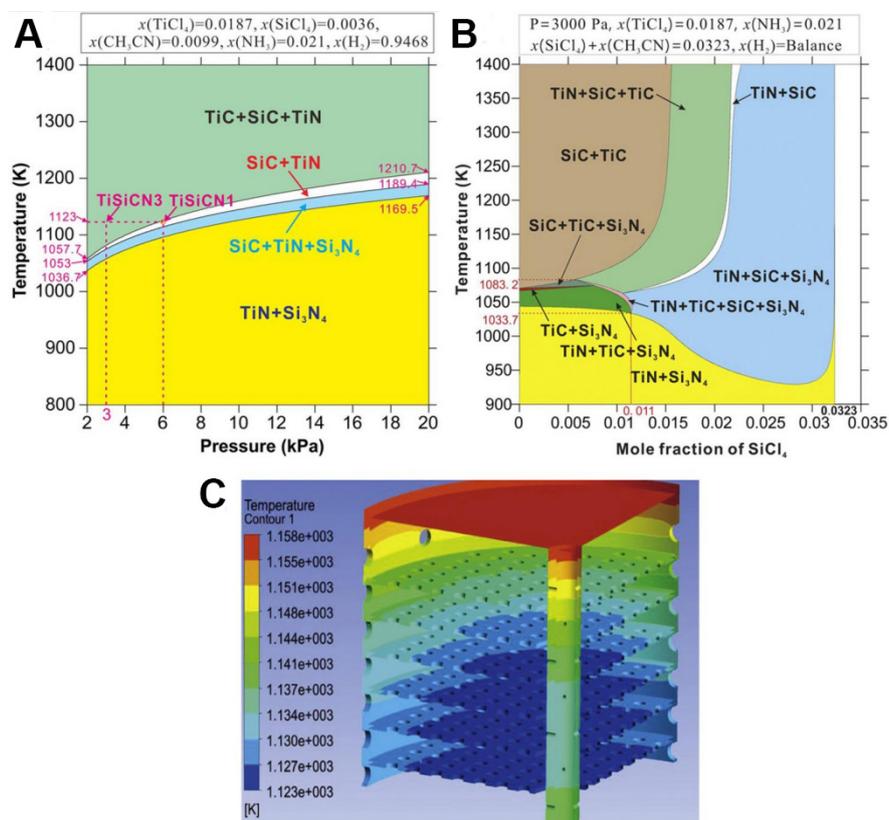


**Figure 12.** Computational screening strategy of coating materials based on the CALPHAD approach and first-principles calculations for the development of hard yet tough ceramic coatings.



**Figure 13.** (A) First-principles calculated energy difference ( $\Delta E$ ) and work of separation ( $W_{\text{sep}}$ ) as a function of modulation ratio ( $t_{\text{TiAlN}}:t_{\text{MeN}}$ ) for TiAlN(001)/TiN(001) and TiAlN(001)/ZrN(001). (B) Cross-sectional TEM micrographs showing the interfacial structures in TiAlN/MeN multilayered coatings with different modulation ratios. Figure reproduced from Ref.<sup>[77]</sup> with permission from Elsevier.

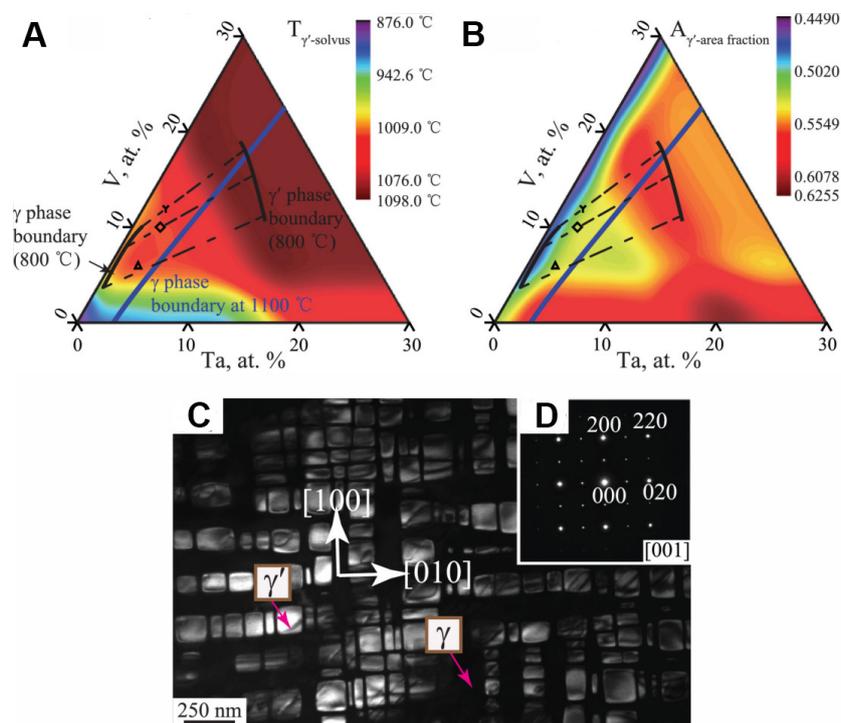
temperature, gas pressure and flow rate, for the CVD process, Qiu *et al.*<sup>[79,80]</sup> selected a TiSi(C)N coating as a model system and considered the precursors that are comprised of  $\text{TiCl}_4$ - $\text{SiCl}_4$ - $(-\text{CH}_3\text{CN})$ - $\text{NH}_3$ - $\text{H}_2$ . Using the CALPHAD approach, a series of parameter-dependent phase diagrams was established. As



**Figure 14.** Calculated phase diagrams of TiSiCN depending on different parameters: (A) temperature and pressure; (B) temperature and mole fraction of  $\text{SiCl}_4$  ( $\text{CH}_3\text{CN}$ ). (C) CFD simulated temperature contours in an industrial-scale CVD furnace. Figure reproduced from Refs. <sup>[79,81]</sup> with permission from Elsevier.

shown in Figure 14A, at a constant pressure of 6 kPa, for example, the phase formation sequence can be listed as  $\text{TiN}+\text{Si}_3\text{N}_4 \rightarrow \text{TiN}+\text{Si}_3\text{N}_4+\text{SiC} \rightarrow \text{SiC}+\text{TiN} \rightarrow \text{TiC}+\text{SiC}+\text{TiN}$  following a temperature increase from 800 to 1400 K. In contrast, when the temperature is fixed at 1123 K, the phase formation sequence is in the opposite direction when the pressure increases from 2 to 20 kPa. It is also noted from Figure 14B that for a deposition temperature range of 1034–1083 K and the mole fraction of  $\text{SiCl}_4$  that is within 0.011, the  $\text{TiC}+\text{Si}_3\text{N}_4$  two-phase, the  $\text{TiC}+\text{Si}_3\text{N}_4+\text{SiC}$  ternary-phase and the  $\text{TiN}+\text{TiC}+\text{Si}_3\text{N}_4+\text{SiC}$  quaternary-phase regions are relatively narrow, implying the importance of precisely controlling the deposition parameters. Eventually, with the deliberately selected parameters, the co-existence of the amorphous  $\text{SiC}_x\text{N}_y$  phase and the nanocrystalline  $\text{TiC}_x\text{N}_y$  phase was realized in the CVD nanocomposite coating structure.

In parallel with the endeavors to establish parameter-dependent CVD phase diagrams, Qiu *et al.*<sup>[81]</sup> also conducted computational fluid dynamics (CFD) calculations for moderate-temperature Ti(C,N) coatings to understand the fluid behavior of gases in a CVD process. CFD calculations can provide valuable information on the distributions of temperature, gas concentration and deposition rate in an industrial scale CVD furnace ahead of the actual coating deposition. Using the temperature contours simulated in Figure 14C as an example, it can be seen that the temperature rises from the bottom tray to the top one, while on the same tray it also increases from the internal locations to the external ones (with a temperature difference of < 10 K). The simulation results are in reasonable agreement with the experimental evidence. Thus, based on the CALPHAD and CFD approaches, a holistic design strategy including the selection of deposition parameters and the optimization of coating structures has been proposed for CVD coatings.



**Figure 15.** (A) Solvus temperature ( $T_{\gamma'-solvus}$ ) and (B) area fraction ( $A_{\gamma'-area\ fraction}$ ) of  $\gamma'$  phase in the Co-V-Ta alloy annealed at 800 °C for 600 h, as predicted using machine learning, with the  $\gamma$  and  $\gamma'$  phase boundaries demarcated in the isothermal section of the Co-V-Ta system at 800 °C established using the CALPHAD approach. (C) Dark-field image and (D) selected area diffraction pattern obtained from the  $\gamma+\gamma'$  region in the Co-V-Ta alloy annealed at 800 °C for 648 h. Figure reproduced from Ref.<sup>[83]</sup> with permission from Elsevier.

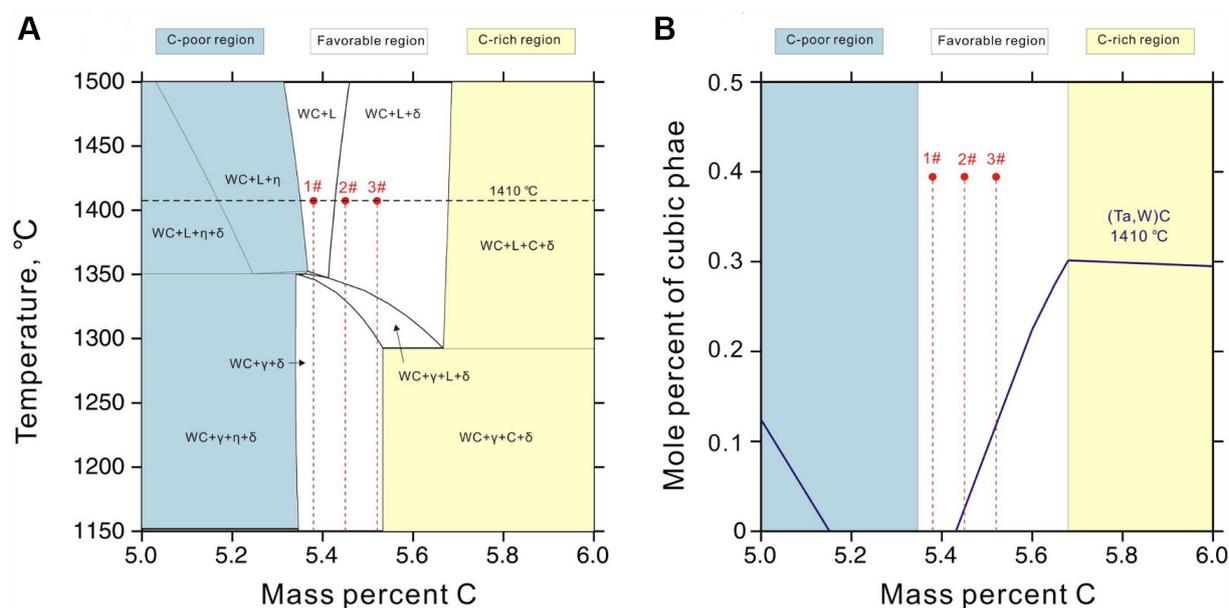
### Co-based superalloys

Superalloys, including Ni-, Co- and Fe-based alloys, represent the foremost high-temperature structural materials or hardfacing coatings employed in nuclear power generation and the automotive and aerospace industries<sup>[82]</sup>. Theoretically, Co-based superalloys have excellent potential to outperform their widely-used Ni-based counterparts in terms of high-temperature capability, as they possess a higher solidus temperature. Notwithstanding, a lack of the strengthening  $\gamma'$  phase is a daunting challenge to the development of Co-based superalloys with superior high-temperature strength.

Recently, Ruan *et al.*<sup>[83]</sup> employed a machine learning algorithm combined with the CALPHAD approach to seek for a novel Co-based superalloy system with a wide  $\gamma+\gamma'$  two-phase region. The property-related parameters, i.e., the solvus temperature ( $T_{\gamma'-solvus}$ ) and the area fraction ( $A_{\gamma'-area\ fraction}$ ) of  $\gamma'$  precipitates, have been predicted using a random forest algorithm based on datasets collected from the literature, while grain-boundary segregation has been subtly controlled according to the thermodynamic calculation results based on a Ni-based superalloys database (TTNI8). After a thorough evaluation of  $T_{\gamma'-solvus}$ ,  $A_{\gamma'-area\ fraction}$  and phase constitution (as shown in Figure 15A and B), a Co-12V-2Ta (in at.%) system consisting of the cuboidal  $\gamma'$  phase homogeneously distributed in the  $\gamma$  matrix (as shown in Figure 15C and D) was fabricated and used as the master alloy. Through the further incorporation of a strong  $\gamma'$ -forming element (Ti), a Co-12V-2Ta-2Ti superalloy with enhanced high-temperature strength and reduced mass density, compared with the well-known Co-9Al-9.8W alloy system, was successfully attained. Thus, this work shows the effectiveness of jointly using the machine learning and CALPHAD methods based on multi-databases to accelerate the optimization process of critical material systems with properties beyond their limits.

### Ultrafine cemented carbides

WC-Co-based cemented carbides have been widely used as tool materials in cutting, milling, turning and drilling applications since they were first developed in the 1920s<sup>[84]</sup>. Among the different types of cemented



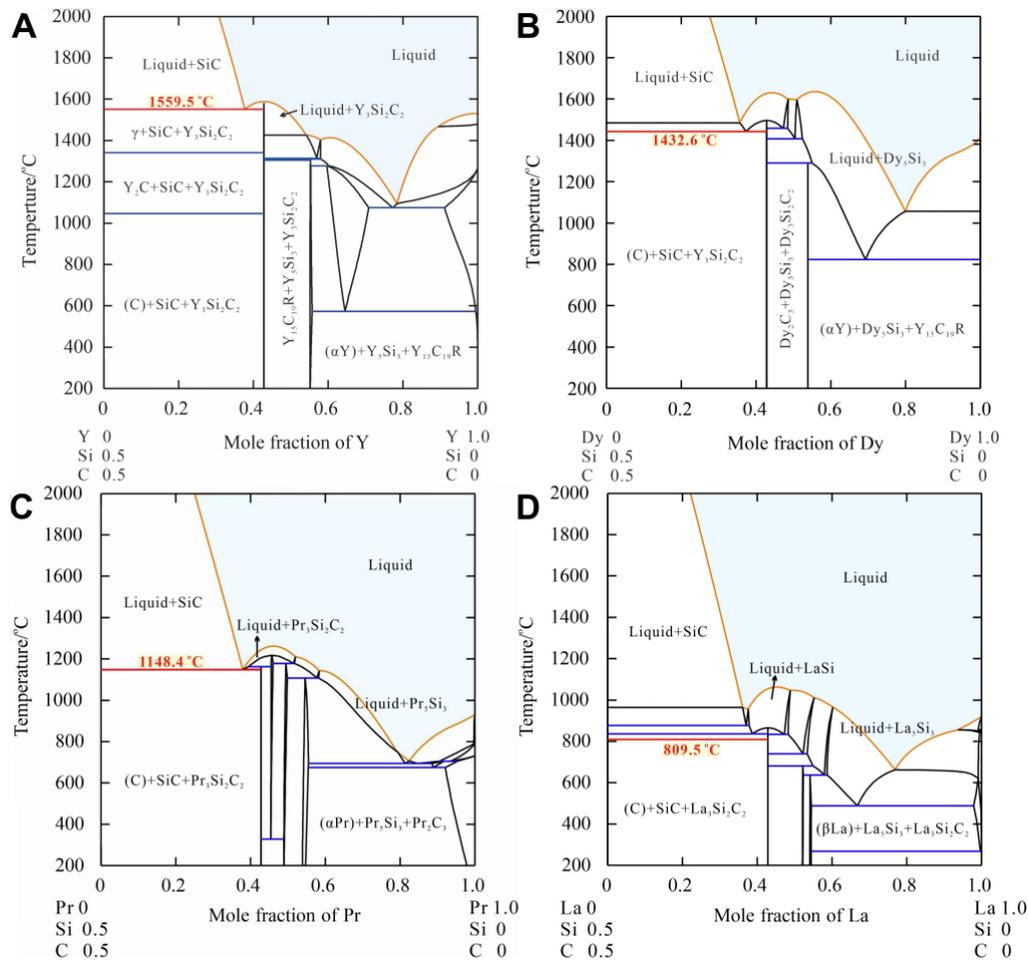
**Figure 16.** Development of ultrafine WC-Co-based cemented carbides according to the thermodynamically calculated (A) phase diagram and (B) mole percent of (Ta,W)C cubic phase in the WC-10Co-0.5Ta cemented carbides (at 1410 °C). Three dashed lines indicate the carbon contents of the samples synthesized. L = liquid,  $\eta$  =  $M_6C$ ,  $\gamma$  = Co binder,  $\delta$  = cubic phase and C = graphite phase. Figure reproduced from Ref.<sup>[88]</sup> with permission from Elsevier.

carbides, ultrafine grain WC-Co with enhanced mechanical properties has received significant attention from both academia and industry<sup>[84,85]</sup>. The incorporation of a grain growth inhibitor, for example,  $Cr_3C_2$ , VC, NbC or TaC, is a viable method to fabricate cemented carbides with refined WC particles<sup>[86]</sup>. However, a side effect induced by inhibitor addition could be the formation of an inhomogeneous microstructure that shows segregation of the inhibitors added<sup>[87]</sup>.

To eliminate microstructural inhomogeneity in ultrafine cemented carbides, Li *et al.*<sup>[88]</sup> selected WC-Co-Ta as a model system, designed and validated its optimal composition via the CALPHAD approach and key experiments. According to the phase diagram established [Figure 16A] and the calculated mole percent of the (Ta,W)C cubic phase [Figure 16B] at the sintering temperature (1410 °C), three typical compositions with different C concentrations within the favorable region were selected. The results indicate that at the highest C concentration (5.52 wt.%), a large quantity of (Ta,W)C cubic phase remains undissolved in liquid Co at the sintering temperature and is evenly dispersed across the microstructure. During cooling, the dissolved Ta atoms precipitate from the oversaturated binder phase onto the undissolved cubic phase. On this basis, the segregation of the (Ta,W)C cubic phase is avoided in WC-Co-Ta (5.52 wt.% C) and enhanced hardness and transverse rupture strength are simultaneously realized. Hence, this work shows the possibility of microstructural and property optimization via the precise control of the minor element concentration as per the phase diagram database.

### Nuclear cladding materials

Nuclear reactors represent extreme environments with severe working conditions where materials are required to bear long-time irradiation, high temperatures and strong corrosive media<sup>[89]</sup>. Since the occurrence of the Fukushima Daiichi nuclear disaster in 2011, accident tolerant fuel (ATF) cladding materials, which could help to retard catastrophic nuclear accidents, have been in urgent demand<sup>[90]</sup>. Silicon carbide (SiC) ceramics are promising ATF cladding materials for use in the nuclear industry due to their excellent thermal and mechanical properties, in addition to the low induced radioactivity<sup>[91]</sup>. However, a major technical difficulty lies in the fabrication of SiC ceramics, which normally requires high temperature

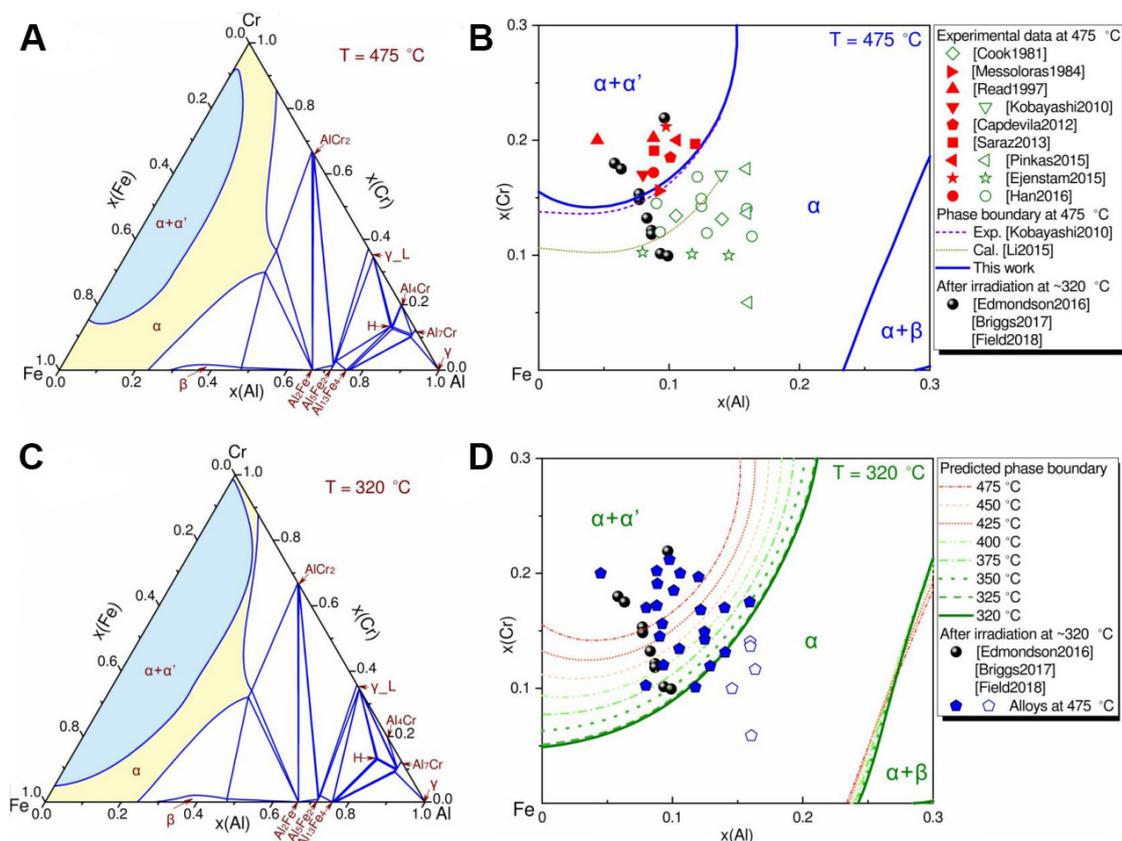


**Figure 17.** Calculated vertical sections along the composition of SiC:RE = 1:1 for (A) Y-Si-C, (B) Dy-Si-C, (C) Pr-Si-C and (D) La-Si-C. Temperature of the reaction between RE<sub>3</sub>Si<sub>2</sub>C<sub>2</sub> and SiC at which the liquid phase starts to form is labelled in each diagram. Figure reproduced from Refs. [96,98,99] with permissions from Elsevier and John Wiley & Sons.

(~2000 °C) and high pressure (~50 MPa) to achieve full densification [92]. A viable method to possibly reduce the sintering temperature is the employment of suitable sintering aids [93,94]. For instance, Shao *et al.* [95] *in situ* synthesized powders that possess a SiC-Y<sub>3</sub>Si<sub>2</sub>C<sub>2</sub> core-shell structure using a molten salt technique and found that a Y<sub>3</sub>Si<sub>2</sub>C<sub>2</sub> sintering aid facilitates a nearly full densification of SiC ceramics (with a 99.5% relative density) at a decreased temperature of 1600 °C.

Xu *et al.* [96] studied the thermodynamics underlying this temperature reduction by assessing the Y-SiC vertical section [Figure 17A] of the Y-Si-C ternary system using the CALPHAD approach aided with first-principles calculations. It was noted that Y<sub>3</sub>Si<sub>2</sub>C<sub>2</sub> co-exists with SiC in a two-phase equilibrium region up to a temperature of 1100 °C, while a liquid phase emerges at temperatures above 1560 °C. Thus, it was rational to conclude that during the sintering of SiC, the Y<sub>3</sub>Si<sub>2</sub>C<sub>2</sub> shell reacts with the SiC core and transforms into a liquid state at 1600 °C, such that the grain size may be refined at the core-shell interface and the SiC ceramics are fully densified under the effect of the pressure exerted concurrently.

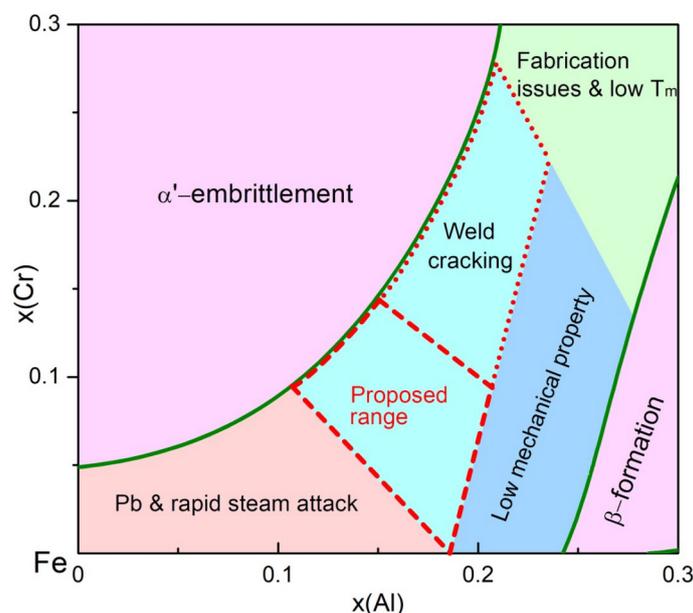
The successful development of Y<sub>3</sub>Si<sub>2</sub>C<sub>2</sub> as a sintering aid has stimulated the exploration for more efficient aids based on rare earth (RE)-containing metallic compounds, RE<sub>3</sub>Si<sub>2</sub>C<sub>2</sub> (RE = La-Nd, Sm and Gd-Tm) [97]. Xu *et al.* [98,99] further compared the effectiveness of Dy, Pr and La incorporated sintering aids on lowering the densification temperature of SiC by examining the vertical sections of RE-SiC using the CALPHAD



**Figure 18.** Calculated phase diagrams of the Fe-Cr-Al system using the CALPHAD approach: (A) isothermal section at 475 °C; (B) Fe-rich corner at 475 °C, where the solid symbols indicate the  $\alpha+\alpha'$  phases, the open ones designate the single  $\alpha$  phase and the sphere symbols are the experimental data ( $\alpha+\alpha'$  phases) from the FeCrAl samples irradiated at -320 °C; (C) isothermal section at 320 °C; (D) Fe-rich corner at 320 °C together with the ones at 475, 450, 425, 400, 375, 350 and 325 °C, where the experimental data from (A) are re-labeled to adjust the phase relations at 320 °C. Figure reproduced from Ref.<sup>[103]</sup> with permission from Elsevier.

approach coupled with first-principles calculations. It can be seen from the phase diagrams that the additions of Dy [Figure 17B], Pr [Figure 17C] and La [Figure 17D] reduce the reaction temperature of  $\text{RE}_3\text{Si}_2\text{C}_2$  to 1433, 1148 and 810 °C, respectively. The calculations of phase transformation temperatures demonstrate that using different types of RE elements to form a core-shell structure with the SiC particles could be a feasible approach for realizing the densification of SiC ceramics at lower sintering temperatures.

Another candidate ATF cladding material system could be the oxidation-resistant Fe-Cr-Al<sup>[100]</sup>, although its industrial application has been hindered so far, owing to the formation of the Cr-rich brittle  $\alpha'$  phase at temperatures slightly below 475 °C<sup>[101,102]</sup>. To provide theoretical guidance for detrimental phase prevention, Chang *et al.*<sup>[103]</sup> optimized the isothermal section of the Fe-Cr-Al system at 475 °C [Figure 18A], as well as the phase diagram for the Fe-rich corner that is comprised of an  $\alpha$  phase region and an  $\alpha+\alpha'$  two-phase equilibrium region [Figure 18B] using the CALPHAD approach aided by first-principles calculations and high-throughput experiments. The calculation results are in good agreement with the experimental data from annealing tests conducted at 475 °C. To further investigate the temperature-dependent precipitation behavior of the  $\alpha'$  phase from the  $\alpha$  phase, Chang *et al.*<sup>[103]</sup> selected the typical service temperature (320 °C) of nuclear cladding materials and calculated the isothermal section of the Fe-Cr-Al system [Figure 18C]. The calculation results are well supported by the experimental data from irradiation tests performed at 320 °C [Figure 18D]. Finally, by means of phase diagrams calculated at different temperatures (475, 450, 425, 400, 375, 350 and 325 °C), the migration of the  $\alpha/\alpha+\alpha'$  phase boundary towards the Al-rich corner induced by the temperature decrease was captured [Figure 18D].



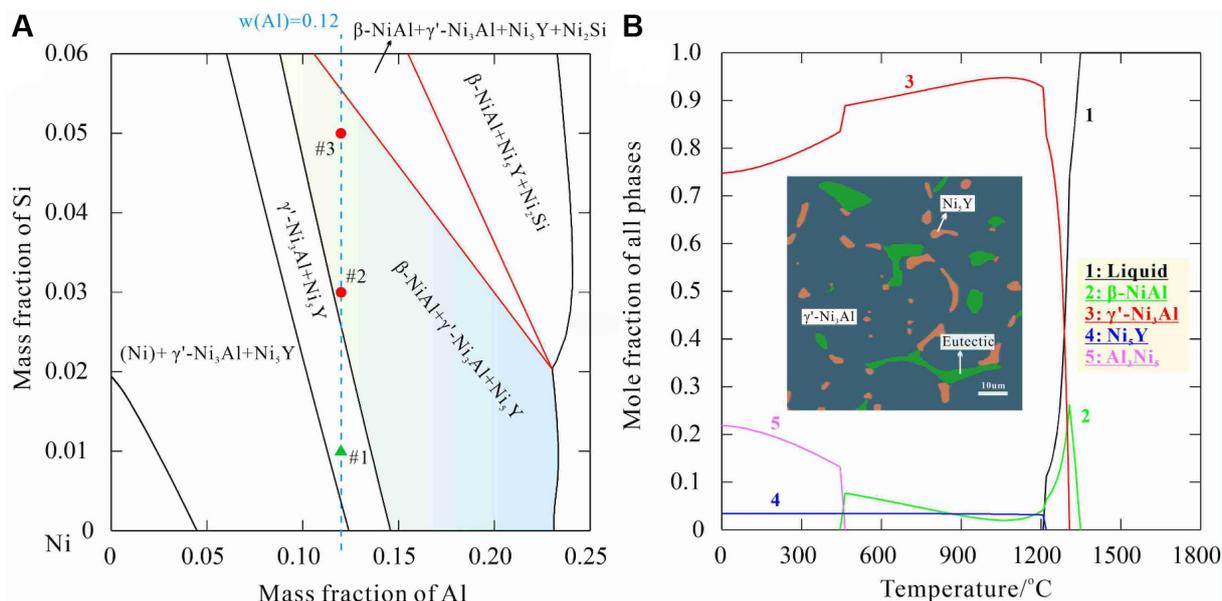
**Figure 19.** Development of composition-structure-property relationships for Fe-Cr-Al ATF cladding materials with a proposed composition range based on the calculated phase diagram at 320 °C. Figure reproduced from Ref. <sup>[103]</sup> with permission from Elsevier.

According to the phase diagram database, increasing the Al concentration may inhibit the generation of the  $\alpha'$  phase and benefit the oxidation resistance as well, but the materials with excessive Al are difficult to weld and machine. To efficiently demarcate the optimal composition range of the Fe-Cr-Al ternary system, Chang *et al.* <sup>[103]</sup> constructed the composition-structure-property relationship diagram of Fe-Cr-Al at 320 °C [Figure 19]. The suggested composition range as outlined by the dotted lines is determined considering three main facets, namely, the  $\alpha$  single phase region, the melting point, as well as the mechanical properties. The composition range can be further narrowed down to the area demarcated by the dash lines, considering the welding property at the same time. Thus, utilizing high-throughput experiments coupled with the CALPHAD approach and first-principles calculations, an optimal composition range for the nuclear cladding Fe-Cr-Al system has been proposed, which can be used as references for the selection of explicit material compositions.

### Corrosion-resistant NiXAlY materials

The M-Cr-Al-Y (M = Ni, Co or Fe) quaternary system represents the state-of-the-art hot-corrosion resistant coating system being used either directly on gas turbine blades or as the bond coat in thermal barrier coating systems <sup>[104,105]</sup>. The excellent hot-corrosion resistance of M-Cr-Al-Y coatings arises from the formation of continuous and dense oxide films that are mainly comprised of  $\text{Al}_2\text{O}_3$  and  $\text{Cr}_2\text{O}_3$  on their surface <sup>[106]</sup>. As such, the stability of these oxide films becomes a vital factor that determines the durability of these coatings in harsh environments. It was reported <sup>[107]</sup> that in high-temperature salt spray environments,  $\text{Al}_2\text{O}_3$  can barely react with NaCl and  $\text{H}_2\text{O}$  as this reaction is not thermodynamically favorable. In contrast,  $\text{Cr}_2\text{O}_3$  is apt to spallation as a result of the chemical reaction with NaCl and  $\text{H}_2\text{O}$  at temperatures ranging between 400 and 700 °C <sup>[108,109]</sup>. Therefore, the substitution of Cr with other elements is essential to improve the adaptability of a coating to marine salt spray environments while maintaining similar high temperature properties.

Xu *et al.* <sup>[38,110]</sup> comprehensively considered the chemical activity and phase formation ability of candidate elements, and selected Si, Ta, Mo, Cu and Ti for designing the novel Ni-X-Al-Y quaternary system. Using the Ni-Si-Al-Y system as an example, Xu *et al.* <sup>[38,110]</sup> critically assessed the Al-Ni-Si, Al-Ni-Y, Al-Si-Y, Ni-



**Figure 20.** Calculated Al-Ni-Si-Y quaternary phase diagrams: (A) isothermal section in the Ni-rich corner at 750 °C; (B) variation of phase fraction along with increasing temperature for sample #2 Ni-12Al-3Si-1Y. The inset in (B) shows the microstructure of sample #2 after annealing at 1300 °C for 24 h, in which the eutectic structure consists of  $\gamma'$ -Ni<sub>3</sub>Al and  $\beta$ -NiAl. Figure reproduced from Ref.<sup>[38]</sup> with permission from Elsevier.

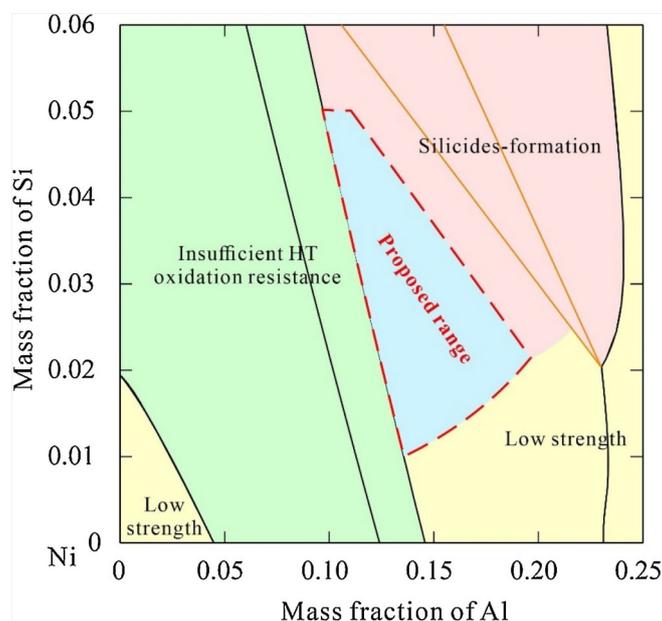
Si-Y ternary systems and subsequently developed the Al-Ni-Si-Y quaternary phase diagram database. To examine the accuracy of the database, three representative samples with explicit compositions, namely, #1-Ni-12Al-1Si-1Y, #2-Ni-12Al-3Si-1Y and #3-Ni-12Al-5Si-1Y (in wt.%), were prepared as per the isothermal section of the Al-Ni-Si-Y quaternary system at 750 °C [Figure 20A]. The phase constitutions depicted in the calculated phase diagram are in line with the experimental results where a two-phase structure consisting of  $\gamma'$ -Ni<sub>3</sub>Al and Ni<sub>5</sub>Y was identified in sample #1 and a ternary-phase structure comprised of  $\gamma'$ -Ni<sub>3</sub>Al,  $\beta$ -NiAl and Ni<sub>5</sub>Y was detected in samples #2 and #3. The changes in phase fractions in sample #2 along with the temperature rise (up to 1800 °C) calculated from the phase diagram database are shown in Figure 20B. According to the calculation results, minor  $\beta$ -NiAl and Ni<sub>5</sub>Y phases would precipitate from the  $\gamma'$ -Ni<sub>3</sub>Al matrix at 1300 °C. This prediction is well supported by the annealing test (at 1300 °C for 24 h) result, as shown in the inset of Figure 20B. Therefore, by determining reasonable thermodynamic descriptions of the binary and ternary sub-systems, a reliable Al-Ni-Si-Y quaternary phase diagram database was successfully constructed via extrapolation.

Utilizing this database, Xu *et al.*<sup>[38]</sup> finally established the composition-structure-property relationship diagram and demarcated the optimal composition range of the Ni-Si-Al-Y system based on the isothermal sections at both 750 and 1140 °C [Figure 21]. In this specific composition range, the material system is expected to exhibit high strength combining with excellent resistance to high temperature oxidation and corrosion.

As the abovementioned research cases show, phase diagram databases play a critical role in the screening of candidate elements and the determination of the concentration of major or minor constituents for a wide variety of material categories and are expected to be prioritized during the materials optimization targeted for surface protection in harsh service environments.

## CONCLUDING REMARKS

The development and optimization of coating materials for elongated durability under harsh service environments are challenging but imperative tasks. Novel coating materials with ever-increasing complexity



**Figure 21.** Development of the hot-corrosion resistant Ni-Si-Al-Y quaternary system: composition-structure-property relationships based on the calculated phase diagrams at 750 and 1140 °C. The proposed composition range may possess a combination of high strength, toughness, as well as excellent high-temperature oxidation and corrosion resistance. Figure reproduced from Ref.<sup>[38]</sup> with permission from Elsevier.

in constitution and structure make computational methods indispensable to materials design. In particular, the continuous renovation of the conventional CALPHAD approach through the coupling with other simulation methods (such as first-principles calculations, molecular dynamics, phase-field method and so on) and key experiments has gradually realized a novel materials design strategy. This renovation relies on the CALPHAD in combination with other computational methods, high-throughput experiments and materials databases. Alternatively, data-driven materials research, referred to as materials informatics, which harnesses data mining and statistical methods in conjunction with physically-driven models to capture the physical essence from materials databases, also shows great potential in reliable performance prediction and materials recommendation<sup>[83,111,112]</sup>. In general, the idea and methodology of the materials design strategy presented in this work are within the scope of integrated computational materials engineering and the Materials Genome Initiative, both of which are in line with the scientific connotation of materials informatics<sup>[113]</sup> and are committed to saving time and efforts in the research and development of novel engineering materials.

Continuous modifications on the research scheme and calculation models are still in high demand, albeit some research advances have already been made. To develop robust surfaces with self-adaptability to the variations of temperature, stress and surrounding medium, several critical scientific problems need to be addressed in future research:

- (1) A quantitative calculation model for predicting the metastable phase formation in a multicomponent coating system (with five or more elements) remains to be developed;
- (2) The feasibility and reliability of the calculation method remain to be ascertained for multicomponent coatings (e.g., high entropy alloy coatings) that potentially consist of various metastable phases;
- (3) A quantitative description of the formation and stability of amorphous phases in the coating structure is still absent and remains to be established;
- (4) A quantitative relationship between the phase formation and the coating deposition parameters (e.g., pressure, bias voltage and so on) remains to be built;
- (5) A trustworthy prediction of critical materials properties (besides thermal stability) remains to be fulfilled based on computational methods.

## DECLARATIONS

### Authors' contributions

Writing: Lou M, Xu K, Chen L, Hong C, Yuan Y

Review and editing: Lou M, Du Y, Chang K

Resources, supervision, and project administration: Lou M, Xu K, Du Y, Du Y, Chang K

### Availability of data and materials

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### Conflicts of interest

All authors declared that there are no conflicts of interest.

### Ethical approval and consent to participate

Not applicable.

### Consent for publication

Not applicable.

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