

Review

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# Direct-acting antivirals and risk of hepatocellular carcinoma: from genetic signature to metabolic risk factors

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## Abstract

Hepatocellular carcinoma (HCC) is the fifth most common malignancy and the second leading cause of cancer-related death. Hepatitis C virus and mainly hepatitis C virus-related cirrhosis is the chief risk factor for HCC. Many direct-acting antivirals are available for the eradication of hepatitis C virus with remarkable results in terms of virological response and with optimal safety profile. Notably, some authors have suggested that viral eradication due to these new drugs might favor both occurrence and recurrence of HCC. The exact biological mechanisms of carcinogenesis in this specific setting have not been well identified, but it has been suggested that adjustments in immune surveillance and increase in vascular endothelial growth factor expression could have a chief role. Remarkably, after publication of many large studies and meta-analyses, we can affirm that there is no increased risk on a population basis. Nonetheless, on an individual basis, sustained virological response due to direct-acting antivirals may facilitate HCC onset in some specific subgroups of patients. Among them, we could point out patients with activated neoangiogenesis but also subjects with particularly severe metabolic imbalance.

**Keywords:** Direct-acting antivirals, hepatocellular carcinoma, carcinogenesis

## INTRODUCTION

Hepatocellular carcinoma (HCC) represents about 5.6% of cancers worldwide<sup>[1]</sup>. It is the fifth most common malignancy and its incidence has grown in the last two decades<sup>[2]</sup>. Notably, HCC has a strong impact on patients' outcome, being the second leading cause of cancer-related death<sup>[3]</sup>. Hepatitis C virus (HCV) and particularly HCV-related cirrhosis, is the main risk factor for HCC<sup>[4]</sup>.



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HCV leads to chronic hepatitis C and cirrhosis in about 20% of subjects within 20 years of infection<sup>[5]</sup> and HCC occurs in around 3.5% of cases per year<sup>[6]</sup>.

For many years, interferon (IFN)-based protocols have represented the cornerstone of antiviral therapy<sup>[7]</sup>. Achievement of sustained virological response (SVR) with IFN is infrequent (especially in cirrhotics), and the drug leads to low compliance and high toxicity. However, viral clearance due to IFN is associated with decreased incidence of HCC and overall reduction of other liver-related events<sup>[8]</sup>.

In the last few years, new antiviral molecules with a direct action against the virus have been developed<sup>[9]</sup>. Today, many direct-acting antivirals (DAAs) are available with amazing results in terms of SVR and safety<sup>[10]</sup>.

A few years after the introduction of DAA, two noteworthy and innovative studies reported first that SVR due to DAA might increase the risk of both HCC occurrence and recurrence<sup>[11,12]</sup>.

Later, other authors suggested the unexpected high incidence of HCC after DAA treatment, describing the onset of extraordinarily aggressive tumors a few weeks after the end of antiviral therapy<sup>[13]</sup>.

Following the publication of these studies, many other groups reported the increased occurrence of HCC after DAA use<sup>[14]</sup>, whereas others rebutted this notion<sup>[15-17]</sup>.

The exact biological mechanisms by which oral DAAs might enhance the risk of HCC are largely unknown<sup>[18]</sup>. Modifications in immune surveillance may potentiate the risk of HCC by accelerating the process of regeneration. Moreover, DAAs seem to increase levels of vascular endothelial growth factor (VEGF) and to modify the balance between pro- and anti-inflammatory reactions<sup>[19]</sup>.

The aim of the present review was to analyze the main evidence about the relationship between DAA and HCC onset, privileging meta-analyses and original real-life controlled trials. Moreover, we tried to identify high-risk subpopulations that can benefit from personalized post-eradication follow-up. We analyzed the state-of-the-art about this debated matter offering a critical point of view and underlining potential future perspectives.

## **HCC RECURRENCE AFTER SVR DUE TO DAA THERAPY**

In the last years, there has been particular concern about the rates of HCC recurrence following DAA treatment<sup>[11,12,20]</sup>.

Notably, many studies about HCC recurrence are single-arm and retrospective cohort studies. Moreover, they show relevant heterogeneity in the following patterns: tumor burden, HCC treatments leading to whole response, and follow-up periods<sup>[21]</sup>. Indeed, it is questionable whether HCC recurrence might be part of the natural history of patients with advanced liver disease or whether DAAs can have a chief role in cancer pathogenesis. Fortunately, in the last 2 years, some meta-analyses have been proposed, and these are the ideal instruments to obtain solid answers.

In 2017, Waziry *et al.*<sup>[22]</sup> compared, using systematic review, meta-analysis, and meta-regression approaches, 15 studies on HCC recurrence after SVR. Seven studies included patients treated with IFN-based therapy and 10 comprising patients cured with DAA. The incidence of HCC recurrence was 9.21/100 per year and 12.16/100 per year in IFN and DAA subgroups, respectively. Through a meta-regression analysis and an adjustment for age and follow-up length, the authors demonstrated that patients in the DAA group did not show higher HCC recurrence in comparison with those treated with IFN.

Sometime later, Saraiya *et al.*<sup>[21]</sup> conducted a further meta-analysis examining data from 1820 patients with SVR due to DAA treatment. A vast part of the studies considered involved less than 100 patients with consequent inexact estimates of HCC recurrence. Notably, many but not all patients were treated for HCC with radical therapy (surgical resection or ablation). In fact, a substantial percentage of subjects (25%-50%) received non-curative therapies such as transarterial chemoembolization, showing by definition a high risk of recurrence regardless of antiviral therapy.

The authors correctly underlined other methodological differences among the studies analyzed. The extent of follow-up to evaluate HCC recurrence varied among the studies (from 3 to 36 months). Furthermore, some groups defined HCC recurrence from date of cancer treatment, while others assessed recurrence from start of DAAs.

According to reported data, the HCC recurrence rate was 21.9% (with high statistical heterogeneity). Nine studies compared HCC recurrence in DAA-treated ( $n = 947$ ), IFN-treated ( $n = 210$ ) and/or untreated ( $n = 641$ ) patients. The authors demonstrated that DAA-treated patients displayed lower pooled recurrence risk than did untreated patients and similar rate in comparison with subjects treated with IFN-based protocols.

In the same year (2018), another meta-analysis was published<sup>[23]</sup>. The authors selected studies better with respect to the previous meta-analysis, since they restricted patient enrollment to those with HCC previously treated with a curative approach (surgical resection or percutaneous ablation). The authors subdivided uncontrolled and controlled studies. The pooled proportion of recurrent HCC in uncontrolled cohorts was 16.7% (with evidence of substantial statistical heterogeneity) with follow-up that highly varied (from 12 to 280 weeks). Considering controlled cohorts, the pooled proportion was 20.1% (again with substantial statistical heterogeneity) with a follow-up range that was up to 416 weeks. Remarkably, the authors themselves reported that the global quality of the evidence was low for each of the outcomes considered, suggesting the need for further studies with longer follow-up periods.

Recently, Rutledge *et al.*<sup>[24]</sup> made strong suggestions about the complex matter of HCC recurrence after DAA therapy. The meta-analysis conducted by these authors is not only the widest among the available studies but is also particularly solid from a methodological point of view. In fact, the authors included only patients with previous curative cancer therapy and excluded studies with less than one year of follow-up. Notably, this rigorous approach allowed avoiding any rise in early HCC recurrence that could definitely be associated with the presence of small subclinical HCC at the beginning of antiviral therapy. The authors analyzed and compared 57 studies, which involved 16 IFN-treated, 33 DAA-treated and 8 untreated patients. DAA-treated patients who achieved SVR displayed a recurrence rate of 18.17/100 per year. Patients with SVR due to IFN therapy showed a similar recurrence rate (11.01/100 per year). The rate of HCC recurrence was comparable between untreated patients (25.69/100 per year) and IFN-treated patients without virological response (16.89/100 per year); subjects not responding to DAA showed a higher rate of recurrence, but the small number of patients made the value not statistically significant (44.16/100 per year).

Notably, the authors performed a subanalysis adjusting data according to HCC consolidated risk factors such as age and cirrhosis. They demonstrated that the DAA-treated group showed a lower rate of recurrent HCC (although without statistical significance).

Since overall mortality represents the most important clinical outcome, the recent findings of Singal *et al.*<sup>[25]</sup> should be pointed out. The authors demonstrated with a multicenter cohort study ( $n = 797$ ), that patients who achieved both complete response to any HCC treatment (resection, local ablation, transarterial chemo- or radioembolization, or radiation therapy) and SVR due to DAAs, showed a significant reduction of mortality risk in comparison with non-responders and untreated subjects.

## HCC OCCURRENCE AFTER SVR ASSOCIATED WITH DAA THERAPY

Chronic viral hepatitis is the most important predisposing factor for the occurrence of HCC<sup>[4]</sup>. Indeed, viral eradication is a main goal to decrease the incidence of HCC and, more in general, to lessen liver-related mortality<sup>[3,8]</sup>.

Considering the IFN era, Van der Meer *et al.*<sup>[26]</sup>, compared long-term outcomes of IFN monotherapy, IFN + ribavirin and PEG IFN + ribavirin, in 5 Liver Units. Recruited patients displayed advanced fibrosis and were followed up for a mean period of 8.4 years. Ten-year cumulative HCC incidence rate was 5.1% for the SVR subgroup and 21.8% for non-responders.

Ogawa *et al.*<sup>[27]</sup> conducted a prospective national multicenter study on the effect of PEG IFN + ribavirin treatment on chronic HCV infection, focusing on HCC occurrence. The authors enrolled 1013 patients and demonstrated that 5-year cumulative incidence of HCC was 1.7% in the SVR and 7.6% in the non-SVR group with a weakening of this effect considering only cirrhotic subjects (18.9% *vs.* 39.4%).

Morgan *et al.*<sup>[28]</sup> carried out a meta-analysis including 30 observational studies, with 31,528 patients enrolled. The authors confirmed that patients with SVR due to IFN-based protocol showed a lower HCC occurrence with respect to the others: 0.33 per person-year in SVR and 1.67 in non-responders.

Regarding the role of DAA therapy in favoring HCC occurrence, Conti *et al.*<sup>[11]</sup> published in 2016 a regional multicenter study of 344 consecutive cirrhotic patients treated with DAAs, including 285 subjects who did not show previous HCC. The authors reported that after the end of DAA treatment (follow-up of 24 weeks), 9 patients (3.16%) developed HCC in a higher percentage than IFN responders. The key point of discussion should be about the main clinical patterns of enrolled patients who displayed more advanced liver disease in comparison with the classical IFN cohort. Additionally, enrolled patients were screened for HCC exclusively by ultrasound with a certain probability of small undiagnosed HCC nodules at the beginning of antiviral therapy.

Some of the previously cited meta-analyses examined the whole risk of HCC occurrence after DAAs.

Waziry *et al.*<sup>[22]</sup>, comparing IFN regimens and DAAs, demonstrated that HCC occurrence following SVR was 1.14/100 per year for patients treated with IFN and 2.96/100 per year in subjects receiving DAAs. Notably, the authors showed that occurrence rate decreased with longer follow-up and was lower in younger subjects. Finally, the authors proposed a meta-regression after adjusting data for follow-up and age, demonstrating that SVR due to DAA was not related to significantly more HCC occurrence.

Singh *et al.*<sup>[23]</sup> analyzed a total of 39,145 patients treated with DAA (data from uncontrolled studies). Among them, 542 developed *de novo* HCC after achievement of SVR. Incident HCC showed an overall occurrence of 1.5% with substantial statistical heterogeneity among the studies included. The authors examined the HCC occurrence rate associated with DAA considering only controlled cohorts. Among five studies, the proportion of HCC occurrence was 3.3%, again with considerable statistical heterogeneity. Therefore, authors concluded that data derived from their study should be considered non-conclusive regarding the possible relationship between DAAs and increased risk of HCC occurrence.

A recently published meta-analysis<sup>[24]</sup> examined 81 studies of HCC occurrence after DAA. In particular, the authors compared the following subgroups of studies: 31 involving 71,443 IFN-treated subjects, 44 comprising 91,249 of DAA-treated patients and 6 on 9944 untreated subjects.

The authors reported that, considering only patients with SVR due to DAA and not all the DAA population, HCC occurrence rate was 3.57 per 100 per year *vs.* 0.70 per 100 per year in the IFN-treated SVR group

(without statistical significance). Afterwards, the authors carried out an additional smaller meta-analysis evaluating only studies with multivariate-adjusted hazard ratios (for gender, baseline cirrhosis and age). With this approach, these authors did not find augmented hazard of HCC occurrence in subjects treated with DAA compared to the IFN-treated subgroup. Notably, the authors also sub-analyzed data according to follow-up length. Considering occurrence rates in the second year after treatment with DAAs, similar incidence rates were found (0.88 per 100 per year in the DAA group and 0.55 in the IFN group). Finally, the authors conducted a sub-analysis excluding any cases of HCC occurrence within six months after end of treatment, where they found an incidence rate of 1.12 per 100 per year for the DAA group and 3.01 for the IFN group (again without statistical significance).

### HIGH RISK PATIENTS: POTENTIAL ROLE OF GENETIC SIGNATURE

The data outlined in the previous paragraphs underlined the concepts that on the whole, the risk of developing HCC after DAAs is small and DAAs represent an extraordinary option for curing hepatitis C. There is already consistent evidence that on a population basis, DAAs improve survival and decrease long-term complications such as decompensation. On an individual basis, however, DAAs might constitute a stimulus associated with higher risk of developing HCCs. In a series of patients with liver cirrhosis enrolled in a prospective study of HCC development, liver tissue of patients who subsequently developed HCC were already primed towards neoangiogenesis well before DAA treatment and ensuing HCC development<sup>[29]</sup>. Expression level of angiopoietin-2, evaluated by immunohistochemistry, differed significantly in tumor tissue among patients with recurrent, *de novo*, and non-recurrent HCC after DAAs ( $P < 0.0001$ , Kruskal-Wallis test). Likewise, hepatic stiffness, spleen size, variceal size, and hepatic venous pressure gradient were related to HCC risk but only angiopoietin-2 was independently related to both risk of HCC occurrence and recurrence. Our hypothesis was that the events occurring during the progression of chronic liver disease, with occurrence of increasing portal hypertension, could be critical in activating angiopoietin-2 expression in splanchnic vascular bed hyperplasia<sup>[29]</sup>. Of further interest, HCC developing after DAAs was often biologically aggressive, as indicated in a large proportion of them by the presence of five-gene neoangiogenic signature identified as a marker of rapidly growing and clinically aggressive HCC<sup>[30]</sup>.

The intriguing relationship between severe portal hypertension and DAA-mediated carcinogenesis could already be glimpsed in a few previously published papers. Conti *et al.*<sup>[11]</sup> identified more severe liver fibrosis as independently associated with risk of HCC recurrence. Ravaioli *et al.*<sup>[31]</sup> recently showed that the decrease in spleen stiffness in patients who developed HCC after DAAs was significantly less than in patients who did not develop HCC. Similar results were obtained by Ioannou *et al.*<sup>[32]</sup> who showed that when FIB-4 remains higher than 3.25 after SVR, the risk of HCC is so consistent to merit HCC surveillance. This highlights that severe portal hypertension is a critical predisposing factor for HCC.

### IMPACT OF METABOLIC RISK FACTORS ON HCC RISK

Both diabetes and obesity represent consolidated risk factors for HCC exerting a strong carcinogenic effect directly by disrupting the insulin-IGF pathway or indirectly by promoting steatosis<sup>[33]</sup>.

In a large retrospective cohort study, El-Serag *et al.*<sup>[34]</sup> showed that SVR due to effective IFN-based treatment led to a noteworthy drop in HCC risk. Nevertheless, the authors reported that the annual risk of HCC among responding patients was not insignificant (overall 0.33%). Interestingly, residual HCC risk was associated not only with advanced stage of fibrosis and age but also with diabetes and HCV genotype 3. Relative to this point, other authors also suggested that HCV genotype 3 was correlated not only with higher rates of liver steatosis (being the more “metabolic” genotype) but also with greater HCC risk in comparison with the other genotypes<sup>[35,36]</sup>. These data indicate that metabolic disorders might have a significant role also in determining HCC occurrence after DAA therapy.

**Table 1. Available meta-analyses analyzing the possible association between DAA therapy and HCC**

Ref.	Year	Country	N of studies	N of patients	Increased risk of occurrence	Increased risk of recurrence
Waziry <i>et al.</i> <sup>[22]</sup>	2017	Australia	41	13,875	DAA comparable to IFN	DAA comparable to IFN
Saraiya <i>et al.</i> <sup>[21]</sup>	2018	USA	24	1820	/	DAA comparable to IFN
Singh <i>et al.</i> <sup>[23]</sup>	2018	USA	44	39,145	no conclusive data	no conclusive data
Rutledge <i>et al.</i> <sup>[24]</sup>	2019	USA	138	177,512	DAA comparable to IFN	DAA comparable to IFN

DAA: direct-acting antiviral; HCC: hepatocellular carcinoma; IFN: interferon

Regarding the possible relationship between metabolic disorders and risk of HCC in the specific setting of DAA therapy, Nahon *et al.*<sup>[37]</sup> reported interesting results. These authors retrospectively analyzed data from 1270 cirrhotic patients comparing the incidence of HCC in patients treated with IFN-based protocols or DAA therapy. First of all, authors demonstrated that patients treated with DAA were older and had higher rates of diabetes, suggesting that these patterns might explain the reported higher percentages of HCC occurrence in this subgroup of patients in comparison with the IFN group. However, the authors conducted a multivariate analysis, demonstrating that DAA therapy itself did not emerge as a predictor of HCC occurrence. Other factors such as increased age, past excessive alcohol consumption, virological parameters [genotype 1 (in contrast with previously reported data)], decreased platelet count and increased gamma-glutamyl transferase (GGT) levels were independently associated with post-treatment HCC onset. Concerning the metabolic issue, neither diabetes nor obesity was directly associated with HCC occurrence, but it is well known that GGT increase is a sign of altered metabolism<sup>[38]</sup> or higher inflammatory activity<sup>[39]</sup>. In fact, elevated GGT activity is coupled with traditional cardiovascular risk factors and particularly with metabolic syndrome<sup>[38]</sup>.

## CONCLUSIONS AND FUTURE PERSPECTIVES

DAAs have constituted an extraordinary breakthrough in the therapy of hepatitis C. With an 8- to 12-week course of treatment, SVR occurs in more than 95%-99% of patients, depending on degree of liver fibrosis. These results were beyond any reasonable expectation until a few years ago. It is therefore quite conceivable that the report of an increased occurrence or recurrence of HCC during or shortly after stopping DAAs initiated a series of reactions of concern. With more data accumulating, it is now clear that there is no such risk on a population basis. In fact, as summarized in Table 1, the available high-quality meta-analyses categorically demonstrated that the risk of both HCC recurrence and occurrence after SVR is comparable between patients treated with “old” IFN-based protocols and new DAAs. As recently stated by the American Association for the Study of Liver Diseases<sup>[40]</sup>, SVR due to DAAs determine a decrease in all-cause mortality, cirrhosis, hepatic decompensation and HCC, an improvement in extra-hepatic manifestations of HCV, and an amelioration of both productivity and quality of life. Summarizing, we must treat with DAA all patients without history of HCC and all subjects with complete response to HCC treatment. Notably, as strongly demonstrated in a recently published meta-analysis<sup>[41]</sup>, patients with HCC show, for unknown reasons, lower pooled SVR rate in comparison with subjects without cancer (88.2% vs. 92.4%).

Although DAAs represent a great therapeutic option, it is possible that some subgroups of subjects may be at increased risk of HCC onset after DAA treatment. In fact, on an individual basis, administration of DAAs might be associated with facilitated HCC development in subjects who already have a predisposing hepatic condition, namely activated neoangiogenesis, as shown by increased levels of angiopoietin-2 in cirrhotic tissue<sup>[29]</sup>. In these patients, a careful evaluation of risk-benefit of DAA treatment should be done, also in view of the aggressive features of DAA-associated HCC<sup>[29]</sup>. Finally, in the long-term management of HCV-positive patients, it has to be considered that subjects with severe metabolic impairment seem to maintain a significant risk of HCC despite antiviral treatment and viral eradication<sup>[37]</sup>.

Concerning the possible identification of subpopulations at higher risk of HCC, some authors have analyzed the change in inflammatory balance due to DAAs as a determinant of cancer development<sup>[19,42]</sup>.

In particular, Villani *et al.*<sup>[19]</sup> studied 103 patients treated with DAAs. Changes in levels of VEGF, epidermal growth factor, and several interleukins were evaluated. Interestingly, the authors demonstrated that VEGF increased 4-fold from baseline to week 4 of treatment. VEGF level continued to increase until the end of treatment and returned to the pre-DAA level afterwards. Of note, VEGF induces angiopoietin-2 expression and can therefore promote DAA-induced carcinogenesis as described in<sup>[29]</sup>. On the other hand, interleukin-10 and tumor necrosis factor-alpha significantly decreased with HCV clearance. The authors of the cited study concluded that DAAs can alter the balance between inflammatory and anti-inflammatory processes, affecting antitumor surveillance of the host and favoring HCC onset after DAA therapy.

In conclusion, available data indicate that DAAs represent a great option for both patients without cancer and subjects with effectively treated HCC. Further studies may confirm whether or not some high-risk subgroups exist, which deserve a personalized surveillance approach.

## DECLARATIONS

### Authors' contributions

Conceived and designed the study and wrote the article together: Gitto S, Villa E

### Availability of data and materials

Not applicable.

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None.

### Conflicts of interest

Both authors declared that there are no conflicts of interest.

### Ethical approval and consent to participate

Not applicable.

### Consent for publication

Not applicable.

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